

# GTI 5G Device Function and Performance Test Specification

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# 5G Device Function and Performance Test Specification



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|---------------------------|---|
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## 1 Scope

This specification targets enhanced Mobile Broadband (eMBB) scenario for 5G Sub-6GHz Chipset, Module and Device products testing. It stipulates the 5G device function and performance test in lab for NSA Mode (Option 3/3a/3x) and SA (Option 2).

This specification provides evaluation criteria for basic functions and performance in the 5G test. Considering various test requirements, specific test cases and methods are designed, together with the basic requirements for each test category, number of test devices, and tailored agreements.

This specification is one of the 5G Sub-6GHz device test specifications which are used in GTI 5G Device Certification.

## 2 Definitions, Symbols and Abbreviations

| Abbreviation | Explanation                      |
|--------------|----------------------------------|
| AMC          | Adaptive Modulation and Coding   |
| BLER         | Block Error Rate                 |
| CP           | Cyclic Prefix                    |
| DL           | Downlink                         |
| eNB          | Evolved NodeB                    |
| EPC          | Evolved Packet Core              |
| GBR          | Guaranteed Bit Rate              |
| MCS          | Modulation and Coding Scheme     |
| MIMO         | Multiple Input Multiple Output   |
| NGBR         | Non-Guaranteed Bit Rate          |
| OMC          | Operation and Maintenance Center |
| PDSCH        | Physical Downlink Shared Channel |
| PUSCH        | Physical Uplink Shared Channel   |
| RSRP         | Reference Signal Received Power  |
| SIMO         | Single Input Multiple Output     |
| SM           | Space Multiplexing               |
| SNR          | Signal to Noise Ratio            |
| UDP          | User Datagram Protocol           |
| UE           | User Equipment                   |
| UL           | Uplink                           |

## 3 Reference



The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

|      |                |  |
|------|----------------|--|
| [1]  | 3GPP TS 38.104 | Base Station (BS) radio transmission and reception                             |
| [2]  | 3GPP TS 38.201 | LTE Physical Layer – General Description                                       |
| [3]  | 3GPP TS 38.211 | Physical Channels and Modulation   |
| [4]  | 3GPP TS 38.212 | Multiplexing and channel coding  |
| [5]  | 3GPP TS 38.213 | Physical layer procedure   |
| [6]  | 3GPP TS 38.214 | Physical Layer – Measurements  |
| [7]  | 3GPP TS 38.300 | Overall description  |
| [8]  | 3GPP TS 38.321 | Medium Access Control (MAC) protocol   |
| [9]  | 3GPP TS 38.322 | Radio Link Control (RLC) protocol  |
| [10] | 3GPP TS 38.323 | Packet Data Convergence Protocol (PDCP)  |
| [11] | 3GPP TS 38.331 | Radio Resource Control (RRC)   |
| [12] | 3GPP TS 38.401 | Architecture description   |
| [13] | 3GPP TS 38.410 | Ng General aspects and principles  |
| [14] | 3GPP TS 38.411 | Ng layer 1   |
| [15] | 3GPP TS 38.412 | Ng signaling transport   |
| [16] | 3GPP TS 38.413 | Ng Application Protocol (XnAP)   |
| [17] | 3GPP TS 38.414 | Ng data transport  |
| [18] | 3GPP TS 38.420 | Xn general aspects and principles  |
| [19] | 3GPP TS 38.421 | Xn layer 1   |
| [20] | 3GPP TS 38.422 | Xn signaling transport   |
| [21] | 3GPP TS 38.423 | Xn application protocol (XnAP)   |
| [22] | 3GPP TS 38.424 | Xn data transport  |
| [23] | 3GPP TS 38.304 | User Equipment (UE) procedures in idle mode                                    |
| [24] | 3GPP TS 38.306 | User Equipment (UE) radio access capabilities                                  |
| [25] | 3GPP TS 38.314 | Evolved Universal Terrestrial Radio Access (E-UTRA);<br>Layer 2 - Measurements |
| [26] | 3GPP TS 23.203 | Policy and charging control architecture                                       |
| [27] | 3GPP TS 23.401 | General Packet Radio Service (GPRS) enhancements for<br>E_UTRAN access         |
| [28] | 3GPP TS 24.301 | Non-Access-Stratum (NAS) protocol for Evolved Packet<br>System (EPS)           |

## 4 Test Environment

### 4.1 Default Test Environment

A network system simulator is used to model the gNB and 5GC. The default configuration of the simulator is described in “3GPP TS 38.508-1, 5GS; User Equipment (UE) conformance specification; Part 1: Common test environment” which contains definitions of reference conditions, test signals, default parameters, reference radio bearer configurations, common requirements for test equipment

and generic procedures.

#### 4.1.1 Special Test Environment

If the test environment doesn't follow the default test environment and is common for several test cases, e.g. special cell configurations, the test environment should be described in this section.

#### 4.1.2 Cell Configuration

##### 4.1.2.1 Test Frequencies

Table 4-1: Test Frequencies for NR TDD

| Operating Band | Frequency Configuration | Occupied Bandwidth | Range (F_low – F_high) |
|----------------|-------------------------|--------------------|------------------------|
| n41            | f1                      | 100 MHz            | 2515M - 2615M          |
|                | f2                      | 80 MHz             | 2515M - 2595M          |
|                | f3                      | 60 MHz             | 2515M - 2575M          |
|                | f4                      | 100 MHz            | 2575M - 2675M          |
| n79            | f1                      | 100 MHz            | 4800M - 4900M          |

Table 4-2: Test Frequencies for E-UTRA TDD

| Operating Band | Frequency Configuration | Occupied Bandwidth | Range (F_low – F_high) |
|----------------|-------------------------|--------------------|------------------------|
| 34             | f1                      | 15 MHz             | 2010M – 2025M          |
| 39             | f1                      | 20 MHz             | 1880M - 1900M          |
|                | f2                      | 10 MHz             | 1900M - 1910M          |
|                | f3                      | 15 MHz             | 1900M - 1915M          |
|                | f4                      | 10 MHz             | 1905M - 1915M          |
| 40             | f1                      | 20 MHz             | 2325M - 2345M          |
|                | f2                      | 20 MHz             | 2345M - 2365M          |
| 41             | f1                      | 20 MHz             | 2615M - 2635M          |
|                | f2                      | 20 MHz             | 2635M - 2655M          |
|                | f3                      | 20 MHz             | 2655M - 2675M          |

Table 4-3: Test Frequencies for E-UTRA FDD

| Operating Band | Frequency Configuration | Occupied Bandwidth | Range (F_low – F_high)                 |
|----------------|-------------------------|--------------------|--|
| 3              | f1                      | 10 MHz             | UL: 1710M - 1720M<br>DL: 1805M - 1815M |
|                | f2                      | 15 MHz             | UL: 1720M - 1735M<br>DL: 1815M - 1830M |
|                | f3                      | 20 MHz             | UL: 1710M - 1730M<br>DL: 1805M - 1825M |

Table 4-4: Band combinations for NSA option3 (EN-DC, two bands)

| Band Combinations | E-UTRA Band | NR Band | Note             |
|-------------------|-------------|---------|------------------|
| DC_3A_n41A        | 3A          | n41A    | Inter-band EN-DC |
| DC_39A_n41A       | 39A         | n41A    | Inter-band EN-DC |
| DC_3A_n79A        | 3A          | N79A    | Inter-band EN-DC |
| DC_39A_n79A       | 39A         | N79A    | Inter-band EN-DC |

Table 4-5: Test Frequencies for E-UTRA FDD

| GSM Band             | Bandwidth | f1(Mid)                                | f2(High)                               | f3(Low)                                |
|----------------------|-----------|--|--|--|
| Band 8<br>(GSM 900)  | 25MHz     | 20<br>(UL:894MHz/<br>DL:939MHz)        | 110<br>(UL:912MHz/<br>DL:957MHz)       | 5<br>(UL:891MHz/<br>DL:936MHz)         |
| Band 3 (DCS<br>1800) | 75MHz     | 590<br>(UL:1725.8MHz/<br>DL:1820.8MHz) | 700<br>(UL:1747.8MHz/<br>DL:1842.8MHz) | 515<br>(UL:1710.8MHz/<br>DL:1805.8MHz) |

#### 4.1.2.2 Default Configuration

If not explicitly specified in the test case prose, the following Cell Configuration parameters shall be used for NR cells in the test cases

Table 4-4: Default Parameters

| Parameters                 | Value                                  | Note           |
|----------------------------|--|----------------|
| MCC                        | 460                                    |                |
| MNC                        | 00                                     |                |
| NR Frame Structure for n41 | Uplink-Downlink Switch Period: 5ms     | DD DD DD DS UU |
|                            | Uplink-Downlink Switch Period: 3ms+2ms | DD DS UU DD DD |
| NR Frame Structure for n79 | Uplink-Downlink Switch Period: 2.5 ms  | DSUUU DSUUU    |
| Special Frame for n41      | DL : GP : UL = 6 : 4 : 4               | DDDDDDGGGGUUUU |
| Special Frame for n79      | DL : GP : UL = 10 : 2 : 2              | DDDDDDDDDDGGUU |
| CP Length                  | Normal CP                              |                |
| PRACH Format               | Format 0                               |                |
| PRACH Period               | 10ms                                   |                |
| PUCCH Format               | Format 0 / Format 1                    |                |
|                            | Format 2 / Format 3                    |                |
| PBCH SCS                   | 30kHz                                  |                |
| PBCH Period                | 20ms                                   |                |
| PDCCH Symbols              | 1 symbol                               |                |
| PDCCH Format               | Format 0_1/Format 1_1                  |                |
| PDSCH DMRS                 | Mapping type A & Type1                 |                |
| PUSCH DMRS                 | Mapping type A & Type1                 |                |
| PUSCH Transmission         | The codebook-based transmission mode   |                |
| UL Power Control           | ON                                     |                |
| HARQ                       | ON                                     |                |
| SRS                        | NR SRS Switching                       |                |
| MIMO                       | NSA: NR 1T4R, LTE 1T4R or 1T2R         |                |
|                            | SA: 2T4R                               |                |
| UE Maximum TX Power        | NSA: 26 dBm                            |                |
|                            | SA: 26 dBm                             |                |
| Waveform                   | Uplink : CP-OFDM                       |                |
|                            | Downlink: CP-OFDM                      |                |

#### 4.1.3 USIM Parameters

Refer to clause 4.5.3 in 3GPP TS 38.508-1 for the default parameters of the test USIM except for the

following parameters

Table 4.2.2-1: USIM Elementary File Parameters

| Elementary File         | Parameter                               | Value   |
|-------------------------|---|---|
| EF <sub>IMSI</sub>      | (IMSI)                                  | 460001234567890   |
| EF <sub>HPLMNwACT</sub> | (HPLMN selector with Access Technology) | CMCC China (460, 00, NG-RAN),<br>CMCC China (460, 00, EUTRAN),<br>CMCC China (460, 00, UTRAN),<br>CMCC China (460, 00, GSM),<br>CMCC China (460, 02, UTRAN),<br>CMCC China (460, 02, GSM),<br>CMCC China (460, 07, UTRAN),<br>CMCC China (460, 07, GSM) |
| K                       | K Value of the USIM                     | 000102030405060708090A0B0C0D0E0F  |

Note: The priority of the PLMNs in EFHPLMNwACT follows top bottom sequence i.e. PLMN on the top has highest priority.

#### 4.1.4 Common Procedures

| Step | U – S | Message  | Specific Contents |
|------|-------|--|-------------------|
| 1    | <--   | NR RRC: SYSTEM INFORMATION (BCCH)                              |                   |
| 2    | -->   | NR RRC: RRCSetupRequest  |                   |
| 3    | <--   | NR RRC: RRCSetup   |                   |
| 4    | -->   | NR RRC: RRCSetupComplete<br>5GMM: REGISTRATION REQUEST         |                   |
| 5    | <--   | NR RRC: DLInformationTransfer<br>5GMM: AUTHENTICATION REQUEST  |                   |
| 6    | -->   | NR RRC: ULInformationTransfer<br>5GMM: AUTHENTICATION RESPONSE |                   |
| 7    | <--   | NR RRC: DLInformationTransfer<br>5GMM: SECURITY MODE COMMAND   |                   |
| 8    | -->   | NR RRC: ULInformationTransfer<br>5GMM: SECURITY MODE COMPLETE  |                   |
| 9    | <--   | NR RRC: SecurityModeCommand                                    |                   |
| 10   | -->   | NR RRC: SecurityModeComplete                                   |                   |
| 11   | <--   | NR RRC: UECapabilityEnquiry                                    |                   |
| 12   | -->   | NR RRC: UECapabilityInformation                                |                   |
| 13   | <--   | NR RRC: DLInformationTransfer<br>5GMM: REGISTRATION ACCEPT     |                   |
| 14   | -->   | NR RRC: ULInformationTransfer<br>5GMM: REGISTRATION COMPLETE   |                   |
| 15   | -->   | NR RRC: ULInformationTransfer<br>5GMM: UL NAS TRANSPORT        |                   |

|    |     |  |  |
|----|-----|--|--|
|    |     | 5GSM: PDU SESSION ESTABLISHMENT REQUEST  |  |
| 16 | <-- | NR RRC: RRCReconfiguration<br>5GMM: DL NAS TRANSPORT<br>5GSM: PDU SESSION ESTABLISHMENT ACCEPT |  |
| 17 | --> | NR RRC: RRCReconfigurationComplete   |  |
| 18 | <-- | NR RRC: RRCRelease   |  |

## 4.2 Applicability for the UE Configuration

This clause defines the types of test case applicability used in this specification. Each test case shall clearly state the applicability in the section of “Applicability”

| Type  | Applicability   |
|---|---|
| 1   | Test cases apply to the devices supporting both SA and NSA      |
| 2   | Test cases apply to the devices supporting SA <sup>Note1</sup>  |
| 3   | Test cases apply to the devices supporting NSA <sup>Note2</sup> |
| Note1: Test cases applying to the devices supporting SA also apply to the devices supporting both SA and NSA  |   |
| Note2: Test cases applying to the devices supporting NSA also apply to the devices supporting both SA and NSA |   |

## 5 Basic Function

### 5.1 PLMN Selection

#### 5.1.1 PLMN Selection, Multi-RAT Background, Select the highest priority HPLMN, Automatic Mode

##### 5.1.1.1 Test Purpose

The priority of the PLMNs in USIM HPLMN list is 5G>E-UTRAN. Verify the UE can camp on the PLMN with highest priority and setup a PS bearer successfully. Verify the UI display is correct. Use the same PLMN as the real network.

##### 5.1.1.2 Reference specification

3GPP TS 38.304 subclause 5.1

##### 5.1.1.3 Applicability

This test applies to type 1 and 2 devices as described in clause 4.2.

##### 5.1.1.4 Test conditions

[SS configuration]

Cell A, Cell B and Cell C are NR cells. Cell D is an E-UTRAN cell.

NR Cell A

Cell Id=1 TAC = 1

MCC = 460 MNC = 00

Frequency Configuration = f1

NR Cell B

Cell Id=1 TAC = 1

MCC = 460 MNC = 03

Band n78

Frequency Range = 3400MHz-3500MHz

NR Cell C

Cell Id=1 TAC = 1

MCC = 460 MNC = 01

Band n78

Frequency Range = 3500MHz-3600MHz

E-UTRAN Cell D

Cell Id=2 TAC = 2

MCC = 460 MNC = 00

Frequency Configuration = f1

rootSequenceIndex = 0

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A, Cell B and Cell C are not active.

E-UTRAN Cell D is not active.

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**5.1.1.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | Parameter | Unit | NR Cell A | NR Cell B | NR Cell C | E-UTRAN Cell D | Remark |
|------|-----------|------|-----------|-----------|-----------|----------------|--------|
|      |           |      |           |           |           |                |        |

|    |                       |           |            |      |      |      |  |
|----|-----------------------|-----------|------------|------|------|------|--|
| T0 | SS/PBCH, SSS EPRE     | dBm/SCS   | -125       | -125 | -125 | /    |  |
|    | Reference Signal EPRE | dBm/15kHz | /          | /    | /    | -125 |  |
| T1 | SS/PBCH, SSS EPRE     | dBm/SCS   | -85        | -85  | -85  | /    |  |
|    | Reference Signal EPRE | dBm/15kHz | /          | /    | /    | -85  |  |
| T2 | SS/PBCH, SSS EPRE     | dBm/SCS   | Not Active | -85  | -85  | /    |  |
|    | Reference Signal EPRE | dBm/15kHz | /          | /    | /    | -85  |  |

PREAMBLE

1. Activate NR Cell A, NR Cell B , NR Cell C and E-UTRAN Cell D. The SS configures the transmission of the Master Information Block and starts the System Information Block broadcasting on all cells.
2. The SS configures the initial power according to T0 in Table 5.1.1.5-1.
3. The UE is powered ON.

MAIN BODY

4. The SS increases the TX power of Cells according to T1 in Table 5.1.1.5-1.
5. The UE performs Registration procedure on NR Cell A according to subclause 5.4.1 step3-18. SS releases the RRC connection. The UE transits to Idle state.
6. The tag on the UI indicate that UE has registered on NR network.
7. Deactivate NR Cell A. (Refer Table 5.1.1.5 -1: Time T2). NR Cell B and Cell C remains exist.
8. The UE performs TAU procedure on E-UTRAN Cell D. SS releases the RRC connection. The UE transits to Idle state
9. The tag on the UI indicate that UE has registered on LTE network.

POSTAMBLE

10. The UE is powered OFF
11. The UE performs MO Detach procedure on E-UTRAN Cell D.
12. Deactivate NR Cell B, NR Cell C and E-UTRAN Cell D.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
| 1    | ←                | RRC        | Activate NR Cell A, NR Cell B , NR Cell C and E-UTRAN Cell D                                 |                   |         |
| 2    |                  |            | Configure the initial power according to T0 in Table 5.1.1.5-1.                              |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    |                  |            | Increases the TX power of Cells according to T1 in Table 5.1.1.5-1                           |                   |         |
| 5    | →<br>←           | RRC<br>NAS | UE performs Registration procedure on NR Cell A according to subclause 5.4.1 step3-18.       |                   | PASS    |
| 6    |                  |            | The tag on the UI indicate that UE has registered on NR network.                             |                   |         |
| 7    |                  |            | Deactivate NR Cell A. (Refer Table 5.1.1.5 -2: Time T2). NR Cell B and Cell C remains exist. |                   |         |
| 8    | →                | RRC        | UE performs TAU procedure on E-UTRAN Cell D  |                   | PASS    |

|    |        |            |   |            |  |
|----|--------|------------|---|------------|--|
|    | ←      | NAS        |   |            |  |
| 9  |        |            | The tag on the UI indicate that UE has registered on LTE network. |            |  |
| 10 |        | UE         | Switch Off UE   | AT Command |  |
|    | →<br>← | RRC<br>NAS | UE performs MO Detach procedure on E-UTRAN Cell D                 |            |  |
| 12 |        |            | Deactivate NR Cell B, NR Cell C and E-UTRAN Cell D.               |            |  |

### 5.1.1.6 Expected Result

Step 5, UE could camps on NR Cell A

Step 8, UE could camps on E-UTRAN Cell D

## 5.2 Cell Selection

### 5.2.1 Multi-mode Environment Cell Selection, NR Cell Available

#### 5.2.1.1 Test Purpose

Verify that the UE will correctly select and camp on NR cell basend on the cell selection priority (NR > LTE).

#### 5.2.1.2 Reference specification

3GPP TS 38.304, clause 5.2.3.

#### 5.2.1.3 Applicability

This test applies to Type 1 and 2 devices as described in clause 4.2.

#### 5.2.1.4 Test conditions

[SS configuration]

Cell A is a E-UTRAN cell.

Cell B is a NR Cell.

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

E-UTRA Band = 41

EARFCN= f1

rootSequenceIndex TDD = 0

Reference Signal EPRE= -91dBm/15kHz

upperLayerIndication-r15=true

NR Cell B



Cell Id=02 TAC = 01  
 MCC = 460 MNC = 00  
 NR Band = n41  
 NR-ARFCN= f1  
 SS/PBCH SSS EPRE = -90 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in SA mode.

[Initial conditions]

SS  
 E-UTRAN Cell A is not active  
 NR Cell B is not active  
 The test shall be performed under ideal radio conditions.

UE  
 UE is powered off

**5.2.1.5 Test procedure**

Table 5.2.1.5-0: Time instances of cell power level and parameter changes

|    | Parameter           | Unit    | E-UTRAN Cell A | NR Cell B |
|----|---------------------|---------|----------------|-----------|
| T0 | SS/PBCH<br>SSS EPRE | dBm/SCS | -85            | -90       |

PREAMBLE

1. Activate E-UTRAN Cell A and NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.2.1.5-0.
3. The UE is powered ON.

MAIN BODY

4. The UE performs the registration procedure on Cell B (see 38.508, Table 4.5.2.2 ).

POSTAMBLE

5. The UE is powered OFF.
6. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.2.1.5-1: Message Sequence

| Step | Message Sequence |       |  |                   | Verdict |
|------|------------------|-------|--|-------------------|---------|
|      | U-S              | Layer | Message  | Specific Contents |         |
| 1    | ←                | RRC   | SS Activates E-UTRAN Cell A and Cell B                                 |                   |         |
| 2    |                  |       | The SS configures the initial power according to T0 in Table 5.2.1.5-1 |                   |         |

|   |        |            |   |            |      |
|---|--------|------------|---|------------|------|
| 3 |        | UE         | Switch On UE  | AT Command |      |
| 4 | →<br>← | NAS<br>RRC | The UE performs the registration procedure on Cell B (see 38.508, Table 4.5.2.2 ) |            | PASS |
| 5 |        | UE         | Switch Off UE   | AT Command |      |
| 6 |        |            | Deactivate E-UTRAN Cell A and NR Cell B.  |            |      |

### 5.2.1.6 Expected Result

Step 4, UE could select NR Cell B and camps on the NR Cell B

## 5.2.2 Multi-mode Environment Cell Selection, E-UTRAN Available

### 5.2.2.1 Test Purpose

Verify that the UE will correctly select and camp on E-UTRAN cell when E-UTRAN cell available but no NR cell available.

### 5.2.2.2 Reference specification

3GPP TS 36.304, clause 5.2.3.

### 5.2.2.3 Applicability

This test applies to Type 1 and 2 devices as described in clause 4.2.

### 5.2.2.4 Test conditions

[SS configuration]

Cell A is a TD-LTE cell.

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

E-UTRA Band = 41

EARFCN= f1

rootSequenceIndex TDD = 0

Reference Signal EPRE= -85dBm/15kHz

upperLayerIndication-r15=true

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in 4G mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

### 5.2.2.5 Test procedure

Table 5.2.2.5-1: Time instances of cell power level and parameter changes

|    | Parameter           | Unit    | E-UTRAN Cell A |
|----|---------------------|---------|----------------|
| T0 | SS/PBCH<br>SSS EPRE | dBm/SCS | -85            |

PREAMBLE

1. Activate E-UTRAN Cell A. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.2.2.5-1.
3. The UE is powered ON.

MAIN BODY

4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).

POSTAMBLE

5. The UE is powered OFF.
6. Deactivate E-UTRAN Cell A.

Table 5.2.1.5-1: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
| 1    | ←                | RRC        | SS Activates E-UTRAN Cell A  |                   |         |
| 2    |                  |            | The SS configures the initial power according to T0 in Table 5.2.2.5-1.  |                   |         |
| 2    |                  | UE         | Switch On UE   | AT Command        |         |
| 3    | →<br>←           | NAS<br>RRC | The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ). |                   | PASS    |
| 4    |                  | UE         | Switch Off UE  | AT Command        |         |
| 5    |                  |            | Deactivate E-UTRAN Cell A.   |                   |         |

### 5.2.2.6 Expected Result

Step 3, UE could select the E-UTRA cell A and camp on the E-UTRA cell A

### 5.2.3. Multi-mode Environment Cell Selection, NR Cell Available

**5.2.3.1. Test Purpose**

When SA NR cell and NSA PS-Cell(NR) available, UE could camp on the NR cell

**5.2.3.2. Reference specification**

3GPP TS 38.304, clause 5.2.3.

**5.2.3.3. Applicability**

This test applies to type 1 and 2 devices as described in clause 4.2.

**5.2.3.4. Test conditions**

[SS configuration]

Cell A is a NR cell.

Cell B is a NR Cell.

Cell B supports NSA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -85 dBm/30kHz

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -85 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**5.2.3.5. Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | Parameter           | NR Cell A | NR Cell B |
|------|---------------------|-----------|-----------|
| T0   | SS/PBCH<br>SSS EPRE | -85       | -85       |

**PREAMBLE**

1. Activate NR Cell A and Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.2.3.5-1.
3. The UE is powered ON.

**MAIN BODY**

4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2 ).

**POSTAMBLE**

5. The UE is powered OFF.
6. Deactivate NR Cell A and NR Cell B.

**Table 5.2.3.5-1: Message Sequence**

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
| 1    | ←                | RRC        | SS Activates NR Cell A and Cell B  |                   |         |
| 2    |                  |            | The SS configures the initial power according to T0 in Table 5.2.3.5-1.            |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2 ). |                   | PASS    |
| 5    |                  | UE         | Switch Off UE  | AT Command        |         |
| 6    |                  |            | Deactivate NR Cell A and NR Cell B.  |                   |         |

**5.2.3.6. Expected Result**

Step 4 UE selects the NR Cell A and camps on the Cell A.

**5.2.4. Multi-mode Environment Cell Selection, NSA Cell Available**

**5.2.4.1. Test Purpose**

Verify that the UE will correctly select and camp on NSA E-UTRAN cell when NSA E-UTRAN cell available and no NR cell

**5.2.4.2. Reference specification**

3GPP TS 36.304, clause 5.2.3.

#### 5.2.4.3. Applicability

This test applies to type 1 devices as described in clause 4.2.

#### 5.2.4.4. Test conditions

[SS configuration]

Cell A is a TD-LTE cell.

Cell B is a NR Cell.

Cell A supports NSA.

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

E-UTRA Band = 41

EARFCN= f1

Bandwidth = 20 MHz

rootSequenceIndex TDD = 0

Reference Signal EPRE= -85dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

Bandwidth = 100 MHz

SS/PBCH SSS EPRE = -85 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

NR Cell B is barred with cellBarred IE in MIB

UE

UE is powered off

**5.2.4.5. Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | Parameter           | E-UTRAN Cell A | NR Cell B |
|------|---------------------|----------------|-----------|
| T0   | SS/PBCH<br>SSS EPRE | -85            | -85       |

**PREAMBLE**

1. Activate E-UTRAN Cell A and Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.2.4.5-1.
3. The UE is powered ON.

**MAIN BODY**

4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).

**POSTAMBLE**

5. The UE is powered OFF.
6. Deactivate E-UTRAN Cell A and NR Cell B.

**Table 5.2.4.5-1: Message Sequence**

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
| 1    | ←                | RRC        | SS Activates E-UTRAN Cell A and Cell B   |                   |         |
| 2    |                  |            | The SS configures the initial power according to T0 in Table 5.2.4.5-1   |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ). |                   | PASS    |
| 5    |                  | UE         | Switch Off UE  | AT Command        |         |
| 6    |                  |            | Deactivate E-UTRAN Cell A and NR Cell B.   |                   |         |

**5.2.4.6. Expected Result**

Step 4 UE selects the E-UTRAN Cell A and camps on the Cell A.

**5.2.5 Initial Cell Selection from Power-Up**

### 5.2.5.1 Test Purpose

Verify that the UE will correctly select and camp on the right NR cell based on channel quality from power-up when inter-frequency cells with different bandwidth and inter-band cell existing simultaneously.

### 5.2.5.2 Reference specification

3GPP TS 38.304, clause 5.2.3.

### 5.2.5.3 Applicability

This test applies to type 1 and 2 devices as described in clause 4.2.

### 5.2.5.4 Test conditions

[SS configuration]

Cell A is a NR Serving Cell, Cell B and Cell C are inter-frequency cell and Cell D is inter-band cell.

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

Band=n41

NR-ARFCN= f4

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

Band=n41

NR-ARFCN= f3

NR Cell C

Cell Id=03 TAC = 01

MCC = 460 MNC = 00

Band=n41

NR-ARFCN= f2

NR Cell D

Cell Id=04 TAC = 01

MCC = 460 MNC = 00

Band=n79

NR-ARFCN= f1

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.



UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**5.2.5.5 Test procedure**

Table 5.2.5.5-0: Time instances of cell power level and parameter changes

|    | Parameter           | Unit    | Cell A | Cell B | Cell C | Cell D |
|----|---------------------|---------|--------|--------|--------|--------|
| T0 | SS/PBCH<br>SSS EPRE | dBm/SCS | -88    | -94    | -94    | -94    |
| T1 |                     |         | -94    | -88    | -94    | -94    |
| T2 |                     |         | -94    | -94    | -88    | -94    |
| T3 |                     |         | -94    | -94    | -88    | -94    |

PREAMBLE

1. Activate NR CellA,NR CellB,NR CellC,NR CellD. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.2.5.5-0.

MAIN BODY

3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2 ).
5. The UE is powered OFF.
6. The SS configures the initial power according to T1 in Table 5.2.5.5-0.
7. The UE is powered ON.
8. The UE performs the registration procedure on Cell B (see 38.508, Table 4.5.2.2 ).
9. The UE is powered OFF.
10. The SS configures the initial power according to T2 in Table 5.2.5.5-0.
11. The UE is powered ON.
12. The UE performs the registration procedure on Cell C (see 38.508, Table 4.5.2.2 ).
13. The UE is powered OFF.
14. The SS configures the initial power according to T2 in Table 5.2.5.5-0.
15. The UE is powered ON.
16. The UE performs the registration procedure on Cell D (see 38.508, Table 4.5.2.2 ).

POSTAMBLE

17. The UE is powered OFF.
18. Deactivate NR CellA,NR CellB,NR CellC,NR CellD.

Table 5.2.5.5-1: Message Sequence

| Step | Message Sequence | Verdict |
|------|------------------|---------|
|------|------------------|---------|

|    | U-S    | Layer      | Message   | Specific Contents |      |
|----|--------|------------|---|-------------------|------|
| 1  |        | RRC        | Activate NR Cell A,NR Cell B,NR Cell C,NR Cell D                                  |                   |      |
| 2  |        | SS         | The SS configures the initial power according to T0 in Table 5.2.5.5-0.           |                   |      |
| 3  |        | UE         | Switch On UE  | AT Command        |      |
| 4  | →<br>← | NAS<br>RRC | The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2 ) |                   | PASS |
| 5  |        | UE         | Switch Off UE   | AT Command        |      |
| 6  |        | SS         | The SS configures the initial power according to T1 in Table 5.2.5.5-0.           |                   |      |
| 7  |        | UE         | Switch On UE  | AT Command        |      |
| 8  | →<br>← | NAS<br>RRC | The UE performs the registration procedure on Cell B (see 38.508, Table 4.5.2.2 ) |                   | PASS |
| 10 |        | SS         | The SS configures the initial power according to T2 in Table 5.2.5.5-0.           |                   |      |
| 11 |        | UE         | Switch On UE  | AT Command        |      |
| 12 | →<br>← | NAS<br>RRC | The UE performs the registration procedure on Cell C (see 38.508, Table 4.5.2.2 ) |                   | PASS |
| 13 |        | UE         | Switch Off UE   | AT Command        |      |
| 14 |        | SS         | The SS configures the initial power according to T2 in Table 5.2.5.5-0.           |                   |      |
| 15 |        | UE         | Switch On UE  | AT Command        |      |
| 16 | →<br>← | NAS<br>RRC | The UE performs the registration procedure on Cell D (see 38.508, Table 4.5.2.2 ) |                   | PASS |
| 17 |        | UE         | Switch Off UE   | AT Command        |      |
| 18 |        |            | Deactivate NR CellA,NR CellB,NR CellC,NR CellD.                                   |                   |      |

### 5.2.5.6 Expected Result

- Step 4, UE could select NR Cell A and camp on the NR Cell A
- Step 8, UE could select NR Cell C and camps on the NR Cell B
- Step 12, UE could select NR Cell A and camp on the NR Cell C
- Step 16, UE could select NR Cell C and camps on the NR Cell D

## 5.3 RRC connection/connection reconfiguration

### 5.3.1 RRC connection re-establishment, radio link failure, re-establish to a Prepared Inter-Freq cell

#### 5.3.1.1 Test Purpose

When UE in RRC\_CONNECTED state, verify that UE could re-establish the RRC connection on the prepared Inter-Freq cell.

### 5.3.1.2 Reference specification

3GPP TS 38.331 clauses 5.3.7, 5.3.10

3GPP TS 38.304 clause 5.2.3

### 5.3.1.3 Applicability

This test applies to Type 1 and 2 devices as described in clause 4.2

### 5.3.1.4 Test conditions

[SS configuration]

Cell A is a NR cell.

Cell B is a NR Cell.

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -85 dBm/30kHz

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

### 5.3.1.5 Test procedure

Table 5.3.1.5-0: Time instances of cell power level and parameter changes

|    | Parameter           | Unit    | Cell A | Cell B     |
|----|---------------------|---------|--------|------------|
| T0 | SS/PBCH<br>SSS EPRE | dBm/SCS | -88    | Not Active |

|    |                     |         |            |     |
|----|---------------------|---------|------------|-----|
| T1 | SS/PBCH<br>SSS EPRE | dBm/SCS | Not Active | -88 |
|----|---------------------|---------|------------|-----|

**PREAMBLE**

1. Activate NR CellA, NR CellB. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.3.1.5-0.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2 till Step 18 ).

**MAIN BODY**

5. The SS configures the initial power according to T1 in Table 5.3.1.5-0.
6. Verify that UE sends RRCReestablishmentRequest message on NR cell B.
7. SS sends RRCReestablishment to UE on NR cell B.
8. UE sends RRCReestablishmentComplete message to SS on NR cell B.

**POSTAMBLE**

9. The UE is powered OFF.
10. Deactivate NR CellA, NR CellB.

Table 5.3.1.5-1: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
| 1    | ←                | RRC        | Activate NR CellA, NR CellB.  |                   |         |
| 2    |                  | SS         | The SS configures the initial power according to T0 in Table 5.3.1.5-0.                       |                   |         |
| 3    |                  | UE         | The UE is powered ON.   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2 till Step 18) |                   | PASS    |
| 5    |                  | SS         | The SS configures the initial power according to T1 in Table 5.3.1.5-0.                       |                   |         |
| 6    | →                | RRC        | Verify that UE sends RRCReestablishmentRequest message on NR cell B                           |                   | PASS    |
| 7    | ←                | RRC        | SS sends RRCReestablishment to UE on NR cell B.   |                   |         |
| 8    | →                | RRC        | UE sends RRCReestablishment-Complete message to SS on NR cell B                               |                   |         |
| 9    |                  | UE         | The UE is powered OFF.  | AT Command        |         |
| 10   |                  |            | Deactivate NR CellA, NR CellB.  |                   |         |

**5.3.1.6 Expected Result**

Step 4, UE could select NR Cell A and camp on the NR Cell A

Step 6, UE sends RRCReestablishmentRequest message on NR cell B

### 5.3.2 BWP configuration, downlink and uplink BWP addition /release

#### 5.3.2.1 Test Purpose

When UE in RRC\_CONNECTED state, verify that UE could add and release downlink and uplink BWP correctly.

#### 5.3.2.2 Reference specification

3GPP TS 38.331 clause 5.3.5.

#### 5.3.2.3 Applicability

This test applies to the device that supports SA or SA+NSA

#### 5.3.2.4 Test conditions

[SS configuration]

Cell A is a NR cell.

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -85 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

#### 5.3.2.5 Test procedure

Table 5.3.2.5-0: Time instances of cell power level and parameter changes

|    | Parameter           | Unit    | Cell A |
|----|---------------------|---------|--------|
| T0 | SS/PBCH<br>SSS EPRE | dBm/SCS | -85    |

**PREAMBLE**

1. Activate NR CellA The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.3.2.5-0.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2 till Step 18 ).

**MAIN BODY**

5. SS sends RRCReconfiguration to UE on NR cell A.
6. Verify that UE sends RRCReconfigurationComplete message to SS on NR cell A.

**POSTAMBLE**

7. The UE is powered OFF.
8. Deactivate NR CellA.

Table 5.3.2.5-1: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
| 1    | ←                | RRC        | Activate NR CellA   |                   |         |
| 2    |                  |            | The SS configures the initial power according to T0 in Table 5.3.2.5-0                        |                   |         |
| 3    |                  | UE         | The UE is powered ON.   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2 till Step 18) |                   | PASS    |
| 5    | ←                |            | SS sends RRCReconfiguration to UE on NR cell A.   |                   |         |
| 6    | →                |            | Verify that UE sends RRCReconfigurationComplete message to SS on NR cell A.                   |                   | PASS    |
| 7    |                  | UE         | The UE is powered OFF.  | AT Command        |         |
| 8    |                  |            | Deactivate NR CellA.  |                   |         |

**5.3.2.6 Expected Result**

- Step 4, UE could select NR Cell A and camp on the NR Cell A  
 Step 6, UE sends RRCReconfigurationComplete message on NR cell A.

**5.4 Registration/De-registration**

**5.4.1 Initial Registration, SA**

**5.4.1.1 Test Purpose**

Verify the UE can register on NR Cell successfully.

#### 5.4.1.2 Reference specification

3GPP TS 24.501, clause 5.5

3GPP TS 24.501, clause 6.4

#### 5.4.1.3 Applicability

This test applies to type 1 and 2 devices as described in clause 4.2.

#### 5.4.1.4 Test conditions

[SS configuration]

Cell A is a NR cell.

NR Cell A

Cell Id=1 TAC = 1

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -85 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted.

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

#### 5.4.1.5 Test procedure

PREAMBLE

1. Activate NR Cell A. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The UE is powered ON.

MAIN BODY

3. The UE sends an RRCConnectionRequest message.
4. The SS sends an RRCConnectionSetup message.
5. The UE sends an RRCConnectionSetupComplete message including the REGISTRATION REQUEST message to initiate the registration procedure.
6. The SS sends an AUTHENTICATION REQUEST message to initiate the 5G AKA based primary authentication and key agreement procedure.

7. The UE sends the AUTHENTICATION RESPONSE message.
8. The SS sends a NAS SECURITY MODE COMMAND message to activate NAS security.
9. The UE sends a NAS SECURITY MODE COMPLETE message
10. The SS sends a SecurityModeCommand message to activate AS security.
11. The UE sends a SecurityModeComplete message and establishes the initial security configuration.
12. The SS sends a UECapabilityEnquiry message to initiate the UE radio access capability transfer procedure.
13. The UE sends a UECapabilityInformation message to transfer UE radio access capability.
14. The SS sends an REGISTRATION ACCEPT message.
15. The UE sends an REGISTRATION COMPLETE message.
16. The UE sends an PDU SESSION ESTABLISHMENT REQUEST message, verify that PDU session type is IPv4v6.
17. The SS sends an RRCConnectionReconfiguration message to establish the default bearer, and PDU SESSION ESTABLISHMENT ACCEPT is piggybacked.
18. The UE sends an RRCConnectionReconfigurationComplete message

POSTAMBLE

19. The UE is powered off
20. The UE sends a DEREGISTRATION REQUEST message.
21. The SS initiates a RRC release procedure.
22. Deactivate NR Cell A.

Table 5.1.1.55.4.1.5-1: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
| 1    | ←                | RRC        | Activates E-UTRAN Cell A                                       |                   |         |
| 2    |                  | UE         | Switch On UE   | AT Command        |         |
| 3    | →                | RRC        | NR RRC: RRCSetupRequest  |                   |         |
| 4    | ←                | RRC        | NR RRC: RRCSetup   |                   |         |
| 5    | →                | RRC<br>NAS | NR RRC: RRCSetupComplete<br>5GMM: REGISTRATION REQUEST         |                   | PASS    |
| 6    | ←                | RRC<br>NAS | NR RRC: DLInformationTransfer<br>5GMM: AUTHENTICATION REQUEST  |                   |         |
| 7    | →                | RRC<br>NAS | NR RRC: ULInformationTransfer<br>5GMM: AUTHENTICATION RESPONSE |                   | PASS    |
| 8    | ←                | RRC<br>NAS | NR RRC: DLInformationTransfer<br>5GMM: SECURITY MODE COMMAND   |                   |         |
| 9    | →                | RRC<br>NAS | NR RRC: ULInformationTransfer<br>5GMM: SECURITY MODE COMPLETE  |                   | PASS    |
| 10   | ←                | RRC        | NR RRC: SecurityModeCommand                                    |                   |         |
| 11   | →                | RRC        | NR RRC: SecurityModeComplete                                   |                   | PASS    |
| 12   | ←                | RRC        | NR RRC: UECapabilityEnquiry                                    |                   |         |
| 13   | →                | RRC        | NR RRC: UECapabilityInformation                                |                   | PASS    |



|    |   |            |   |            |      |
|----|---|------------|---|------------|------|
| 14 | ← | RRC<br>NAS | NR RRC: DLInformationTransfer<br>5GMM: REGISTRATION ACCEPT  |            |      |
| 15 | → | RRC<br>NAS | NR RRC: ULInformationTransfer<br>5GMM: REGISTRATION COMPLETE  |            | PASS |
| 16 | → | RRC<br>NAS | NR RRC: ULInformationTransfer<br>5GMM: UL NAS TRANSPORT<br>5GSM: PDU SESSION ESTABLISHMENT<br>REQUEST, verify that PDU session type is<br>IPv4v6. |            | PASS |
| 17 | ← | RRC<br>NAS | NR RRC: RRCReconfiguration<br>5GMM: DL NAS TRANSPORT<br>5GSM: PDU SESSION ESTABLISHMENT<br>ACCEPT   |            |      |
| 18 | → | RRC        | NR RRC: RRCReconfigurationComplete  |            | PASS |
| 19 |   | UE         | Switch Off UE,  | AT Command |      |
| 20 | → | NAS        | UE sends a DEREGISTRATION REQUEST<br>message.   |            | PASS |
| 21 | ← | RRC        | SS initiates a RRC release procedure.   |            |      |
| 22 |   |            | Deactivate NR Cell A  |            |      |

#### 5.4.1.6 Expected Result

Verify the UE can register on NR Cell successfully.

## 5.5 NSA

### 5.5.1 Multi-mode Environment Cell selection for NSA

#### 5.5.1.1 Test Purpose

Verify that the UE will correctly select and camp on a LTE cell based on channel quality from power-up when LTE and NSA NR cell both exist.

#### 5.5.1.2 Reference specification

3GPP TS 36.304, clause 5.2.3.

#### 5.5.1.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

#### 5.5.1.4 Test conditions

[SS configuration]

Cell A is a E-UTRAN cell and also NSA MCG. Cell B and Cell C are 4G only cell

Cell D is a NR Cell (NSA SCG, not SA NR cell).

## E-UTRAN Cell A

Cell Id=01 TAC = 01  
MCC-MNC = 460-00  
EARFCN= f1  
rootSequenceIndex = 0  
Reference Signal EPRE= -85 dBm/15kHz  
upperLayerIndication-r15=true

## E-UTRAN Cell B

Cell Id=02 TAC = 02  
MCC-MNC = 460-00  
Band = B41  
EARFCN= f2  
rootSequenceIndex = 0  
Reference Signal EPRE= -94 dBm/15kHz  
upperLayerIndication-r15=false

## E-UTRAN Cell C

Cell Id=03 TAC = 03  
MCC-MNC = 460-00  
Band = B41  
EARFCN= f3  
rootSequenceIndex = 0  
Reference Signal EPRE= -94 dBm/15kHz  
upperLayerIndication-r15=false

## NR Cell D

Cell Id=01 TAC = 01  
MCC = 460 MNC = 00  
NR-ARFCN= f1  
SS/PBCH SSS EPRE = -94 dBm/30kHz

## [UE configuration]

The test UICC with USIM should be inserted  
The UE is in AUTOMATIC network selection mode.  
UE works in NSA mode.

## [Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

### 5.5.1.5 Test procedure

Table 5.5.1.5-0: Time instances of cell power level and parameter changes

|    | Parameter             | Unit      | Cell A | Cell B | Cell C | Cell D |
|----|-----------------------|-----------|--------|--------|--------|--------|
| T0 | SS/PBCH, SSS EPRE     | dBm/SCS   | /      | /      | /      | -88    |
|    | Reference Signal EPRE | dBm/15kHz | -88    | -94    | -94    | /      |
| T1 | SS/PBCH, SSS EPRE     | dBm/SCS   | /      | /      | -88    | -88    |
|    | Reference Signal EPRE | dBm/15kHz | -94    | -88    | -94    | /      |
| T2 | SS/PBCH, SSS EPRE     | dBm/SCS   | /      | /      | -88    | -88    |
|    | Reference Signal EPRE | dBm/15kHz | -94    | -94    | -88    | /      |

#### PREAMBLE

1. Activate E-UTRAN Cell A, Cell B, Cell C and NR Cell D. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.5.1.5-0 .
3. The UE is powered ON.

#### MAIN BODY

4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell D as NR PS-Cell with Split DRB. RRCConnectionReconfiguration message contains the ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
9. The UE sends an ULInformationTransfer message containing the ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message. The RRC connection is released by the SS.
10. The UE is powered OFF.
11. The SS configures the initial power according to T1 in Table 5.5.1.5-0.
12. The UE is powered ON. Verify that the UE performs the registration procedure on Cell B(see 38.508, Table 4.5.2.2 ).
13. The UE is powered OFF.
14. The SS configures the initial power according to T2 in Table 5.5.1.5-0.
15. The UE is powered ON. Verify that the UE performs the registration procedure on Cell C(see 38.508, Table 4.5.2.2 ).

#### POSTAMBLE

16. The SS initiates a paging procedure to establish RRC connection for DETACH.
17. The SS initiates a MT Detach procedure.
18. The SS initiates a RRC release procedure.
19. Deactivate E-UTRAN Cell A , Cell B, Cell C and NR Cell D.

Table 5.1.1.5-1: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
| 1    | ←                | RRC        | SS Activates E-UTRAN Cell A , Cell B and Cell C and NR Cell B   |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).  |                   | PASS    |
| 5    | ←                | RRC        | ue-CapabilityRequest including the RAT type "eutra-nr".   |                   |         |
| 6    | →                | RRC        | UECapabilityInformation including the RAT type "eutra-nr".  |                   | PASS    |
| 7    | ←                | NAS<br>RRC | RRCCONNECTIONRECONFIGURATION containing NR RRCReconfiguration to add Cell D as NR PS-Cell with Split DRB.<br>RRCCONNECTIONRECONFIGURATION contains the ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message. |                   |         |
| 8    | →                | RRC        | RRCCONNECTIONRECONFIGURATIONCOMPLETE containing NR RRCReconfigurationComplete.  |                   | PASS    |
| 9    | →                | RRC        | ULINFORMATIONTRANSFER containing the ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message   |                   | PASS    |
| 10   |                  |            | The UE is powered OFF.  |                   |         |
| 11   |                  |            | The SS configures the initial power according to T1 in Table 5.5.1.5-0.   |                   |         |
| 12   | →<br>←           | NAS<br>RRC | The UE is powered ON and performs the registration procedure on Cell B(see 38.508, Table 4.5.2.2 ).   |                   | PASS    |
| 13   |                  |            | The UE is powered OFF.  |                   |         |
| 14   |                  |            | The SS configures the initial power according to T1 in Table 5.5.1.5-0.   |                   |         |
| 15   | →<br>←           | NAS<br>RRC | The UE is powered ON and performs the registration procedure on Cell C(see 38.508, Table 4.5.2.2 ).   |                   | PASS    |
| 16   | →<br>←           | RRC        | SS initiates a paging procedure to establish RRC connection for DETACH.   |                   |         |
| 17   | →<br>←           | NAS        | SS initiates a MT Detach procedure.   |                   |         |
| 18   | ←                | RRC        | SS initiates a RRC release procedure.   |                   |         |
| 19   |                  |            | Deactivate E-UTRAN Cell A and NR Cell B   |                   |         |

### 5.5.1.6 Expected Result

Step 4, UE could correctly select and camp on the E-UTRAN cell A

Step 6, UE could correctly report its EN-DC capability

Step 8 and 9, UE could support the establishment of NSA SCG.

Step 12, UE could correctly select and camp on the E-UTRAN cell B

Step 15, UE could correctly select and camp on the E-UTRAN cell C

## 5.5.2 Initial Registration, NSA

### 5.5.2.1 Test Purpose

Verify the UE can support NSA, and the data transmission can be performed successfully on PS-Cell after adding PS-Cell .

### 5.5.2.2 Reference specification

3GPP TS 38.331, clause 5.3.5

### 5.5.2.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

### 5.5.2.4 Test conditions

[SS configuration]

Cell A is a E-UTRAN cell.

Cell B is a NR Cell.

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -94 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted.

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**5.5.2.5 Test procedure**

PREAMBLE

1. Activate E-UTRAN Cell A and Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The UE is powered ON.

MAIN BODY

3. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
4. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
5. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
6. Verify the data transmission is performed successfully on Cell A.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB. RRCConnectionReconfiguration message contains the ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
9. The UE sends an ULInformationTransfer message containing the ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message.
10. Verify the downlink data transmission is performed successfully on Cell B.
11. Verify the uplink data transmission is performed successfully on Cell B.

POSTAMBLE

12. The SS initiates a Detach procedure.
13. The SS initiates a RRC release procedure.
14. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.5.2.5-1: Message Sequence

| Step | Message Sequence |       |   |                   | Verdict |
|------|------------------|-------|---|-------------------|---------|
|      | U-S              | Layer | Message   | Specific Contents |         |
| 1    | ←                | RRC   | SS Activates E-UTRAN Cell A and Cell B  |                   |         |
| 2    |                  | UE    | Switch On UE  | AT Command        |         |
| 3    | →                | NAS   | UE performs the registration procedure with activation of the initial default EPS bearer on |                   | PASS    |
|      | ←                | RRC   |   |                   |         |

|    |        |            |  |  |      |
|----|--------|------------|--|--|------|
|    |        |            | Cell A (see 36.508, 4.5.2 )  |  |      |
| 4  | ←      | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.  |  |      |
| 5  | →      | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.   |  | PASS |
| 6  |        |            | Verify the data transmission is performed successfully on Cell A.  |  | PASS |
| 7  | ←      | NAS<br>RRC | The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB. RRCConnectionReconfiguration message contains the ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message. |  |      |
| 8  | →      | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.   |  | PASS |
| 9  | →      | RRC        | UE sends an ULInformationTransfer message containing the ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message  |  | PASS |
| 10 |        |            | Verify the downlink data transmission is performed successfully on Cell B.   |  |      |
| 11 |        |            | Verify the uplink data transmission is performed successfully on Cell B.   |  |      |
| 12 | →<br>← | NAS        | SS initiates a Detach procedure.   |  | PASS |
| 13 | ←      | RRC        | SS initiates a RRC release procedure.  |  |      |
| 14 |        |            | Deactivate E-UTRAN Cell A and NR Cell B.   |  |      |

- Expected Result

Verify the UE can support NSA, and the data transmission can be performed successfully on PS-Cell after adding PS-Cell.

### 5.5.3 Bandwidth Part Configuration, SCG, EN-DC

#### 5.5.3.1 Test Purpose

When UE in RRC\_CONNECTED state with EN-DC, and, MCG (E-UTRA PDCP) and SCG, verify when UE receives an RRCConnectionReconfiguration message to configure a BandwidthPart for SCG, UE configures BandwidthPart for SCG and sends an RRCConnectionReconfigurationComplete message.

#### 5.5.3.2 Reference specification

3GPP TS 38.331, clause 5.3.5

#### 5.5.3.3 Applicability

This test applies to type 1 and 3 devices as described in clause 4.2.

#### 5.5.3.4 Test conditions

[SS configuration]

Cell A is a E-UTRAN cell.

Cell B is a NR Cell.

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -94 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted.

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

#### 5.5.3.5 Test procedure

PREAMBLE

1. Activate E-UTRAN Cell A and NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The UE is powered ON.

MAIN BODY

3. The UE performs the registration procedure with activation of the initial default EPS bearer



- on Cell A (see 36.508, 4.5.2 ).
- 4. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
- 5. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
- 6. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB. RRCConnectionReconfiguration message contains the ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message.
- 7. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
- 8. The UE sends an ULInformationTransfer message containing the ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message.
- 9. Verify the data transmission is performed successfully by using BWP-ID = 0 on Cell B.
- 10. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add a UL BWP and DL BWP(BWP-ID=1).
- 11. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
- 12. Switch to the new BWP(BWP-ID=1).
- 13. Verify the data transmission with the new BWP is performed successfully on Cell B.
- 14. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to delete a UL BWP and DL BWP(BWP-ID=1).
- 15. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
- 16. Verify the data transmission is performed successfully by using BWP-ID = 0 on Cell B.

POSTAMBLE

- 17. The SS initiates a Detach procedure.
- 18. The SS initiates a RRC release procedure.
- 19. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.1.1.5-1: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
| 1    | ←                | RRC        | SS Activates E-UTRAN Cell A and Cell B  |                   |         |
| 2    |                  | UE         | Switch On UE  | AT Command        |         |
| 3    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 )                   |                   | PASS    |
| 4    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.   |                   |         |
| 5    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.  |                   | PASS    |
| 6    | ←                | NAS<br>RRC | The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB. |                   |         |

|    |        |     |  |  |      |
|----|--------|-----|--|--|------|
|    |        |     | RRCConnectionReconfiguration message contains the ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message.                           |  |      |
| 7  | →      | RRC | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                         |  | PASS |
| 8  | →      | RRC | UE sends an ULInformationTransfer message containing the ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message                      |  | PASS |
| 9  |        |     | Verify the data transmission is performed successfully on Cell B.  |  | PASS |
| 10 | ←      | RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add a UL BWP and DL BWP(BWP-Id=1).    |  |      |
| 11 | →      | RRC | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                         |  | PASS |
| 12 |        |     | Switch to the new BWP(BWP-Id=1).   |  |      |
| 13 |        |     | Verify the data transmission with the new BWP is performed successfully on Cell B.   |  | PASS |
| 14 | ←      | RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to delete a UL BWP and DL BWP(BWP-Id=1). |  |      |
| 15 | →      | RRC | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                         |  | PASS |
| 16 |        |     | Verify the data transmission is performed successfully on Cell B as previous BWP((BWP-Id=0).                                       |  |      |
| 17 | →<br>← | NAS | SS initiates a Detach procedure.   |  | PASS |
| 18 | ←      | RRC | SS initiates a RRC release procedure.  |  |      |
| 19 |        |     | Deactivate E-UTRAN Cell A and NR Cell B  |  |      |

### 5.5.3.6 Expected Result

Verify the UE can add UL BWP and DL BWP(BWP-Id=1) successfully.

Verify the data transmission with the new BWP(BWP-Id=1) is performed successfully.

Verify the UE can delete UL BWP and DL BWP(BWP-Id=1) successfully.

## 5.5.4 NSA-RLF

### 5.5.4.1 Radio Link Failure in LTE P-Cell

#### 5.5.4.1.1 Test Purpose

When UE enters RLF condition while connected in EN-DC mode, verify whether it can reestablish in same P-Cell and enter again to EN-DC connected mode with Data Continuity.

#### 5.5.4.1.2 Reference specification

TS 38.304, TS 38.331, TS 38.300, TS 36.331

#### 5.5.4.1.3 Applicability

This test applies to the device that supports NSA or SA+NSA.

#### 5.5.4.1.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

E-UTRA Band = 39

EARFCN= f1

Bandwidth = 20 MHz

rootSequenceIndex TDD = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR Band = n41

NR-ARFCN= f1

Bandwidth = 100 MHz

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**5.5.4.1.5 Test procedure**

Table 5.5.4.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell B | Remark                         |
|------|----------------|-----------|--------------------------------|
| T0   | -85            | -90       |                                |
| T1   | -145           | -90       | Condition RLF in EUTRAN Cell A |
| T2   | -85            | -90       |                                |

PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.5.4.1.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UE Capability Information message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
9. Verify that Data transfer (Ping) performed on both EUTRAN Cell A and NR cell B

MAIN BODY

10. The SS configures the power level of the cells according to T1 in Table 5.5.4.1.5-1
11. Wait for T310 Expiry and SS configures the power level of the cells according to T2 in Table 5.5.4.1.5-1
12. Verify that UE initiates the RRC Connection Reestablishment request and completes the Reestablishment procedures
13. Verify that Data transfer(Ping) continued in EUTRAN cell A after reestablishment procedure
14. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell.
15. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
16. Verify that Data transfer(Ping) continued on both EUTRAN Cell A and NR cell B

POSTAMBLE

17. The SS initiates a Detach procedure.
18. The SS initiates a RRC release procedure.
19. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE  |                   |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.  |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 5.5.4.1.5-1  |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).              |                   | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.   |                   |         |
| 6    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.  |                   | PASS    |
| 7    | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB. |                   |         |
| 8    | →                | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                            |                   | PASS    |
| 9    |                  |            | Verify the data transmission is performed   |                   | PASS    |

|    |        |            |   |  |      |
|----|--------|------------|---|--|------|
|    |        |            | successfully on both EUTRAN Cell A and NR Cell B.   |  |      |
| 10 |        |            | SS configures the cell power according to T1 in Table 5.5.4.1.5-1   |  |      |
| 11 |        |            | Wait for T310 Expiry and SS configures the cell power according to T2 in Table 5.5.4.1.5-1  |  |      |
| 12 | →      | RRC        | UE sends RRC Connection Reestablishment Request   |  | PASS |
| 13 | ←      | RRC        | SS sends RRC Connection Reestablishment to the UE   |  |      |
| 14 | →      | RRC        | Verify that UE sends RRC Connection Reestablishment complete  |  | PASS |
| 15 |        |            | Verify that Data transfer(Ping) continued in EUTRAN cell A after reestablishment procedure  |  |      |
| 16 | ←      | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB. |  |      |
| 17 | →      | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                            |  | PASS |
| 18 |        |            | Verify that Data transfer(Ping) continued on both EUTRAN Cell A and NR cell B   |  | PASS |
| 19 | →<br>← | NAS        | SS initiates a Detach procedure.  |  | PASS |
| 20 | ←      | RRC        | SS initiates a RRC release procedure.   |  |      |
| 21 |        |            | Deactivate E-UTRAN Cell A and NR Cell B.  |  |      |

#### 5.5.4.1.6 Expected Result

When RLF introduced in EN-DC cell, UE should first Reestablish in P-Cell

#### 5.5.4.2 Radio Link Failure in NR PS-Cell - Reattaching to the same PS-Cell

##### 5.5.4.2.1 Test Purpose

When UE enters RLF condition in PS-Cell while connected in EN-DC mode, verify whether it can detect and attach in same PS-Cell and enter again to EN-DC connected mode with Data Continuity.

#### 5.5.4.2.2 Reference specification

TS 38.304, TS 38.331, TS 38.300, TS 36.331

#### 5.5.4.2.3 Applicability

This test applies to the device that supports NSA or SA+NSA.

#### 5.5.4.2.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

E-UTRA Band = 39

EARFCN= f1

Bandwidth = 20 MHz

rootSequenceIndex TDD = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR Band = n41

NR-ARFCN= f1

Bandwidth = 100 MHz

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

### 5.5.4.2.5 Test procedure

Table 5.5.4.2.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN<br>Cell A | NR<br>Cell B | Remark                        |
|------|-------------------|--------------|-------------------------------|
| T0   | -85               | -90          |                               |
| T1   | -85               | -145         | Condition RLF in NR<br>Cell B |
| T2   | -85               | -90          |                               |

#### PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.5.4.2.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
9. Verify that Data transfer (Ping) performed on both EUTRAN Cell A and NR cell B

#### MAIN BODY

10. The SS configures the power level of the cells according to T1 in Table 5.5.4.2.5-1
11. Verify that UE sends SCGFailureInformationNR with failure type set as ‘t310-expiry’ after T310 seconds
12. The SS configures the power level of the cells according to T2 in Table 5.5.4.2.5-1
13. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell.



14. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
15. Verify that Data transfer(Ping) performed on both EUTRAN Cell A and NR cell B

## POSTAMBLE

16. The SS initiates a Detach procedure.
17. The SS initiates a RRC release procedure.
18. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE  |                   |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.  |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 5.5.4.2.5-1  |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).              |                   | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.   |                   |         |
| 6    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.  |                   | PASS    |
| 7    | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB. |                   |         |
| 8    | →                | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                            |                   | PASS    |
| 9    |                  |            | Verify the data transmission is performed successfully on both EUTRAN Cell A and NR Cell B.   |                   | PASS    |
| 10   |                  |            | SS configures the cell power according to T1 in Table 5.5.4.2.5-1   |                   |         |
| 11   | →                | RRC        | Verify that after T310 expiry, UE is sending SCGFailureInformationNR with failure type set as ‘t310-expiry’                           |                   | PASS    |
| 12   |                  |            | SS configures the cell power according to T2 in Table 5.5.4.2.5-1   |                   |         |

|    |        |            |   |  |      |
|----|--------|------------|---|--|------|
| 13 | ←      | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB. |  |      |
| 14 | →      | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                            |  | PASS |
| 15 |        |            | Verify the data transmission is performed successfully on both EUTRAN Cell A and NR Cell B.   |  | PASS |
| 16 | →<br>← | NAS        | SS initiates a Detach procedure.  |  | PASS |
| 17 | ←      | RRC        | SS initiates a RRC release procedure.   |  |      |
| 18 |        |            | Deactivate E-UTRAN Cell A and NR Cell B.  |  |      |

**5.5.4.2.6 Expected Result**

When RLF introduced in EN-DC PS-Cell, UE should initiate the SCGFailureInformationNR message

**5.5.4.3 Radio Link Failure in NR PS-Cell – Re-attaching to different PS-Cell**

**5.5.4.3.1 Test Purpose**

When UE enters RLF condition in PS-Cell while connected in EN-DC mode, verify whether it can detect and attach in different PS-Cell and enter again to EN-DC connected mode with Data Continuity.

**5.5.4.3.2 Reference specification**

TS 38.304, TS 38.331, TS 38.300, TS 36.331

**5.5.4.3.3 Applicability**

This test applies to the device that supports NSA or SA+NSA.

**5.5.4.3.4 Test conditions**

[SS configuration]

Cell A is E-UTRAN Cell, CellB &Cell C are NR Cells.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

E-UTRA Band = 39  
EARFCN= f1  
Bandwidth = 20 MHz  
rootSequenceIndex TDD = 0  
Reference Signal EPRE= -85 dBm/15kHz  
upperLayerIndication-r15=true

NR Cell B  
Cell Id=02 TAC = 01  
MCC = 460 MNC = 00  
NR Band = n41  
NR-ARFCN= f1  
Bandwidth = 100 MHz  
SS/PBCH SSS EPRE = -125 dBm/30kHz

NR Cell C  
Cell Id=03 TAC = 01  
MCC = 460 MNC = 00  
NR Band = n41  
NR-ARFCN= f1  
Bandwidth = 100 MHz  
SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]  
The test UICC with USIM should be inserted  
The UE is in AUTOMATIC network selection mode.  
UE works in SA mode.

[Initial conditions]  
SS  
E-UTRAN Cell A is not active  
NR Cell B is not active  
NR Cell C is not active

The test shall be performed under ideal radio conditions.

UE  
UE is powered off

#### 5.5.4.3.5 Test procedure

Table 5.5.4.3.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell B | NR Cell C | Remark                     |
|------|----------------|-----------|-----------|----------------------------|
| T0   | -85            | -90       | NA        |                            |
| T1   | -85            | -145      | -90       | Condition RLF in NR Cell B |

#### PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.5.4.3.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell. RRCConnectionReconfiguration message contains the ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
9. The UE sends an ULInformationTransfer message containing the ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message.
10. Verify that Data transfer(Ping) performed on both EUTRAN Cell A and NR cell B

#### MAIN BODY

11. The SS configures the power level of the cells according to T1 in Table 5.5.4.3.5-1
12. Verify that UE sends SCGFailureInformationNR with failure type set as ‘t310-expiry’ after T310 seconds
13. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell C as NR PS-Cell.
14. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
15. Verify that Data transfer(Ping) performed on both EUTRAN Cell A and NR cell C

#### POSTAMBLE

16. The SS initiates a Detach procedure.
17. The SS initiates a RRC release procedure.

## 18. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE   |                   |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 5.5.4.3.5-1   |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).   |                   | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.  |                   |         |
| 6    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.   |                   | PASS    |
| 7    | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB. RRCConnectionReconfiguration message contains the ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message. |                   |         |
| 8    | →                | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.   |                   | PASS    |
| 9    | →                | NAS<br>RRC | UE sends an ULInformationTransfer message containing the ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message  |                   | PASS    |
| 10   |                  |            | Verify the data transmission is performed successfully on both EUTRAN Cell A and NR Cell B.  |                   | PASS    |
| 11   |                  |            | SS configures the cell power according to T1 in Table 5.5.4.3.5-1  |                   |         |
| 12   | →                | RRC        | Verify that after T310 expiry, UE is sending SCGFailureInformationNR with failure type set as ‘t310-expiry’  |                   | PASS    |
| 13   | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell C as NR PS-Cell with Split DRB.  |                   |         |

|    |        |     |  |  |      |
|----|--------|-----|--|--|------|
| 14 | →      | RRC | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message. |  | PASS |
| 15 |        |     | Verify the data transmission is performed successfully on both EUTRAN Cell A and NR Cell B.                |  | PASS |
| 16 | →<br>← | NAS | SS initiates a Detach procedure.   |  | PASS |
| 17 | ←      | RRC | SS initiates a RRC release procedure.  |  |      |
| 18 |        |     | Deactivate E-UTRAN Cell A and NR Cell C.   |  |      |

#### 5.5.4.3.6 Expected Result

When RLF introduced in EN-DC PS-Cell, UE should initiate the SCGFailureInformationNR message

#### 5.5.4.4 Radio Link Failure Detection and Recovery in PS-Cell

##### 5.5.4.4.1 Test Purpose

When UE enters RLF condition in PS-Cell while connected in EN-DC mode, verify whether it can detect and recover in same PS-Cell with Data Continuity.

##### 5.5.4.4.2 Reference specification

TS 38.304, TS 38.331, TS 38.300, TS 36.331

##### 5.5.4.4.3 Applicability

This test applies to the device that supports NSA or SA+NSA.

##### 5.5.4.4.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

E-UTRA Band = 39

EARFCN= f1

Bandwidth = 20 MHz

rootSequenceIndex TDD = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR Band = n41

NR-ARFCN= f1

Bandwidth = 100 MHz

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**5.5.4.4.5 Test procedure**

Table 5.5.4.4.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell B | Remark                     |
|------|----------------|-----------|----------------------------|
| T0   | -85            | -90       |                            |
| T1   | -85            | -145      | Condition RLF in NR Cell B |
| T2   | -85            | -90       |                            |

## PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.5.4.4.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell. RRCConnectionReconfiguration message contains the ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
9. UE sends an ULInformationTransfer message containing the ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message.
10. Verify that Data transfer (Ping) performed on both EUTRAN Cell A and NR cell B

## MAIN BODY

11. The SS configures the power level of the cells according to T1 in Table 5.5.4.4.5-1
12. The SS configures the power level of the cells according to T2 in Table 5.5.4.4.5-1 before T310 timer expiry
13. Verify that Data transfer (Ping) continued on both EUTRAN Cell A and NR cell B

## POSTAMBLE

14. The SS initiates a Detach procedure.
15. The SS initiates a RRC release procedure.
16. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE   |                   |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 5.5.4.4.5-1   |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ). |                   | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest   |                   |         |



|    |        |            |  |  |      |
|----|--------|------------|--|--|------|
|    |        |            | including the RAT type “eutra-nr”.   |  |      |
| 6  | →      | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.   |  | PASS |
| 7  | ←      | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB. RRCConnectionReconfiguration message contains the ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message. |  |      |
| 8  | →      | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.   |  | PASS |
| 9  | →      | NAS<br>RRC | UE sends an ULInformationTransfer message containing the ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message  |  | PASS |
| 10 |        |            | Verify the data transmission is performed successfully on both EUTRAN Cell A and NR Cell B.  |  | PASS |
| 11 |        |            | SS configures the cell power according to T1 in Table 5.5.4.4.5-1  |  |      |
| 12 |        |            | SS configures the cell power according to T2 in Table 5.5.4.4.5-1 before T310 expiry   |  |      |
| 13 |        |            | Verify the data transmission is continued successfully on both EUTRAN Cell A and NR Cell B.  |  | PASS |
| 14 | →<br>← | NAS        | SS initiates a Detach procedure.   |  | PASS |
| 15 | ←      | RRC        | SS initiates a RRC release procedure.  |  |      |
| 16 |        |            | Deactivate E-UTRAN Cell A and NR Cell B.   |  |      |

#### 5.5.4.4.6 Expected Result

When RLF introduced and resolved before T310 expiry in EN-DC PS-Cell, UE should detect and recover from the RLF and continue the data transmission

## 5.6 CSI-RS Measurement

### 5.6.1 CSI Reporting Periodic in PUSCH

### 5.6.1.1 Test Purpose

Periodic CSI measurement configuration for CSI type 1 in NR cell when UE is connected to EN-DC.

### 5.6.1.2 Reference specification

TS 36.304, clause 5.2.4, TS 38.213, 38.331

### 5.6.1.3 Applicability

This test applies to the device that supports NSA or SA+NSA.

### 5.6.1.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

### 5.6.1.5 Test procedure

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell B | Remark |
|------|----------------|-----------|--------|
| T0   | -85            | -90       |        |

PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.6.1.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UE Capability Information message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
9. Verify that Data transfer (Ping) performed on NR cell B

MAIN BODY

10. Send RRCConnectionReconfiguration message containing NR RRCReconfiguration to configure NR Measurement Objects, Report configuration, Report Quantity, Codebook Configuration (Type 1), Resource periodicity and offset to the UE
11. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
12. Verify that CSI-RS measurements are received for the NR cell

POSTAMBLE

13. The SS initiates a Detach procedure.
14. The SS initiates a RRC release procedure.
15. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.6.1.55.1.1.5-2: Message Sequence

| Step | Message Sequence |       |   | Verdict    |
|------|------------------|-------|---|------------|
|      | U-S              | Layer | Message   |            |
|      |                  |       | Connect the power consumption tester to the UE  |            |
| 1    | ←                | RRC   | Activate E-UTRAN Cell A and NR Cell B.  |            |
| 2    |                  |       | SS configures the initial power according to T0 in Table 5.6.1.5-1.                         |            |
| 3    |                  | UE    | Switch On UE  | AT Command |
| 4    | →                | NAS   | UE performs the registration procedure with activation of the initial default EPS bearer on | PASS       |
|      | ←                | RRC   |   |            |

|    |        |            |   |  |      |
|----|--------|------------|---|--|------|
|    |        |            | Cell A (see 36.508, 4.5.2 ).  |  |      |
| 5  | ←      | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.   |  |      |
| 6  | →      | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.  |  |      |
| 7  | ←      | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB.   |  |      |
| 8  | →      | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                              |  | PASS |
| 9  |        |            | Verify the data transmission is performed successfully on NR Cell B.  |  | PASS |
| 10 | ←      | RRC        | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to configure the Periodic CSI-RS Measurements |  |      |
| 11 | →      | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                              |  |      |
| 12 | →      |            | Verify that periodic CSI-RS measurements are received   |  | PASS |
| 13 | →<br>← | NAS        | SS initiates a Detach procedure.  |  | PASS |
| 14 | ←      | RRC        | SS initiates a RRC release procedure.   |  |      |
| 15 |        |            | Deactivate E-UTRAN Cell A and NR Cell B.  |  |      |

### 5.6.1.6 Expected Result

Verify that UE sends periodic CSI-RS measurements in PUCCH for NR cell

## 5.6.2 CSI Reporting Aperiodic in PUSCH

### 5.6.2.1 Test Purpose

Aperiodic CSI measurement configuration for CSI type 1 in NR cell when UE is connected to EN-DC.

### 5.6.2.2 Reference specification

TS 36.304, clause 5.2.4, TS 38.213, 38.331

### 5.6.2.3 Applicability

This test applies to the device that supports NSA or SA+NSA.

**5.6.2.4 Test conditions**

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**5.6.2.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell B | Remark |
|------|----------------|-----------|--------|
| T0   | -85            | -90       |        |

PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B.The SS configures the corresponding Master Information Block and System Information Block broadcast.

2. The SS configures the initial power according to T0 in Table 5.6.2.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
9. Verify that Data tranfer(Ping) perofrmed on NR cell B

MAIN BODY

10. Send RRCConnectionReconfiguration message containing NR RRCReconfiguration to configure NR Measurement Objects, Report configuration, Report Quantity, Codebook Configuration(Type 1), Resource periodicity and offset to the UE
11. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
12. SS sends DCI to trigger the Aperiodic CSI-RS Measurements to the UE
13. Verify that CSI-RS measurements are received for the NR cell

POSTAMBLE

14. The SS initiates a Detach procedure.
15. The SS initiates a RRC release procedure.
16. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.6.2.55.1.1.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE   |                   |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 5.6.2.5-1.  |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ). |                   | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.  |                   |         |
| 6    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.                                   |                   |         |
| 7    | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration  |                   |         |

|    |        |     |   |  |      |
|----|--------|-----|---|--|------|
|    |        |     | message to add Cell B as NR PS-Cell with Split DRB.   |  |      |
| 8  | →      | RRC | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                              |  | PASS |
| 9  |        |     | Verify the data transmission is performed successfully on NR Cell B.  |  | PASS |
| 10 | ←      | RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to configure the Periodic CSI-RS Measurements |  |      |
| 11 | →      | RRC | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                              |  |      |
| 12 | ←      |     | SS sends DCI to trigger Aperiodic CSI-RS measurements to the UE   |  |      |
| 13 | →      |     | Verify that Aperiodic CSI-RS measurements are received  |  | PASS |
| 14 | →<br>← | NAS | SS initiates a Detach procedure.  |  | PASS |
| 15 | ←      | RRC | SS initiates a RRC release procedure.   |  |      |
| 16 |        |     | Deactivate E-UTRAN Cell A and NR Cell B.  |  |      |

### 5.6.2.6 Expected Result

Verify that UE sends aperiodic CSI-RS measurements in PUSCH for NR cell

## 5.6.3 CSI Reporting Aperiodic in PUSCH

### 5.6.3.1 Test Purpose

Aperiodic CSI measurement configuration for CSI type 1 in NR CA cell when UE is connected to EN-DC.

### 5.6.3.2 Reference specification

TS 36.304, clause 5.2.4, TS 38.213, 38.331

### 5.6.3.3 Applicability

This test applies to the device that supports NSA or SA+NSA.

### 5.6.3.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, Cell B and Cell C are NR Cells.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex= 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

NR Cell C

Cell Id=03 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B is not active

NR Cell C is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**5.6.3.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell B | NR Cell C | Remark |
|------|----------------|-----------|-----------|--------|
| T0   | -85            | -90       | -95       |        |



## PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.6.3.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
9. Verify that Data transfer (Ping) performed on NR cell B

## MAIN BODY

10. SS Sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell C as NR S-Cell to NR PS-Cell A
11. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
12. Send RRCConnectionReconfiguration message containing NR RRCReconfiguration to configure NR Measurement Objects, Report configuration, Report Quantity, Codebook Configuration (Type 1), Resource periodicity and offset to the UE
13. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
14. SS sends DCI to trigger the Aperiodic CSI-RS Measurements to the UE
15. Verify that CSI-RS measurements are received for the NR S-Cell

## POSTAMBLE

16. The SS initiates a Detach procedure.
17. The SS initiates a RRC release procedure.
18. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.6.3.55.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   | Verdict    |
|------|------------------|------------|---|------------|
|      | U-S              | Layer      | Message   |            |
|      |                  |            | Connect the power consumption tester to the UE  |            |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.  |            |
| 2    |                  |            | SS configures the initial power according to T0 in Table 5.6.3.5-1.                         |            |
| 3    |                  | UE         | Switch On UE  | AT Command |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on | PASS       |

|    |        |            |   |  |      |
|----|--------|------------|---|--|------|
|    |        |            | Cell A (see 36.508, 4.5.2 ).  |  |      |
| 5  | ←      | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.   |  |      |
| 6  | →      | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.  |  |      |
| 7  | ←      | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB.   |  |      |
| 8  | →      | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                              |  | PASS |
| 9  |        |            | Verify the data transmission is performed successfully on NR Cell B.  |  | PASS |
| 10 | ←      | RRC        | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add NR Cell C as NR S-Cell to NR PS-Cell B |  |      |
| 11 | →      | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                              |  | PASS |
| 12 | ←      | RRC        | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to configure the Periodic CSI-RS Measurements |  |      |
| 13 | →      | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                              |  |      |
| 14 | ←      |            | SS sends DCI to trigger Aperiodic CSI-RS measurements to the UE   |  |      |
| 15 | →      |            | Verify that Aperiodic CSI-RS measurements are received for NR cell C  |  | PASS |
| 16 | →<br>← | NAS        | SS initiates a Detach procedure.  |  | PASS |
| 17 | ←      | RRC        | SS initiates a RRC release procedure.   |  |      |
| 18 |        |            | Deactivate E-UTRAN Cell A and NR Cell B.  |  |      |

### 5.6.3.6 Expected Result

Verify that UE sends aperiodic CSI-RS measurements in PUSCH for NR cell C

### 5.6.4 CSI-RS Based Intra Frequency Measurements on Neighbour Cell Beams

#### 5.6.4.1 Test Purpose

Verify that When CSI-RSRP measurements are activated for multiple neighbour beam indexes, UE reports the beam indexes properly

#### 5.6.4.2 Reference specification

TS 36.304, clause 5.2.4, TS 38.213, 38.331

#### 5.6.4.3 Applicability

This test applies to the device that supports NSA or SA+NSA.

#### 5.6.4.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, Cell B and Cell C are intra frequency NR Cells.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex= 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

NR Cell C

Cell Id=03 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE for Beam 1 = -125 dBm/30kHz

SS/PBCH SSS EPRE for Beam 2 = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

- E-UTRAN Cell A is not active
- NR Cell B is not active
- NR Cell C is not active

The test shall be performed under ideal radio conditions.

UE

- UE is powered off

#### 5.6.4.5 Test procedure

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell B | NR Cell C | Remark |
|------|----------------|-----------|-----------|--------|
| T0   | -85            | -90       | -98       |        |
| T1   | -85            | -106      | -98       |        |

PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.6.4.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
9. Verify that Data transfer (Ping) performed on NR cell B

MAIN BODY

10. SS Sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to configure the measurement configuration to setup a SS/PBCH block based intra frequency NR measurements for NR cell B and reporting event A3
11. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
12. SS reconfigures the cell power level according to T1 in Table 5.6.4.5-1
13. Verify that UE sends measured result for Event A3 with beam information containing RslIndex[0] and RslIndex[1] for neighbour NR cell C

POSTAMBLE

14. The SS initiates a Detach procedure.

15. The SS initiates a RRC release procedure.
16. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.6.4.55.1.1.5-2: Message Sequence

| Step | Message Sequence |            |  | Verdict    |
|------|------------------|------------|--|------------|
|      | U-S              | Layer      | Message  |            |
|      |                  |            | Connect the power consumption tester to the UE   |            |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.   |            |
| 2    |                  |            | SS configures the initial power according to T0 in Table 5.6.4.5-1.  |            |
| 3    |                  | UE         | Switch On UE   | AT Command |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).   | PASS       |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.  |            |
| 6    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.   |            |
| 7    | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB.  |            |
| 8    | →                | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.   | PASS       |
| 9    |                  |            | Verify the data transmission is performed successfully on NR Cell B.   | PASS       |
| 10   | ←                | RRC        | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to configure the measurement configuration to setup a SS/PBCH block based intra frequency NR measurements for NR cell B and reporting event A3 |            |
| 11   | →                | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.   | PASS       |
| 12   | ←                | RRC        | SS reconfigures the cell power level according to T1 in Table 5.6.4.5-1  |            |
| 13   | →                | RRC        | UE sends measured result in Measurement report for Event A3 with beam information  |            |

|    |        |     |  |  |      |
|----|--------|-----|--|--|------|
|    |        |     | containing RsindeX[0] and RsindeX[1] for NR cell C |  |      |
| 14 | →<br>← | NAS | SS initiates a Detach procedure.                   |  | PASS |
| 15 | ←      | RRC | SS initiates a RRC release procedure.              |  |      |
| 16 |        |     | Deactivate E-UTRAN Cell A and NR Cell B.           |  |      |

#### 5.6.4.6 Expected Result

Verify that UE sends measurement report for two beam indexes for the neighbour NR cell C

### 5.6.5 CSI-RS Based Inter Frequency Measurements on Neighbour Cell Beams

#### 5.6.5.1 Test Purpose

Verify that When CSI-RSRP measurements are activated for multiple neighbour beam indexes, UE reports the beam indexes properly

#### 5.6.5.2 Reference specification

TS 36.304, clause 5.2.4, TS 38.213, 38.331

#### 5.6.5.3 Applicability

This test applies to the device that supports NSA or SA+NSA.

#### 5.6.5.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, Cell B and Cell C are inter frequency NR Cells.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

NR Cell C  
 Cell Id=03 TAC = 01  
 MCC = 460 MNC = 00  
 NR-ARFCN= f1  
 SS/PBCH SSS EPRE for Beam 1 = -125 dBm/30kHz  
 SS/PBCH SSS EPRE for Beam 2 = -125 dBm/30kHz

[UE configuration]  
 The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in NSA mode.

[Initial conditions]  
 SS  
 E-UTRAN Cell A is not active  
 NR Cell B is not active  
 NR Cell C is not active

The test shall be performed under ideal radio conditions.

UE  
 UE is powered off

**5.6.5.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell B | NR Cell C | Remark |
|------|----------------|-----------|-----------|--------|
| T0   | -85            | -90       | -98       |        |
| T1   | -85            | -106      | -98       |        |

PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.6.5.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
9. Verify that Data transfer(Ping) performed on NR cell B

MAIN BODY

10. SS Sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to configure the measurement configuration to setup a SS/PBCH block based inter frequency NR measurements for NR cell C and reporting event A3
11. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
12. SS reconfigures the cell power level according to T1 in Table 5.6.5.5-1
13. Verify that UE sends measured result for Event A3 with beam information containing RsIndex[0] and RsIndex[1] for neighbour NR cell C

POSTAMBLE

14. The SS initiates a Detach procedure.
15. The SS initiates a RRC release procedure.
16. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE  |                   |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.  |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 5.6.5.5-1.   |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).              |                   | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.   |                   |         |
| 6    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.  |                   |         |
| 7    | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB. |                   |         |
| 8    | →                | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                            |                   | PASS    |
| 9    |                  |            | Verify the data transmission is performed successfully on NR Cell B.  |                   | PASS    |
| 10   | ←                | RRC        | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to configure the measurement                |                   |         |



|    |        |     |  |  |      |
|----|--------|-----|--|--|------|
|    |        |     | configuration to setup a SS/PBCH block based inter frequency NR measurements for NR cell C and reporting event A3                    |  |      |
| 11 | →      | RRC | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                           |  | PASS |
| 12 | ←      | RRC | SS reconfigures the cell power level according to T1 in Table 5.6.5.5-1  |  |      |
| 13 | →      | RRC | UE sends measured result in Measurement report for Event A3 with beam information containing RsIndex[0] and RsIndex[1] for NR cell C |  |      |
| 14 | →<br>← | NAS | SS initiates a Detach procedure.   |  | PASS |
| 15 | ←      | RRC | SS initiates a RRC release procedure.  |  |      |
| 16 |        |     | Deactivate E-UTRAN Cell A and NR Cell B.   |  |      |

#### 5.6.5.6 Expected Result

Verify that UE sends measurement report for two beam indexes for the neighbour NR cell C

### 5.7 RRC Inactive mode cases in SA

#### 5.7.1 RRC Connected Mode to RRC Inactive Mode and RRC Resume Procedure

##### 5.7.1.1 Test Purpose

When RRC connection Release is send to UE with Suspending RRC connection verify that UE is entering RRC Inactive mode. When Paging is sent to UE in RRC Inactive Mode verify that UE resumes the RRC Connection by inititating RRC Resume Procedure

##### 5.7.1.2 Reference specification

TS 38.304, TS 38.331, TS 38.300

##### 5.7.1.3 Applicability

This test applies to the device that supports SA or SA+NSA.

##### 5.7.1.4 Test conditions

[SS configuration]

Cell A is a NR Cell.

Cell A supports only SA

NR Cell A

Cell Id=01 TAC = 01  
 MCC = 460 MNC = 00  
 NR Band = n41  
 NR-ARFCN= f1  
 Bandwidth = 100 MHz  
 SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in SA mode.

[Initial conditions]

SS  
 NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE  
 UE is powered off

**5.7.1.5 Test procedure**

Table 5.1.1.55-1: Time of cell power level and parameter changes

| Time | NR Cell A | Remark |
|------|-----------|--------|
| T0   | -75       |        |

**PREAMBLE**

1. Activate NR Cell A.The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.7.1.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2-2 till Step 18 ).
5. Verify that Data tranfer(Ping) perofrmed on NR cell A

**MAIN BODY**

6. The RRC connection is released by the SS with suspendConfig. The UE enters Registered, Inactive Mode
7. SS initiates paging message to UE

8. Verify that UE is initiating RRC Resume Procedure by sending RRCResumeRequest to SS
9. SS transmits RRCResume message to the UE
10. Verify that UE is sending RRCResumeComplete to SS
11. Verify that Data transfer(Ping) performed on NR cell A

POSTAMBLE

12. The SS initiates a Deregistration procedure.
13. The SS initiates a RRC release procedure.
14. Deactivate NR Cell A.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE                                      |                   |         |
| 1    | ←                | RRC        | Activate NR Cell A.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 5.7.1.5-1.                 |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2-2 till Step 18 ). |                   | PASS    |
| 5    |                  |            | Verify the data transmission is performed successfully on NR Cell A.                |                   | PASS    |
| 6    | ←                | RRC        | The RRC connection is released by the SS with suspendConfig.                        |                   |         |
| 7    | ←                | RRC        | Paging  |                   |         |
| 8    | →                | RRC        | RRCResumeRequest  |                   | PASS    |
| 9    | ←                | RRC        | RRCResume   |                   |         |
| 10   | →                | RRC        | RRCResumeComplete   |                   | PASS    |
| 11   |                  |            | Verify the data transmission is performed successfully on NR Cell A.                |                   | PASS    |
| 12   | →<br>←           | NAS        | SS initiates a Deregistration procedure.  |                   | PASS    |
| 13   | ←                | RRC        | SS initiates a RRC release procedure.   |                   |         |
| 14   |                  |            | Deactivate NR Cell A.   |                   |         |

**5.7.1.6 Expected Result**

Verify that UE moves to RRC Inactive Mode and comes back to RRC Connected mode when instructed.

**5.7.2 Periodic RNA Update Procedure in RRC Inactive Mode**

### 5.7.2.1 Test Purpose

When RNA Update timer expires in RRC Inactive Mode verify that UE initiates RRC Connection Resume Procedure for RNA Update

### 5.7.2.2 Reference specification

TS 38.304, TS 38.331, TS 38.300

### 5.7.2.3 Applicability

This test applies to the device that supports SA or SA+NSA.

### 5.7.2.4 Test conditions

[SS configuration]

Cell A is a NR Cell.

Cell A supports only SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR Band = n41

NR-ARFCN= f1

Bandwidth = 100 MHz

SS/PBCH SSS EPRE = -125 dBm/30kHz

T380 = min5

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

### 5.7.2.5 Test procedure

Table 5.1.1.55-1: Time of cell power level and parameter changes

| Time | NR Cell A | Remark |
|------|-----------|--------|
|      |           |        |

|    |     |  |
|----|-----|--|
| T0 | -75 |  |
|----|-----|--|

PREAMBLE

1. Activate NR Cell A.The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.7.2.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2-2 till Step 18 ).
5. Verify that Data tranfer(Ping) perofrmed on NR cell A

MAIN BODY

6. The RRC connection is released by the SS with suspendConfig. The UE enters Registered, Inactive Mode
7. Wait for t380 timer duration
8. After t380 expiry Verify that UE is initiating RRC Resume Procedure by sending RRCResumeRequest with resume cause set as ‘rna-Update’ to SS
9. SS transmits RRCResume message to the UE
10. Verify that UE is sending RRCResumeComplete to SS
11. Verify that Data tranfer(Ping) perofrmed on NR cell A

POSTAMBLE

12. The SS initiates a Deregitation procedure.
13. The SS initiates a RRC release procedure.
14. Deactivate NR Cell A.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE                                      |                   |         |
| 1    | ←                | RRC        | Activate NR Cell A.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 5.7.2.5-1.                 |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2-2 till Step 18 ). |                   | PASS    |
| 5    |                  |            | Verify the data transmission is performed   |                   | PASS    |

|    |        |     |  |  |      |
|----|--------|-----|--|--|------|
|    |        |     | successfully on NR Cell A.   |  |      |
| 6  | ←      | RRC | The RRC connection is released by the SS with suspendConfig.         |  |      |
| 7  |        |     | Wait for t380 timer duration   |  |      |
| 8  | →      | RRC | RRCResumeRequest with resume cause rna-update                        |  | PASS |
| 9  | ←      | RRC | RRCResume  |  |      |
| 10 | →      | RRC | RRCResumeComplete  |  | PASS |
| 11 |        |     | Verify the data transmission is performed successfully on NR Cell A. |  | PASS |
| 12 | →<br>← | NAS | SS initiates a Deregistration procedure.                             |  | PASS |
| 13 | ←      | RRC | SS initiates a RRC release procedure.                                |  |      |
| 14 |        |     | Deactivate NR Cell A.  |  |      |

### 5.7.2.6 Expected Result

Verify that when t380 expired, UE is initiating RRC Connection Resume Procedure

### 5.7.3 RNA Update in RRC Inactive Mode for Cell Reselection to new RNA cell

#### 5.7.3.1 Test Purpose

When UE is reselecting into new RNA Cell while in RRC Inactive Mode, verify that it initiates RRC Resume Procedure to update the RNA

#### 5.7.3.2 Reference specification

TS 38.304, TS 38.331, TS 38.300

#### 5.7.3.3 Applicability

This test applies to the device that supports SA or SA+NSA.

#### 5.7.3.4 Test conditions

[SS configuration]

Cell A and Cell B are NR Cells.

Cell A and Cell B supports only SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR Band = n41

NR-ARFCN= f1

Bandwidth = 100 MHz

SS/PBCH SSS EPRE = -125 dBm/30kHz

T380 = min10

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR Band = n41

NR-ARFCN= f1

Bandwidth = 100 MHz

SS/PBCH SSS EPRE = -125 dBm/30kHz

T380 = min5

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**5.7.3.5 Test procedure**

Table 5.1.1.55-1: Time of cell power level and parameter changes

| Time | NR Cell A | NR Cell B | Remark                |
|------|-----------|-----------|-----------------------|
| T0   | -75       | -145      |                       |
| T1   | -95       | -75       | Reselection to Cell B |

PREAMBLE

1. Activate NR Cell A.The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.7.3.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2-2 till Step 18 ).
5. Verify that Data tranfer(Ping) perofrmed on NR cell A

## MAIN BODY

6. The RRC connection is released by the SS with suspendConfig. The UE enters Registered, Inactive Mode
7. The SS configures the cell power level according to T1 in Table 5.7.3.5-1
8. Verify that UE is initiating RRC Resume Procedure by sending RRCResumeRequest with resume cause set as 'rna-Update' to SS in NR Cell B
9. SS transmits RRCResume message to the UE in NR Cell B
10. Verify that UE is sending RRCResumeComplete to SS in NR Cell B
11. Verify that Data transfer(Ping) performed on NR cell B

## POSTAMBLE

12. The SS initiates a Deregistration procedure.
13. The SS initiates a RRC release procedure.
14. Deactivate NR Cell A.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE                                      |                   |         |
| 1    | ←                | RRC        | Activate NR Cell A.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 5.7.3.5-1.                 |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2-2 till Step 18 ). |                   | PASS    |
| 5    |                  |            | Verify the data transmission is performed successfully on NR Cell A.                |                   | PASS    |
| 6    | ←                | RRC        | The RRC connection is released by the SS with suspendConfig.                        |                   |         |
| 7    |                  |            | The SS configures the cell power level according to T1 in Table 5.7.3.5-1           |                   |         |
| 8    | →                | RRC        | RRCResumeRequest with resume cause rna-update in Cell B                             |                   | PASS    |
| 9    | ←                | RRC        | RRCResume in Cell B   |                   |         |
| 10   | →                | RRC        | RRCResumeComplete in Cell B   |                   | PASS    |
| 11   |                  |            | Verify the data transmission is performed successfully on NR Cell B.                |                   | PASS    |
| 12   | →<br>←           | NAS        | SS initiates a Deregistration procedure.  |                   | PASS    |



|    |   |     |                                       |  |  |
|----|---|-----|---------------------------------------|--|--|
| 13 | ← | RRC | SS initiates a RRC release procedure. |  |  |
| 14 |   |     | Deactivate NR Cell A and B.           |  |  |

### 5.7.3.6 Expected Result

Verify that when reselection performed on new RNA cell while in RRC Inactive Mode, UE initiates the RRC Resume Procedure to SS

## 5.8 Access Barring, Access Class

### 5.8.1 Cell Barred while in RRC IDLE State

#### 5.8.1.1 Test Purpose

When cell is barred while UE is RRC IDLE state, Verify that UE is reselecting onto another intra frequency neighbor cell

#### 5.8.1.2 Reference specification

TS 38.304, TS 38.331, TS 38.300

#### 5.8.1.3 Applicability

This test applies to the device that supports SA or SA+NSA.

#### 5.8.1.4 Test conditions

[SS configuration]

Cell A and Cell B are NR Cells.

Cell A and B supports only SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR Band = n41

NR-ARFCN= f1

Bandwidth = 100 MHz

SS/PBCH SSS EPRE = -125 dBm/30kHz

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR Band = n41

NR-ARFCN= f1

Bandwidth = 100 MHz

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**5.8.1.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | NR Cell B | Remark |
|------|-----------|-----------|--------|
| T0   | -75       | -85       |        |

PREAMBLE

1. Activate NR Cell A and B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.8.1.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2-2 till Step 20a1 ).

MAIN BODY

5. SS transmits short message (with bit 1 set to 1) in PDCCH using P-RNTI with or without paging message using short message field in DCI\_format 1\_0
6. SS Transmits Cell A MIB with barred as for cellBarred IE and SIBs.
7. The UE performs the Reselection procedure on Cell B
8. SS initiates paging message to UE on Cell B
9. UE sends RRC Request to the SS on Cell B
10. SS transmits RRC Setup message to UE
11. UE sends RRC Setup Complete with Service Request NAS message
12. SS sends the Access stratum Security Mode Command to the UE
13. UE responds with AS Security Mode Complete to the SS

14. SS Transmits RRC Reconfiguration to establish SRB2 and DRB with a Service accept NAS message
15. UE sends RRC Reconfiguration Complete to the SS

POSTAMBLE

16. The SS initiates a Deregistration procedure.
17. The SS initiates a RRC release procedure.
18. Deactivate NR Cell A and B.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE  |                   |         |
| 1    | ←                | RRC        | Activate NR Cell A.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 5.8.1.5-1.                             |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2-2 till Step 20a1 ). |                   | PASS    |
| 5    | ←                | MAC        | SS sends short message to the UE with systemInfoModification bit set 1                          |                   |         |
| 6    | ←                | RRC        | SS broadcasts MIB with cellBarred IE as barred  |                   |         |
| 7    |                  |            | UE performs IDLE Mode reselection procedure in Cell B   |                   |         |
| 8    | ←                | RRC        | Paging in Cell B  |                   |         |
| 9    | →                | RRC        | RRCRequest in Cell B  |                   | PASS    |
| 10   | ←                | RRC        | RRCSetup  |                   |         |
| 11   | →                | NAS<br>RRC | RRCSetupComplete with Service Request NAS message   |                   |         |
| 12   | ←                | RRC        | AS Security Mode Command  |                   |         |
| 13   | →                | RRC        | AS Security Mode Complete   |                   |         |
| 14   | ←                | NAS<br>RRC | RRCReconfiguration with Service Accept NAS message  |                   |         |
| 15   | →                | RRC        | RRCReconfigurationComplete  |                   | PASS    |
| 16   | →<br>←           | NAS        | SS initiates a Deregistration procedure.  |                   | PASS    |
| 17   | ←                | RRC        | SS initiates a RRC release procedure.   |                   |         |
| 18   |                  |            | Deactivate NR Cell A and B  |                   |         |

### 5.8.1.6 Expected Result

While UE is RRC IDLE mode if Cell is barred verify that UE is reselecting into neighbour cell.

## 5.8.2 Access Categories for Different Services

### 5.8.2.1 Test Purpose

This case is to verify when different services are initiated then different access category is requested by the device

### 5.8.2.2 Reference specification

TS 38.304, TS 38.331, TS 38.300

### 5.8.2.3 Applicability

This test applies to the device that supports SA or SA+NSA.

### 5.8.2.4 Test conditions

[SS configuration]

Cell A is a NR Cell.

Cell A supports only SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR Band = n41

NR-ARFCN= f1

Bandwidth = 100 MHz

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

### 5.8.2.5 Test procedure

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR<br>Cell A | Remark |
|------|--------------|--------|
| T0   | -75          |        |

#### PREAMBLE

1. Activate NR Cell A. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.8.2.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2-2 till Step 18).

#### MAIN BODY

5. SS initiates paging message to UE
6. UE sends RRC Request with access category as 0 (establishment cause as mt-Access) to the SS
7. SS transmits RRC Setup message to UE
8. UE sends RRC Setup Complete with Service Request NAS message
9. SS transmits the Access stratum Security Mode Command to the UE
10. UE responds with AS Security Mode Complete to the SS
11. SS Transmits RRC Reconfiguration to establish SRB2 and DRB with a Service accept NAS message
12. UE sends RRC Reconfiguration Complete to the SS
13. RRC connection is released by SS
14. Start the ping from UE to SS
15. UE sends RRC Request with access category as 7 (establishment cause as mo-Data) to the SS
16. SS transmits RRC Setup message to UE
17. UE sends RRC Setup Complete to SS
18. SS transmits the AS Security Mode Command to the UE
19. UE responds with AS Security Mode Complete to the SS
20. SS Transmits RRC Reconfiguration to establish SRB2 and DRB with a Service accept NAS message
21. UE sends RRC Reconfiguration Complete to the SS
22. Ping is performed
23. RRC connection is released by SS
24. Initiate the switch off procedure from UE

- 25. UE sends RRC Request with access category as 3(establishment cause as mo-signaling) to the SS
- 26. SS transmits RRC Setup message to UE
- 27. UE sends RRC Setup Complete with Deregistration NAS message
- 28. SS Transmits Deregistration Accept to UE

POSTAMBLE

- 29. The SS initiates a RRC release procedure.
- 30. Deactivate NR Cell A.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE  |                   |         |
| 1    | ←                | RRC        | Activate NR Cell A.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 5.8.2.5-1.                             |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2-2 till Step 20a1 ). |                   | PASS    |
| 5    | ←                | RRC        | Paging  |                   |         |
| 6    | →                | RRC        | RRCRequest with establishment cause as mt-Access  |                   | PASS    |
| 7    | ←                | RRC        | RRCSetup  |                   |         |
| 8    | →                | NAS<br>RRC | RRCSetupComplete with Service Request NAS message   |                   |         |
| 9    | ←                | RRC        | AS Security Mode Command  |                   |         |
| 10   | →                | RRC        | AS Security Mode Complete   |                   |         |
| 11   | ←                | NAS<br>RRC | RRCReconfiguration with Service Accept NAS message  |                   |         |
| 12   | →                | RRC        | RRCReconfigurationComplete  |                   | PASS    |
| 13   | ←                | RRC        | RRCRelease  |                   |         |
| 14   |                  |            | Start the ping from UE to SS  |                   |         |
| 15   | →                | RRC        | RRCRequest with establishment cause as mo-Data  |                   | PASS    |
| 16   | ←                | RRC        | RRCSetup  |                   |         |
| 17   | →                | NAS<br>RRC | RRCSetupComplete  |                   |         |
| 18   | ←                | RRC        | AS Security Mode Command  |                   |         |

|    |   |            |   |  |      |
|----|---|------------|---|--|------|
| 19 | → | RRC        | AS Security Mode Complete                           |  |      |
| 20 | ← | NAS<br>RRC | RRCReconfiguration                                  |  |      |
| 21 | → | RRC        | RRCReconfigurationComplete                          |  | PASS |
| 22 |   |            | PING Request and Response                           |  |      |
| 23 | ← | RRC        | RRCRelease  |  |      |
| 24 |   |            | Initiate the switch off Procedure from UE           |  |      |
| 25 | → | RRC        | RRCRequest with establishment cause as mo-Signaling |  | PASS |
| 26 | ← | RRC        | RRCSetup  |  |      |
| 27 | → | RRC<br>NAS | RRCSetupComplete with Deregistration Request        |  | PASS |
| 28 | ← | NAS        | Deregistration Accept                               |  |      |
| 29 | ← | RRC        | SS initiates a RRC release procedure.               |  |      |
| 30 |   |            | Deactivate NR Cell A                                |  |      |

### 5.8.2.6 Expected Result

For Different services, UE should use different access categories.

### 5.8.3 Access Barring Check in RRC

#### 5.8.3.1 Test Purpose

This case is to verify access is barred for certain services verify that UE is not initiating access request for those barred services

#### 5.8.3.2 Reference specification

TS 38.304, TS 38.331, TS 38.300, TS 24.501

#### 5.8.3.3 Applicability

This test applies to the device that supports SA or SA+NSA.

#### 5.8.3.4 Test conditions

[SS configuration]

Cell A is a NR Cell.

Cell A supports only SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00  
 NR Band = n41  
 NR-ARFCN= f1  
 Bandwidth = 100 MHz  
 SS/PBCH SSS EPRE = -125 dBm/30kHz  
 uac-BarringForCommon in SIB1 = for access category 7

[UE configuration]  
 The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in SA mode.

[Initial conditions]  
 SS  
 NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE  
 UE is powered off

**5.8.3.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | Remark |
|------|-----------|--------|
| T0   | -75       |        |

PREAMBLE

1. Activate NR Cell A.The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.8.3.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2-2 till Step 18).

MAIN BODY

5. Start the ping from UE to SS
6. Verify that UE is not sending any RRCRequest for access attempt
7. SS initiates paging message to UE
8. UE sends RRC Request with access category as 0(establishment cause as mt-Access) to the SS
9. SS transmits RRC Setup message to UE
10. UE sends RRC Setup Complete with Service Request NAS message



11. SS transmits the Access stratum Security Mode Command to the UE
12. UE responds with AS Security Mode Complete to the SS
13. SS Transmits RRC Reconfiguration to establish SRB2 and DRB with a Service accept NAS message
14. UE sends RRC Reconfiguration Complete to the SS

POSTAMBLE

15. The SS initiates a Deregistration procedure.
16. The SS initiates a RRC release procedure.
17. Deactivate NR Cell A.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE  |                   |         |
| 1    | ←                | RRC        | Activate NR Cell A.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 5.8.3.5-1.                             |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2-2 till Step 20a1 ). |                   | PASS    |
| 5    |                  |            | Start the ping from UE to SS  |                   |         |
| 6    |                  |            | Verify that UE is not sending RRCRequest for access attempt                                     |                   | PASS    |
| 7    | ←                | RRC        | Paging  |                   |         |
| 8    | →                | RRC        | RRCRequest with establishment cause as mt-Access  |                   | PASS    |
| 9    | ←                | RRC        | RRCSetup  |                   |         |
| 10   | →                | NAS<br>RRC | RRCSetupComplete with Service Request NAS message   |                   |         |
| 11   | ←                | RRC        | AS Security Mode Command  |                   |         |
| 12   | →                | RRC        | AS Security Mode Complete   |                   |         |
| 13   | ←                | NAS<br>RRC | RRCReconfiguration with Service Accept NAS message  |                   |         |
| 14   | →                | RRC        | RRCReconfigurationComplete  |                   | PASS    |
| 15   | →<br>←           | NAS        | SS initiates a Deregistration procedure.  |                   | PASS    |
| 16   | ←                | RRC        | SS initiates a RRC release procedure.   |                   |         |
| 17   |                  |            | Deactivate NR Cell A.   |                   |         |

### 5.8.3.6 Expected Result

If access category is barred by the cell, UE should not attempt to access for particular access category

## 6 Mobility

### 6.1 Intra-system (NR) Mobility

#### 6.1.1 Intra-system cell reselection

##### 6.1.1.1 Cell Reselection and Random Access Procedure

###### 6.1.1.1.1 Test Purpose

When UE camps on one NR cell, based on cell selection criteria, UE correctly reselects and camp on intra-freq/inter-freq/inter-band cell, and Verify the UE will correctly choose PRACH PREAMBLE.

###### 6.1.1.1.2 Reference specification

3GPP TS 38.304 subclause 5.2.4  
3GPP TS 38.211 subclause 6.3.3  
3GPP TS 24.501 subclause 5.5

###### 6.1.1.1.3 Applicability

This test applies to type 1 and 2 devices as described in clause 4.2.

###### 6.1.1.1.4 Test conditions

[SS configuration]

Cell A and Cell B are intra-freq cells  
Cell A and Cell C are inter-freq cells.  
Cell A and Cell D are inter-band cells.

NR Cell A

Cell Id=1 TAC = 1

MCC = 460 MNC = 00

NR-ARFCN= f4

SS/PBCH SSS EPRE = -85 dBm/30kHz

PRACH Configuration Index = 0(Preamble format 0)

NR Cell B  
 Cell Id=2 TAC = 2  
 MCC = 460 MNC = 00  
 NR-ARFCN= f4  
 SS/PBCH SSS EPRE = -125 dBm/30kHz  
 PRACH Configuration Index = 21(Preamble format 1)

NR Cell C  
 Cell Id=3 TAC = 3  
 MCC = 460 MNC = 00  
 NR-ARFCN= f3  
 SS/PBCH SSS EPRE = -125 dBm/30kHz  
 PRACH Configuration Index = 61(Preamble format A1)

NR Cell D  
 Cell Id=4 TAC = 4  
 MCC = 460 MNC = 00  
 NR-ARFCN= f1  
 SS/PBCH SSS EPRE = -125 dBm/30kHz  
 PRACH Configuration Index = 189(Preamble format C2)

[UE configuration]  
 The test USIM shall be inserted.  
 The UE is in AUTOMATIC network selection mode.  
 UE works in SA mode.

[Initial conditions]  
 SS  
 NR Cell A is not active.  
 NR Cell B is not active.  
 NR Cell C is not active.  
 NR Cell D is not active.

The test shall be performed under ideal radio conditions.

UE  
 UE is powered off

**6.1.1.1.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level changes

| Time | NR Cell A | NR Cell B  | NR Cell C  | NR Cell D  | Remark |
|------|-----------|------------|------------|------------|--------|
| T0   | -85       | Not Active | Not Active | Not Active |        |

|    |            |            |            |            |  |
|----|------------|------------|------------|------------|--|
| T1 | -91        | -85        | Not Active | Not Active |  |
| T2 | Not Active | -91        | -85        | Not Active |  |
| T3 | Not Active | Not Active | -91        | -85        |  |
| T4 | -85        | Not Active | Not Active | -91        |  |

**PREAMBLE**

1. Activate NR Cell A, NR Cell B, NR Cell C and NR Cell D. The SS configures the transmission of the Master Information Block and starts the System Information Block broadcasting on all cells.
2. The SS configures the initial power according to T0 in Table 6.1.1.1.5-1.
3. The UE is powered ON.
4. UE performs the registration procedure on Cell A according to subclause 5.4.1 step3-18.
5. The RRC connection is released by the SS.

**MAIN BODY**

6. The SS changes the cell power according to T1 in table 6.1.1.1.5-1.
7. The UE performs the registration procedure for mobility on Cell B.
8. The RRC connection is released by the SS. The UE enters Idle Mode.
9. The SS changes the cell power according to T2 in table 6.1.1.1.5-1.
10. The UE performs the registration procedure for mobility on Cell C.
11. The RRC connection is released by the SS. The UE enters Idle Mode.
12. The SS changes the cell power according to T3 in table 6.1.1.1.5-1.
13. UE performs the registration procedure for mobility on Cell D.
14. The RRC connection is released by the SS. The UE enters Idle Mode.
15. The SS changes the cell power according to T4 in table 6.1.1.1.5-1.
16. UE performs the registration procedure for mobility on Cell A.

**POSTAMBLE**

17. The SS initiates a de-registration procedure.
18. The SS initiates a RRC release procedure.
19. Deactivate NR Cell A, NR Cell B, NR Cell C and NR Cell D.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
| 1    | ←                | RRC        | Activate NR Cell A, NR Cell B, NR Cell C, NR Cell D                                    |                   |         |
| 2    |                  |            | Configure the initial power according to T0 in Table 6.1.1.1.5-1.                      |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure on Cell A according to subclause 5.4.1 step3-18 |                   | PASS    |
| 5    | ←                | RRC        | The RRC connection is released by the SS.  |                   |         |

|    |        |            |   |  |      |
|----|--------|------------|---|--|------|
| 6  |        |            | The SS changes the cell power according to T1 in table 6.1.1.1.5-1. |  |      |
| 7  | →<br>← | NAS<br>RRC | UE performs the registration procedure for mobility on Cell B       |  | PASS |
| 8  | ←      | RRC        | The RRC connection is released by the SS. The UE enters Idle Mode   |  |      |
| 9  |        |            | SS changes the cell power according to T2 in table 6.1.1.1.5-1.     |  |      |
| 10 | →<br>← | NAS<br>RRC | UE performs the registration procedure for mobility on Cell C.      |  | PASS |
| 11 | ←      | RRC        | The RRC connection is released by the SS. The UE enters Idle Mode.  |  |      |
| 12 |        |            | The SS changes the cell power according to T3 in table 6.1.1.1.5-1. |  |      |
| 13 | →<br>← | NAS<br>RRC | UE performs the registration procedure for mobility on Cell D.      |  | PASS |
| 14 | ←      | RRC        | The RRC connection is released by the SS. The UE enters Idle Mode.  |  |      |
| 15 |        |            | The SS changes the cell power according to T4 in table 6.1.1.1.5-1. |  |      |
| 16 | →<br>← | NAS<br>RRC | UE performs the registration procedure for mobility on Cell A.      |  | PASS |
| 17 | →<br>← | NAS        | SS initiates a de-registration procedure.                           |  | PASS |
| 18 | ←      | RRC        | The SS initiates a RRC release procedure..                          |  |      |
| 19 |        |            | Deactivate NR Cell A, NR Cell B, NR Cell C, NR Cell D               |  |      |

#### 6.1.1.1.6 Expected Result

Verify the UE correctly reselect and camp on a serving cell with different preamble format.

### 6.1.2 Intra-system handover

#### 6.1.2.1 Intra-freq HO with IP data transfer

##### 6.1.2.1.1 Test Purpose

Execute handovers between two NR Intra-frequency neighbour cells with IP data transfer.

- 1), Perform non-contention based random access procedure and lossless handover.
- 2), Perform Contention based random access procedure and lossless handover.

Verify the UE is able to maintain the service.

##### 6.1.2.1.2 Reference specification

3GPP TS 38.331 subclause 5.3.5 and 5.5

**6.1.2.1.3 Applicability**

This test applies to type 1 and 2 devices as described in clause 4.2.

**6.1.2.1.4 Test conditions**

[SS configuration]

Cell A and Cell B are intra-freq NR cells.

NR Cell A

Cell Id=1 TAC = 1

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -85 dBm/30kHz

NR Cell B

Cell Id=2 TAC = 1

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active.

NR Cell B is not active.

The test shall be performed under ideal radio conditions.

UE

UE is powered off .

**6.1.2.1.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | NR Cell B  | Remark |
|------|-----------|------------|--------|
| T0   | -85       | Not Active |        |
| T1   | -91       | -85        |        |
| T2   | -85       | -91        |        |

PREAMBLE

1. Activate NR Cell A and NR Cell B.The SS configures the transmission of the Master

- Information Block and starts the System Information Block broadcasting on all cells.
- 2. The SS configures the initial power according to T0 in Table 6.1.2.1.5-1.
- 3. The UE is powered ON.

MAIN BODY

- 4. The UE performs Registration procedure on NR Cell A according to subclause 5.4.1 step3-18. A3 measurement is configured in RRC CONNECTION RECONFIGURATION message.
- 5. Verify the data transmission is performed successfully on Cell A.
- 6. The SS changes the cell power according to T1 in table 6.1.2.1.5-1.
- 7. The UE performs measurements on the neighbor Cell B and provides measurement reports (Event A3) to the SS.
- 8. The SS initiates a handover to Cell B by sending a RRC CONNECTION RECONFIGURATION message. The RRC CONNECTION RECONFIGURATION message contains CFRA in RACH-ConfigDedicated in order to trigger non-contention based random access to the target cell. A3 measurement is configured in RRC CONNECTION RECONFIGURATION message. Cell B becomes the serving cell and Cell A becomes the new neighbor cell.
- 9. The UE completes the HO procedure by sending the RRC CONNECTION RECONFIGURATION COMPLETE message and proceeding CFRA procedure on target Cell B.
- 10. Verify the data transmission is continued on Cell B.
- 11. The SS changes the cell power according to T2 in table 6.1.2.1.5-1.
- 12. The UE performs measurements on the neighbor Cell A and provides measurement reports (Event A3) to the SS.
- 13. The SS initiates a handover to Cell A by sending a RRC CONNECTION RECONFIGURATION message. The RRC CONNECTION RECONFIGURATION message does not contain CFRA in RACH-ConfigDedicated in order to trigger contention based random access to the target cell. Cell A becomes the serving cell and Cell B becomes the new neighbor cell.
- 14. The UE completes the HO procedure by sending the RRC CONNECTION RECONFIGURATION COMPLETE message and proceeding CBRA procedure on target Cell A.
- 15. Verify the data transmission is continued on Cell A.

POSTAMBLE

- 16. SS initiates a de-registration procedure.
- 17. The SS initiates a RRC release procedure.
- 18. Deactivate NR Cell A and NR Cell B.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
| 1    | ←                | RRC        | SS Activates NR Cell A and NR Cell B  |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 6.1.2.1.5-1.                     |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs Registration procedure on NR Cell A according to subclause 5.4.1 step3-18. A3 |                   | PASS    |

|    |        |     |   |  |      |
|----|--------|-----|---|--|------|
|    |        |     | measurement is configured in RRC CONNECTION RECONFIGURATION message.                                      |  |      |
| 5  |        |     | Verify the data transmission is performed successfully on Cell A.   |  | PASS |
| 6  |        |     | SS changes the cell power according to T1 in table 6.1.2.1.5-1.   |  |      |
| 7  | →      | RRC | UE performs measurements on the neighbor Cell B and provides measurement reports (Event A3) to the SS.    |  | PASS |
| 8  | ←      | RRC | SS initiates a handover by sending RRC CONNECTION RECONFIGURATION message.                                |  |      |
| 9  | →      | RRC | UE sends the RRC CONNECTION RECONFIGURATION COMPLETE message and success CFRA procedure on target Cell B. |  | PASS |
| 10 |        |     | Verify the data transmission is continued on Cell B.  |  | PASS |
| 11 |        |     | SS changes the cell power according to T2 in table 6.1.2.1.5-1.   |  |      |
| 12 | →      | RRC | UE performs measurements on the neighbor Cell A and provides measurement reports (Event A3) to the SS.    |  | PASS |
| 13 | ←      | RRC | SS SS initiates a handover by sending RRC CONNECTION RECONFIGURATION message.                             |  |      |
| 14 | →      | RRC | UE sends the RRC CONNECTION RECONFIGURATION COMPLETE message and success CBRA procedure on target Cell A. |  | PASS |
| 15 |        |     | Verify the data transmission is continued on Cell A.  |  | PASS |
| 16 | →<br>← | NAS | SS initiates a de-registration procedure.   |  | PASS |
| 17 | ←      | RRC | SS initiates a RRC release procedure.   |  |      |
| 18 |        |     | Deactivate NR Cell A and NR Cell B.   |  |      |

#### 6.1.2.1.6 Expected Result

Verify the UE can perform the handover procedure with non-contention based random access procedure successfully.

Verify the UE can perform the handover procedure with contention based random access procedure successfully.

### 6.1.2.2 Inter-freq HO with IP data transfer

#### 6.1.2.2.1 Test Purpose

Execute handovers between NR Inter-frequency neighbour cells with IP data transfer.



- 1) Perform non-contention based random access procedure and lossless handover.
- 2) Perform Contention based random access procedure and lossless handover.

Verify that the UE supports handover between cells with different bandwidth and is able to maintain the service.

#### 6.1.2.2.2 Reference specification

3GPP TS 38.331 subclause 5.3.5 and 5.5

#### 6.1.2.2.3 Applicability

This test applies to type 1 and 2 devices as described in clause 4.2.

#### 6.1.2.2.4 Test conditions

[SS configuration]

Cell A and Cell B are inter-freq NR cells

NR Cell A

Cell Id=1 TAC = 1

MCC = 460 MNC = 00

Frequency Configuration = f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

NR Cell B

Cell Id=2 TAC = 1

MCC = 460 MNC = 00

Frequency Configuration = f2

SS/PBCH SSS EPRE = -125 dBm/30kHz

NR Cell C

Cell Id=3 TAC = 1

MCC = 460 MNC = 00

Frequency Configuration = f3

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active.

NR Cell B is not active.

The test shall be performed under ideal radio conditions.

UE

UE is powered off.

#### 6.1.2.2.5 Test procedure

Table 6.1.2.2.5-1: Time of cell power level and parameter changes

| Time | Parameter            | Unit    | NR Cell A | NR Cell B  | NR Cell C  | Remark |
|------|----------------------|---------|-----------|------------|------------|--------|
| T0   | SS/PBCH,<br>SSS EPRE | dBm/SCS | -85       | Not Active | Not Active |        |
| T1   |                      |         | -97       | -85        | Not Active |        |
| T2   |                      |         | -85       | -97        | Not Active |        |
| T3   |                      |         | -97       | -97        | -85        |        |

#### PREAMBLE

1. Activate NR Cell A. The SS configures the transmission of the Master Information Block and starts the System Information Block broadcasting on all cells.
2. The SS configures the initial power according to T0 in Table 6.1.2.2.5-1.
3. The UE is powered ON.

#### MAIN BODY

4. The UE performs Registration procedure on NR Cell A according to subclause 5.4.1 step3-18. A4 measurement is configured in RRC CONNECTION RECONFIGURATION message.
5. Verify the data transmission is performed successfully on Cell A.
6. Activate NR Cell B. The SS changes the cell power according to T1 in table 6.1.2.2.5-1.
7. The UE performs measurements on the neighbor Cell B and provides measurement reports (Event A4) to the SS.
8. The SS initiates a handover to Cell B by sending a RRC CONNECTION RECONFIGURATION message. The RRC CONNECTION RECONFIGURATION message contains CFRA in RACH-ConfigDedicated in order to trigger non-contention based random access to the target cell. Cell B becomes the serving cell and Cell A becomes the new neighbor cell. A4 measurement is configured in RRC CONNECTION RECONFIGURATION message.
9. The UE completes the HO procedure by sending the RRC CONNECTION RECONFIGURATION COMPLETE message and proceeding CFRA procedure on target Cell B.
10. Verify the data transmission is continued on Cell B.
11. The SS changes the cell power according to T2 in table 6.1.2.2.5-1.
12. The UE performs measurements on the neighbor Cell A and provides measurement reports (Event A4) to the SS.
13. The SS initiates a handover to Cell A by sending a RRC CONNECTION RECONFIGURATION message. The RRC CONNECTION RECONFIGURATION message does not contain CFRA in RACH-ConfigDedicated in order to trigger contention based random access to the target cell. Cell A becomes the serving cell and Cell B becomes the new neighbor cell.
14. The UE completes the HO procedure by sending the RRC CONNECTION RECONFIGURATION COMPLETE message and proceeding CBRA procedure on target Cell A.
15. Verify the data transmission is continued on Cell A.
16. Activate NR Cell C. The SS changes the cell power according to T3 in table 6.1.2.2.5-1.

17. The UE performs measurements on the neighbor Cell C and provides measurement reports (Event A4) to the SS.
18. The SS initiates a handover to Cell C by sending a RRC CONNECTION RECONFIGURATION message. Cell C becomes the serving cell and Cell A becomes the new neighbor cell. A4 measurement is configured in RRC CONNECTION RECONFIGURATION message.
19. The UE completes the HO procedure by sending the RRC CONNECTION RECONFIGURATION COMPLETE message and proceeding CFRA procedure on target Cell C.
20. Verify the data transmission is continued on Cell C.

POSTAMBLE

21. The SS initiates a de-registration procedure.
22. The SS initiates a RRC release procedure.
23. Deactivate NR Cell A and NR Cell B.

Table 6.1.2.2.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
| 1    | ←                | RRC        | SS Activates NR Cell A and NR Cell B   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 6.1.2.2.5-1.  |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs Registration procedure on NR Cell A according to subclause 5.4.1 step3-18. A4 measurement is configured in RRC CONNECTION RECONFIGURATION message. |                   | PASS    |
| 5    |                  |            | Data transmission is performed successfully on Cell A.   |                   | PASS    |
| 6    |                  |            | SS changes the cell power according to T1 in table 6.1.2.2.5-1.  |                   |         |
| 7    | →                | RRC        | UE performs measurements on the neighbor Cell B and provides measurement reports (Event A4) to the SS.   |                   | PASS    |
| 8    | ←                | RRC        | SS initiates a handover by sending RRC CONNECTION RECONFIGURATION message.   |                   |         |
| 9    | →                | RRC        | UE sends the RRC CONNECTION RECONFIGURATION COMPLETE message and success CFRA procedure on target Cell B.  |                   | PASS    |
| 10   |                  |            | Data transmission is continued on Cell B.  |                   | PASS    |
| 11   |                  |            | SS changes the cell power according to T2 in table 6.1.2.2.5-1.  |                   |         |
| 12   | →                | RRC        | UE performs measurements on the neighbor Cell A and provides measurement reports (Event A4) to the SS.   |                   | PASS    |

|    |        |     |  |  |      |
|----|--------|-----|--|--|------|
| 13 | ←      | RRC | SS SS initiates a handover by sending RRC CONNECTION RECONFIGURATION message.                              |  |      |
| 14 | →      | RRC | UE sends the RRC CONNECTION RECONFIGURATION COMPLETE message and success CBRA procedure on target Cell A.  |  | PASS |
| 15 |        |     | Data transmission is continued on Cell A.  |  | PASS |
| 16 |        |     | Activate NR Cell C. The SS changes the cell power according to T3 in table 6.1.2.2.5-1.                    |  |      |
| 17 |        |     | The UE performs measurements on the neighbor Cell C and provides measurement reports (Event A4) to the SS. |  |      |
| 18 | ←      |     | RRC CONNECTION RECONFIGURATION message. A4 measurement is configured in RRC CONNECTION RECONFIGURATION .   |  |      |
| 19 | →      | RRC | RRC CONNECTION RECONFIGURATION COMPLETE message and proceeding CFRA procedure on target Cell C.            |  |      |
| 20 |        |     | Data transmission is continued on Cell C   |  | PASS |
| 21 | →<br>← | NAS | SS initiates a de-registration procedure.  |  | PASS |
| 22 | ←      | RRC | SS initiates a RRC release procedure.  |  |      |
| 23 |        |     | Deactivate NR Cell A and NR Cell B.  |  |      |

#### 6.1.2.2.6 Expected Result

Verify the UE can perform the handover procedure with non-contention based random access procedure successfully.

Verify the UE can perform the handover procedure with contention based random access procedure successfully.

#### 6.1.2.3 Inter-Freq. HO Failure, RRC Connection Reestablish to a Prepared Inter-Freq cell

##### 6.1.2.3.1 Test Purpose

DRB Setup with a Real Service Ongoing, Two Inter-frequency cells are prepared for handover. Handover to the target cell is failed. A successful RRC reestablish to a prepared Inter-frequency cell is performed. The test scenario is performed several times. Verify the UE is able to maintain the service.

##### 6.1.2.3.2 Reference specification

3GPP TS 38.331 subclause 5.3.5, 5.3.7 and 5.5

##### 6.1.2.3.3 Applicability

This test applies to type 1 and 2 devices as described in clause 4.2.

##### 6.1.2.3.4 Test conditions

[SS configuration]

Cell A , Cell B and Cell C are NR inter-freq cells.

NR Cell A

Cell Id=1 TAC = 1

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -85 dBm/30kHz(adjust according to Table 5.1.1.5-1)

NR Cell B

Cell Id=2 TAC = 1

MCC = 460 MNC = 00

NR-ARFCN= f2

SS/PBCH SSS EPRE = -125 dBm/30kHz(adjust according to Table 5.1.1.5-1)

NR Cell C

Cell Id=3 TAC = 1

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz(adjust according to Table 5.1.1.5-1)

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active.

NR Cell B is not active.

NR Cell C is not active.

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**6.1.2.3.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | Cell A | Cell B     | Cell C     | Remark |
|------|--------|------------|------------|--------|
| T0   | -85    | Not Active | Not Active |        |
| T1   | -97    | -85        | Not Active |        |
| T2   | -97    | Not Active | -85        |        |

|    |            |            |     |  |
|----|------------|------------|-----|--|
| T3 | Not Active | -85        | -97 |  |
| T4 | -85        | Not Active | -97 |  |

PREAMBLE

1. Activate NR Cell A, NR Cell B and NR Cell C. The SS configures the transmission of the Master Information Block and starts the System Information Block broadcasting on all cells.
2. The SS configures the initial power according to T0 in Table 6.1.2.3.5-1.
3. The UE is powered ON.

MAIN BODY

4. The UE performs Registration procedure on NR Cell A according to subclause 5.4.1 step3-18.
5. Verify the data transmission is performed successfully on Cell A.
6. The SS sends RRC Connection Reconfiguration message to setup inter-freq measurement (Event A4).
7. The UE sends RRC Connection Reconfiguration Complete message.
8. The SS changes the cell power according to T1 in table 6.1.2.3.5-1.
9. The UE performs measurements on the neighbor Cell B and provides measurement reports (Event A4) to the SS.
10. The SS changes the cell power according to T2 in table 6.1.2.3.5-1.
11. The SS sends an RRC Connection Reconfiguration message to order the UE to perform inter-freq handover from Cell A to Cell B.
12. The UE fails to perform the Handover as Cell B is not available.
13. The UE performs RRC Connection Reestablishment procedure on Cell C.
14. Verify the data transmission is continued after the connection reestablishment on Cell C.
15. The SS sends RRC Connection Reconfiguration message to setup inter-freq measurement (Event A4).
16. The UE sends RRC Connection Reconfiguration Complete message.
17. The SS changes the cell power according to T3 in table 6.1.2.3.5-1.
18. The UE performs measurements on the neighbor Cell B and provides measurement reports (Event A4) to the SS.
19. The SS changes the cell power according to T4 in table 6.1.2.3.5-1.
20. The SS sends an RRC Connection Reconfiguration message to order the UE to perform inter-freq handover from Cell C to Cell B.
21. The UE fails to perform the Handover as Cell B is not available.
22. The UE performs RRC Connection Reestablishment procedure on Cell A.
23. Verify the data transmission is continued after the connection reestablishment on Cell A.
24. Repeat steps 6 to 23 four times.

POSTAMBLE

25. The SS initiates a de-registration procedure.
26. The SS initiates a RRC release procedure.
27. Deactivate NR Cell A, NR Cell B and NR Cell C.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
| 1    | ←                | RRC        | Activate NR Cell A, NR Cell B and NR Cell C  |                   |         |
| 2    |                  |            | The SS configures the initial power according to T0 in Table 6.1.2.3.5-1.  |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs Registration procedure on NR Cell A according to subclause 5.4.1 step3-18.                                   |                   | PASS    |
| 5    |                  |            | Verify the data transmission is performed successfully on Cell A.  |                   | PASS    |
| 6    | ←                | RRC        | SS sends RRC Connection Reconfiguration message to setup inter-freq measurement (Event A4).                              |                   |         |
| 7    | →                | RRC        | UE sends RRC Connection Reconfiguration Complete message.  |                   | PASS    |
| 8    |                  |            | SS changes the cell power according to T1 in table 6.1.2.3.5-1   |                   |         |
| 9    | →                | RRC        | UE performs measurements on the neighbor Cell B and provides measurement reports (Event A4) to the SS.                   |                   | PASS    |
| 10   |                  |            | SS changes the cell power according to T2 in table 6.1.2.3.5-1.  |                   |         |
| 11   | ←                | RRC        | SS sends an RRC Connection Reconfiguration message to order the UE to perform inter-freq handover from Cell A to Cell B. |                   |         |
| 12   |                  |            | UE fails to perform the Handover as Cell B is not available.   |                   |         |
| 13   | →<br>←           | RRC        | UE performs RRC Connection Reestablishment procedure on Cell C.  |                   | PASS    |
| 14   |                  |            | Verify the data transmission is continued after the connection reestablishment on Cell C.                                |                   | PASS    |
| 15   | ←                | RRC        | SS sends RRC Connection Reconfiguration message to setup inter-freq measurement (Event A4)                               |                   |         |
| 16   | →                | RRC        | UE sends RRC Connection Reconfiguration Complete message   |                   | PASS    |
| 17   |                  |            | SS changes the cell power according to T3 in table 6.1.2.3.5-1.  |                   |         |
| 18   | →                | RRC        | UE performs measurements on the neighbor Cell B and provides measurement reports (Event A4) to the SS                    |                   | PASS    |
| 19   |                  |            | SS changes the cell power according to T4 in table 6.1.2.3.5-1.  |                   |         |

|    |        |     |  |  |      |
|----|--------|-----|--|--|------|
| 20 | ←      | RRC | SS sends an RRC Connection Reconfiguration message to order the UE to perform inter-freq handover from Cell C to Cell B. |  |      |
| 21 |        |     | UE fails to perform the Handover as Cell B is not available.   |  |      |
| 22 | →<br>← | RRC | UE performs RRC Connection Reestablishment procedure on Cell A.  |  | PASS |
| 23 |        |     | Verify the data transmission is continued after the connection reestablishment on Cell A.                                |  | PASS |
| 24 |        |     | Repeat steps 6 to 23 four times.   |  |      |
| 25 | →<br>← | NAS | SS initiates a de-registration procedure.  |  | PASS |
| 26 | ←      | RRC | The SS initiates a RRC release procedure.  |  |      |
| 27 |        |     | Deactivate NR Cell A, NR Cell B and NR Cell C.   |  |      |

#### 6.1.2.3.6 Expected Result

Verify the UE can reestablish connection to an Inter-freq Cell after the inter-freq handover failure successfully.

Verify the UE can maintain the data transmission after the connection reestablishment successfully.

#### 6.1.2.4 Inter-band HO with IP data transfer

##### 6.1.2.4.1 Test Purpose

Execute handovers between two NR Inter-band neighbour cells with IP data transfer.

- 1), Perform non-contention based random access procedure and lossless handover.
- 2), Perform Contention based random access procedure and lossless handover.

Verify the UE is able to maintain the service.

##### 6.1.2.4.2 Reference specification

3GPP TS 38.331 subclause 5.3.5 and 5.5

##### 6.1.2.4.3 Applicability

This test applies to type 1 and 2 devices as described in clause 4.2.

##### 6.1.2.4.4 Test conditions

[SS configuration]

Cell A and Cell B are inter-band NR cells

NR Cell A

Cell Id=1 TAC = 1



MCC = 460 MNC = 00  
 NR-ARFCN= f1  
 SS/PBCH SSS EPRE = -125 dBm/30kHz

NR Cell B  
 Cell Id=2 TAC = 1  
 MCC = 460 MNC = 00  
 NR-ARFCN= f1  
 SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]  
 The test USIM shall be inserted.  
 The UE is in AUTOMATIC network selection mode.  
 UE works in SA mode.

[Initial conditions]  
 SS  
 NR Cell A is not active.  
 NR Cell B is not active.  
 The test shall be performed under ideal radio conditions.

UE  
 UE is powered off.

**6.1.2.4.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | NR Cell B  | Remark |
|------|-----------|------------|--------|
| T0   | -85       | Not Active |        |
| T1   | -97       | -85        |        |
| T2   | -85       | -97        |        |

**PREAMBLE**

1. Activate NR Cell A and NR Cell B. The SS configures the transmission of the Master Information Block and starts the System Information Block broadcasting on all cells.
2. The SS configures the initial power according to T0 in Table 6.1.2.4.5-1.
3. The UE is powered ON.

**MAIN BODY**

4. The UE performs Registration procedure on NR Cell A according to subclause 5.4.1 step3-18. A4 measurement is configured in RRC CONNECTION RECONFIGURATION message.
5. Verify the data transmission is performed successfully on Cell A.
6. The SS changes the cell power according to T1 in table 6.1.2.4.5-1.
7. The UE performs measurements on the neighbor Cell B and provides measurement reports

- (Event A4) to the SS.
8. The SS initiates a handover to Cell B by sending a RRC CONNECTION RECONFIGURATION message. The RRC CONNECTION RECONFIGURATION message contains CFRA in RACH-ConfigDedicated in order to trigger non-contention based random access to the target cell. Cell B becomes the serving cell and Cell A becomes the new neighbor cell. A4 measurement is configured in RRC CONNECTION RECONFIGURATION message.
  9. The UE completes the HO procedure by sending the RRC CONNECTION RECONFIGURATION COMPLETE message and proceeding CFRA procedure on target Cell B.
  10. Verify the data transmission is continued on Cell B.
  11. The SS changes the cell power according to T2 in table 6.1.2.4.5-1.
  12. The UE performs measurements on the neighbor Cell A and provides measurement reports (Event A4) to the SS.
  13. The SS initiates a handover to Cell A by sending a RRC CONNECTION RECONFIGURATION message. The RRC CONNECTION RECONFIGURATION message does not contain CFRA in RACH-ConfigDedicated in order to trigger contention based random access to the target cell. Cell A becomes the serving cell and Cell B becomes the new neighbor cell.
  14. The UE completes the HO procedure by sending the RRC CONNECTION RECONFIGURATION COMPLETE message and proceeding CBRA procedure on target Cell A.
  15. Verify the data transmission is continued on Cell A.

POSTAMBLE

16. The SS initiates a de-registration procedure.
17. The SS initiates a RRC release procedure.
18. Deactivate NR Cell A and NR Cell B.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |  | Verdict    |
|------|------------------|------------|--|------------|
|      | U-S              | Layer      | Message  |            |
| 1    | ←                | RRC        | SS Activates NR Cell A and NR Cell B   |            |
| 2    |                  |            | SS configures the initial power according to T0 in Table 6.1.2.4.5-1.  |            |
| 3    |                  | UE         | Switch On UE   | AT Command |
| 4    | →<br>←           | NAS<br>RRC | UE performs Registration procedure on NR Cell A according to subclause 5.4.1 step3-18. A4 measurement is configured in RRC CONNECTION RECONFIGURATION message. | PASS       |
| 5    |                  |            | Verify the data transmission is performed successfully on Cell A.  | PASS       |
| 6    |                  |            | SS changes the cell power according to T1 in table 6.1.2.4.5-1.  |            |
| 7    | →                | RRC        | UE performs measurements on the neighbor Cell B and provides measurement reports (Event A4) to the SS.   | PASS       |

|    |        |     |   |  |      |
|----|--------|-----|---|--|------|
| 8  | ←      | RRC | SS initiates a handover by sending RRC CONNECTION RECONFIGURATION message.                                |  |      |
| 9  | →      | RRC | UE sends the RRC CONNECTION RECONFIGURATION COMPLETE message and success CFRA procedure on target Cell B. |  | PASS |
| 10 |        |     | Verify the data transmission is continued on Cell B.  |  | PASS |
| 11 |        |     | SS changes the cell power according to T2 in table 6.1.2.4.5-1.   |  |      |
| 12 | →      | RRC | UE performs measurements on the neighbor Cell A and provides measurement reports (Event A4) to the SS.    |  | PASS |
| 13 | ←      | RRC | SS initiates a handover by sending RRC CONNECTION RECONFIGURATION message.                                |  |      |
| 14 | →      | RRC | UE sends the RRC CONNECTION RECONFIGURATION COMPLETE message and success CBRA procedure on target Cell A. |  | PASS |
| 15 |        |     | Verify the data transmission is continued on Cell A.  |  | PASS |
| 16 | →<br>← | NAS | SS initiates a de-registration procedure.   |  | PASS |
| 17 | ←      | RRC | SS initiates a RRC release procedure.   |  |      |
| 18 |        |     | Deactivate NR Cell A and NR Cell B.   |  |      |

#### 6.1.2.4.6 Expected Result

Verify the UE can perform the handover procedure with non-contention based random access procedure successfully.

Verify the UE can perform the handover procedure with contention based random access procedure successfully.

## 6.2 Inter-RAT Mobility

### 6.2.1 Inter-RAT cell reselection

#### 6.2.1.1 Cell reselection, from NR cell to E-UTRAN cell

##### 6.2.1.1.1 Test Purpose

UE could reselect from NR cell to E-UTRAN Cell and perform TAU.

##### 6.2.1.1.2 Reference specification

TS 38.304, clause 5.2.4

**6.2.1.1.3 Applicability**

This test applies to type 1 and 2 devices as described in clause 4.2.

**6.2.1.1.4 Test conditions**

[SS configuration]

Cell A is a NR cell, Cell B is an E-UTRAN cell.

NR Cell A

Cell Id=1 TAC = 1

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

E-UTRAN Cell B

Cell Id=2 TAC = 2

MCC = 460 MNC = 00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE = -125 dBm/15kHz

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active.

E-UTRAN Cell B is not active.

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**6.2.1.1.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | E-UTRAN Cell B | Remark |
|------|-----------|----------------|--------|
| T0   | -85       | Not Active     |        |
| T1   | -97       | -85            |        |

## PREAMBLE

1. Activate NR Cell A and E-UTRAN Cell B. The SS configures the transmission of the Master Information Block and starts the System Information Block broadcasting on all cells.
2. The SS configures the initial power according to T0 in Table 6.2.1.1.5-1.
3. The UE is powered ON.

## MAIN BODY

4. The UE performs the registration procedure on Cell A according to subclause 5.4.1 step3-18.
5. Verify the data transmission is performed successfully on Cell A.
6. The RRC connection is released by the SS.
7. The SS changes the cell power according to T1 in table 6.2.1.1.5-1.
8. The UE performs TAU and EPS bearer activation in E-UTRAN Cell B.
9. Verify the data transmission is performed successfully on E-UTRAN Cell B.

## POSTAMBLE

10. The SS initiates a DETACH procedure.
11. The SS initiates a RRC release procedure.
12. Deactivate NR Cell A and E-UTRAN Cell B.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
| 1    | ←                | RRC        | SS Activates NR Cell A and E-UTRAN Cell B   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 6.2.1.1.5-1.                   |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure on Cell A according to subclause 5.4.1 step3-18. |                   | PASS    |
| 5    |                  |            | Verify the data transmission is performed successfully on Cell A.                       |                   | PASS    |
| 6    | ←                | RRC        | The RRC connection is released by the SS.   |                   |         |
| 7    |                  |            | SS changes the cell power according to T1 in table 6.2.1.1.5-1                          |                   |         |
| 8    | →<br>←           | NAS<br>RRC | UE performs TAU and EPS bearer activation on E-UTRAN Cell B.                            |                   | PASS    |
| 9    |                  |            | Verify the data transmission is performed successfully on E-UTRAN Cell B.               |                   | PASS    |
| 10   | →<br>←           | NAS        | SS initiates a DETACH procedure.  |                   | PASS    |
| 11   | ←                | RRC        | SS initiates a RRC release procedure.   |                   |         |
| 12   |                  |            | Deactivate NR Cell A and E-UTRAN Cell B.  |                   |         |

## 6.2.1.1.6 Expected Result

Verify the UE could reselect from NR cell to E-UTRAN Cell.

### 6.2.1.2 Cell reselection, from E-UTRAN cell to NR cell

#### 6.2.1.2.1 Test Purpose

UE could reselect from E-UTRAN Cell to NR cell and perform registration procedure for mobility.

#### 6.2.1.2.2 Reference specification

TS 36.304, clause 5.2.4

#### 6.2.1.2.3 Applicability

This test applies to type 1 and 2 devices as described in clause 4.2.

#### 6.2.1.2.4 Test conditions

[SS configuration]

Cell A and Cell B are E-UTRAN Cells, Cell C is a NR cell.

Cell B and Cell C are neighbor cells of Cell A

E-UTRAN Cell A

Cell Id=1 TAC = 1

MCC = 460 MNC = 00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE = -85 dBm/15kHz

E-UTRAN Cell B

Cell Id=2 TAC = 2

MCC = 460 MNC = 00

EARFCN= f2

rootSequenceIndex = 0

Reference Signal EPRE = -125 dBm/15kHz

NR Cell C

Cell Id=3 TAC = 3

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active.

E-UTRAN Cell B is not active.

NR Cell C is not active.

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**6.2.1.2.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | E-UTRAN Cell B | NR Cell C  | Remark |
|------|----------------|----------------|------------|--------|
| T0   | -85            | -91            | Not Active |        |
| T1   | -97            | -85            | -85        |        |

PREAMBLE

1. Activate E-UTRAN Cell A, E-UTRAN Cell B and NR Cell C. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 6.2.1.2.5-1.
3. The UE is powered ON.

MAIN BODY

4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. Verify the data transmission is performed successfully on E-UTRAN Cell A.
6. The RRC connection is released by the SS.
7. The SS changes cell power according to T1 in table 6.2.1.2.5-1.
8. UE performs the registration procedure for mobility on NR Cell C according to subclause 5.4.1 step3-18.
9. Verify the data transmission is performed successfully on NR Cell C.

POSTAMBLE

10. The SS initiates a de-registration procedure.
11. The SS initiates a RRC release procedure.
12. Deactivate E-UTRAN Cell A, E-UTRAN Cell B and NR Cell C.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |       |  |                   | Verdict |
|------|------------------|-------|--|-------------------|---------|
|      | U-S              | Layer | Message  | Specific Contents |         |
| 1    | ←                | RRC   | Activate E-UTRAN Cell A, E-UTRAN Cell B and NR Cell C. |                   |         |

|    |        |            |  |            |      |
|----|--------|------------|--|------------|------|
| 2  |        |            | SS configures the initial power according to T0 in Table 6.2.1.2.5-1.  |            |      |
| 3  |        | UE         | Switch On UE   | AT Command |      |
| 4  | →<br>← | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ). |            | PASS |
| 5  |        |            | Verify the data transmission is performed successfully on E-UTRAN Cell A.  |            | PASS |
| 6  | ←      | RRC        | The RRC connection is released by the SS.  |            |      |
| 7  |        |            | SS changes the cell power according to T1 in table 6.2.1.2.5-1   |            |      |
| 8  | →<br>← | NAS<br>RRC | UE performs the registration procedure for mobility on NR Cell C according to subclause 5.4.1 step3-18.                  |            | PASS |
| 9  |        |            | Verify the data transmission is performed successfully on NR Cell C.   |            | PASS |
| 10 | →<br>← | NAS        | SS initiates a de-registration procedure.  |            | PASS |
| 11 | ←      | RRC        | SS initiates a RRC release procedure.  |            |      |
| 12 |        |            | Deactivate E-UTRAN Cell A, E-UTRAN Cell B and NR Cell C.   |            |      |

#### 6.2.1.2.6 Expected Result

Verify the UE could reselect from E-UTRAN cell to NR Cell.

#### 6.2.1.3 Cell reselection, from NR cell to E-UTRAN cell with NSA cell exists

##### 6.2.1.3.1. Test Purpose

UE could reselect from NR cell to E-UTRAN Cell perform TAU.

##### 6.2.1.3.2. Reference specification

TS 38.304, clause 5.2.4

##### 6.2.1.3.3. Applicability

This test applies to type 1 and 2 devices as described in clause 4.2.

##### 6.2.1.3.4. Test conditions

[SS configuration]

E-UTRAN Cell A and Cell B are TD-LTE cells, Cell C and Cell D are NR cells.

Cell A and Cell B and Cell D are neighbor cells of Cell C

E-UTRAN Cell A



Cell Id=1 TAC = 1  
MCC = 460 MNC = 00  
E-UTRA Band = 41  
EARFCN= f1  
Bandwidth = 20 MHz  
rootSequenceIndex TDD = 0  
Reference Signal EPRE = -125 dBm/15kHz

E-UTRAN Cell B  
Cell Id=2 TAC = 2  
MCC = 460 MNC = 00  
E-UTRA Band = 41  
EARFCN= f2  
Bandwidth = 20 MHz  
rootSequenceIndex TDD = 0  
Reference Signal EPRE = -125 dBm/15kHz

NR Cell C  
Cell Id=3 TAC = 3  
MCC = 460 MNC = 00  
NR-ARFCN= f1  
SS/PBCH SSS EPRE = -85 dBm/30kHz

NR Cell D  
Cell Id=4 TAC = 4  
MCC = 460 MNC = 00  
NR-ARFCN= f1  
SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]  
The test USIM shall be inserted.  
The UE is in AUTOMATIC network selection mode.  
UE works in NSA mode.

[Initial conditions]  
SS  
E-UTRAN Cell A is not active.  
E-UTRAN Cell B is not active.  
NR Cell C is not active.  
NR Cell D is not active.

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**6.2.1.3.5. Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | E-UTRAN Cell B | NR Cell C | NR Cell D    |
|------|----------------|----------------|-----------|--------------|
| T0   | “Not Active”   | “Not Active”   | -85       | “Not Active” |
| T1   | -85            | -91            | -97       | -91          |

PREAMBLE

1. Activate E-UTRAN Cell A, E-UTRAN Cell B, NR Cell C and NR Cell D. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 6.2.1.3.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell C (see 38.508, Table 4.5.2.2 till Step 18 ).

MAIN BODY

5. SS configures the E-UTRAN Cell B(NSA P-Cell ) is barred.
6. The SS changes cell power according to T1 in table 6.2.1.3.5-1.
7. UE performs the TAU procedure for mobility on E-UTRAN Cell A.

POSTAMBLE

1. The UE is powered OFF.
9. Deactivate E-UTRAN Cell A, E-UTRAN Cell B, NR Cell C and NR Cell D.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A, E-UTRAN Cell B, NR Cell C and NR Cell D.                              |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 6.2.1.3.5-1                           |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | The UE performs the registration procedure on Cell C (see 38.508, Table 4.5.2.2 till Step 18 ) |                   | PASS    |
| 5    |                  |            | SS configures the E-UTRAN Cell B(NSA P-Cell ) is barred  |                   |         |
| 6    |                  |            | The SS changes cell power according to T1 in   |                   |         |

|   |        |     |  |  |      |
|---|--------|-----|--|--|------|
|   |        |     | table 6.2.1.3.5-1.   |  |      |
| 7 | →<br>← | NAS | UE performs the TAU procedure for mobility on E-UTRAN Cell A       |  | PASS |
| 8 |        |     | The UE is powered OFF  |  |      |
| 9 |        |     | Deactivate E-UTRAN Cell A, E-UTRAN Cell B, NR Cell C and NR Cell D |  |      |

#### 6.2.1.3.6. Expected Result

Step 4 UE selects the E-UTRAN Cell A and camps on the Cell A..

Step 7 UE performs the TAU procedure for mobility on E-UTRAN Cell A.

#### 6.2.1.4. Cell reselection, from E-UTRAN cell to NR cell with NSA cell exists

##### 6.2.1.4.1. Test Purpose

UE could reselect from E-UTRAN cell to NR Cell and perform registration procedure for mobility.

##### 6.2.1.4.2. Reference specification

TS 36.304, clause 5.2.4

##### 6.2.1.4.3. Applicability

This test applies to type 1 and 2 devices as described in clause 4.2.

##### 6.2.1.4.4. Test conditions

[SS configuration]

E-UTRAN Cell A and Cell B are TD-LTE cells, Cell C and Cell D are NR cells.

Cell B and Cell C and Cell D are neighbor cells of Cell A

NR Cell C is higher priority than E-UTRAN Cell B.

E-UTRAN Cell A

Cell Id=1 TAC = 1

MCC = 460 MNC = 00

E-UTRA Band = 39

EARFCN= f1

Bandwidth = 20 MHz

rootSequenceIndex TDD = 0

Reference Signal EPRE = -85 dBm/15kHz

E-UTRAN Cell B

Cell Id=2 TAC = 2

MCC = 460 MNC = 00

E-UTRA Band = 39

EARFCN= f2  
 Bandwidth = 20 MHz  
 rootSequenceIndex TDD = 0  
 Reference Signal EPRE = -125 dBm/15kHz

NR Cell C  
 Cell Id=3 TAC = 3  
 MCC = 460 MNC = 00  
 NR-ARFCN= f1  
 SS/PBCH SSS EPRE = -125 dBm/30kHz

NR Cell D  
 Cell Id=4 TAC = 4  
 MCC = 460 MNC = 00  
 NR-ARFCN= f1  
 SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]  
 The test USIM shall be inserted.  
 The UE is in AUTOMATIC network selection mode.  
 UE works in SA mode.

[Initial conditions]  
 SS  
 E-UTRAN Cell A is not active.  
 E-UTRAN Cell B is not active.  
 NR Cell C is not active.  
 NR Cell D is not active.

The test shall be performed under ideal radio conditions.

UE  
 UE is powered off

**6.2.1.4.5. Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | E-UTRAN Cell B | NR Cell C    | NR Cell D    |
|------|----------------|----------------|--------------|--------------|
| T0   | -85            | “Not Active”   | “Not Active” | “Not Active” |
| T1   | -97            | -91            | -85          | -91          |

PREAMBLE

1. Activate E-UTRAN Cell A, E-UTRAN Cell B, NR Cell C and NR Cell D. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 6.2.1.4.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).

MAIN BODY

5. The SS changes cell power according to T1 in table 6.2.1.4.5-1.
6. UE performs the registration procedure for mobility on NR Cell C.

POSTAMBLE

7. The UE is powered OFF.
8. Deactivate E-UTRAN Cell A, E-UTRAN Cell B, NR Cell C and NR Cell D.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A, E-UTRAN Cell B, NR Cell C and NR Cell D.  |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 6.2.1.4.5-1   |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ). |                   | PASS    |
| 5    |                  |            | The SS changes cell power according to T1 in table 6.2.1.4.5-1.  |                   |         |
| 6    | →<br>←           | NAS        | UE performs the registration procedure for mobility on NR Cell C.  |                   | PASS    |
| 7    |                  |            | The UE is powered OFF  |                   |         |
| 8    |                  |            | Deactivate E-UTRAN Cell A, E-UTRAN Cell B, NR Cell C and NR Cell D   |                   |         |

**6.2.1.4.6. Expected Result**

Step 4 UE selects the E-UTRAN Cell A and camps on the Cell A.  
 Step 6 UE performs the registration procedure for mobility on NR Cell C

**6.2.1.5. Cell reselection, from NR cell to NSA P-Cell**

**6.2.1.5.1. Test Purpose**

UE could reselect from NR Cell to NSA P-Cell and performs TAU then setup NSA PS-Cell

#### 6.2.1.5.2. Reference specification

TS 38.304, clause 5.2.4

#### 6.2.1.5.3. Applicability

This test applies to type 1 devices as described in clause 4.2.

#### 6.2.1.5.4. Test conditions

[SS configuration]

E-UTRAN Cell A and Cell B are TD-LTE cells, Cell C and Cell D are NR cells.

Cell A and Cell B and Cell D are neighbor cells of Cell C

E-UTRAN Cell A

Cell Id=1 TAC = 1

MCC = 460 MNC = 00

E-UTRA Band = 41

EARFCN= f1

Bandwidth = 20 MHz

rootSequenceIndex TDD = 0

Reference Signal EPRE = -125 dBm/15kHz

E-UTRAN Cell B

Cell Id=2 TAC = 2

MCC = 460 MNC = 00

E-UTRA Band = 41

EARFCN= f2

Bandwidth = 20 MHz

rootSequenceIndex TDD = 0

Reference Signal EPRE = -125 dBm/15kHz

NR Cell C

Cell Id=3 TAC = 3

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -85 dBm/30kHz

NR Cell D

Cell Id=4 TAC = 4

MCC = 460 MNC = 00

NR-ARFCN= f1z

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active.

E-UTRAN Cell B is not active.

NR Cell C is not active.

NR Cell D is not active.

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**6.2.1.5.5. Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | E-UTRAN Cell B | NR Cell C | NR Cell D    |
|------|----------------|----------------|-----------|--------------|
| T0   | “Not Active”   | “Not Active”   | -85       | “Not Active” |
| T1   | -91            | -85            | -97       | -91          |

PREAMBLE

1. Activate E-UTRAN Cell A, E-UTRAN Cell B, NR Cell C and NR Cell D. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 6.2.1.5.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell C (see 38.508, Table 4.5.2.2 till Step 18 ).

MAIN BODY

5. The SS changes cell power according to T1 in table 6.2.1.5.5-1.
6. UE performs the TAU procedure for mobility on E-UTRAN Cell B.
7. The SS transmits an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add NR PS-Cell.
8. UE transmit an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.

POSTAMBLE

- 9. The UE is powered OFF.
- 10. Deactivate E-UTRAN Cell A, E-UTRAN Cell B, NR Cell C and NR Cell D.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A, E-UTRAN Cell B, NR Cell C and NR Cell D.  |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 6.2.1.4.5-1   |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ). |                   | PASS    |
| 5    |                  |            | The SS changes cell power according to T1 in table 6.2.1.4.5-1.  |                   |         |
| 6    | →<br>←           | NAS        | UE performs the TAU procedure for mobility on E-UTRAN Cell B.  |                   | PASS    |
| 7    | ←                | RRC        | The SS transmits an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add NR PS-Cell D.       |                   | PASS    |
| 8    | →                | RRC        | UE transmit an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                |                   | PASS    |
| 9    |                  |            | The UE is powered OFF  |                   |         |
| 10   |                  |            | Deactivate E-UTRAN Cell A, E-UTRAN Cell B, NR Cell C and NR Cell D   |                   |         |

**6.2.1.5.6. Expected Result**

- Step 4 UE selects the E-UTRAN Cell A and camps on the Cell A.
- Step 6 UE performs the TAU procedure for mobility on E-UTRAN Cell B.
- Step7 The SS transmits an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add NR PS-Cell D.
- Step8 UE transmit an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.

**6.2.1.6. Cell reselection, from NSA P-Cell to NR cell**

**6.2.1.6.1. Test Purpose**



UE could reselect from NSA P-Cell to NR Cell and perform registration procedure for mobility.

#### 6.2.1.6.2. Reference specification

TS 36.304, clause 5.2.4

#### 6.2.1.6.3. Applicability

This test applies to type 1 devices as described in clause 4.2.

#### 6.2.1.6.4. Test conditions

[SS configuration]

E-UTRAN Cell A and Cell B are TD-LTE cells, Cell C and Cell D are NR cells.

Cell A and Cell C and Cell D are neighbor cells of Cell B

NR Cell C is higher priority than E-UTRAN Cell A.

E-UTRAN Cell A

Cell Id=1 TAC = 1

MCC = 460 MNC = 00

E-UTRA Band = 41

EARFCN= f1

Bandwidth = 20 MHz

rootSequenceIndex TDD = 0

Reference Signal EPRE = -125 dBm/15kHz

E-UTRAN Cell B

Cell Id=2 TAC = 2

MCC = 460 MNC = 00

E-UTRA Band = 41

EARFCN= f2

Bandwidth = 20 MHz

rootSequenceIndex TDD = 0

Reference Signal EPRE = -85 dBm/15kHz

NR Cell C

Cell Id=3 TAC = 3

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

NR Cell D

Cell Id=4 TAC = 4

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -91 dBm/30kHz

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active.

E-UTRAN Cell B is not active.

NR Cell C is not active.

NR Cell D is not active.

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**6.2.1.6.5. Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | E-UTRAN Cell B | NR Cell C    | NR Cell D |
|------|----------------|----------------|--------------|-----------|
| T0   | “Not Active”   | -85            | “Not Active” | -91       |
| T1   | -91            | -97            | -85          | -97       |

PREAMBLE

1. Activate E-UTRAN Cell A, E-UTRAN Cell B, NR Cell C and NR Cell D. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 6.2.1.6.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell B (see 36.508, 4.5.2 ).

MAIN BODY

5. The SS changes cell power according to T1 in table 6.2.1.6.5-1.
6. UE performs the registration procedure for mobility on NR Cell C.

POSTAMBLE

7. The UE is powered OFF.
8. Deactivate E-UTRAN Cell A, E-UTRAN Cell B, NR Cell C and NR Cell D.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A, E-UTRAN Cell B, NR Cell C and NR Cell D.  |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 6.2.1.4.5-1   |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | The UE performs the registration procedure with activation of the initial default EPS bearer on Cell B (see 36.508, 4.5.2 ). |                   | PASS    |
| 5    |                  |            | The SS changes cell power according to T1 in table 6.2.1.4.5-1.  |                   |         |
| 6    | →<br>←           | NAS        | UE performs the registration procedure for mobility on NR Cell C.  |                   | PASS    |
| 7    |                  |            | The UE is powered OFF  |                   |         |
| 8    |                  |            | Deactivate E-UTRAN Cell A, E-UTRAN Cell B, NR Cell C and NR Cell D   |                   |         |

#### 6.2.1.6.6. Expected Result

Step 4 UE selects the E-UTRAN Cell A and camps on the Cell A

Step 6 UE performs the registration procedure for mobility on NR Cell C.

## 6.2.2 Inter-RAT Handover

### 6.2.2.1 Inter-RAT Handover from NR to E-UTRAN cell

#### 6.2.2.1.1 Test Purpose

Setup a real PS service (e.g. streaming). The UE executes handovers from NR cell to E-UTRAN cell multi-times. Verify the UE is able to maintain the service.

#### 6.2.2.1.2 Reference specification

3GPP TS 38.331 subclause 5.4 and 5.5

3GPP TS 36.331 subclause 5.4 and 5.5

#### 6.2.2.1.3 Applicability

This test applies to type 1 and 2 devices as described in clause 4.2.

#### 6.2.2.1.4 Test conditions

[SS configuration]

Cell A is a NR cell, Cell B is an E-UTRAN cell.

NR Cell A  
 Cell Id=1 TAC = 1  
 MCC = 460 MNC = 00  
 NR-ARFCN= f1  
 SS/PBCH SSS EPRE = -125 dBm/30kHz

E-UTRAN Cell B  
 Cell Id=2 TAC = 1  
 MCC = 460 MNC = 00  
 EARFCN= f1  
 rootSequenceIndex = 0  
 Reference Signal EPRE = -125 dBm/15kHz

[UE configuration]  
 The test USIM shall be inserted.  
 The UE is in AUTOMATIC network selection mode.  
 UE works in SA mode.

[Initial conditions]  
 SS  
 NR Cell A is not active.  
 E-UTRAN Cell B is not active.  
 The test shall be performed under ideal radio conditions.

UE  
 UE is powered off

**6.2.2.1.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | E-UTRAN Cell B | Remark |
|------|-----------|----------------|--------|
| T0   | -85       | Not Active     |        |
| T1   | -97       | -85            |        |
| T2   | -85       | -91            |        |

PREAMBLE

1. Activate NR Cell A and E-UTRAN Cell B. The SS configures the transmission of the Master Information Block and starts the System Information Block broadcasting on all cells.
2. The SS configures the initial power according to T0 in Table 6.2.2.1.5-1.
3. The UE is powered ON.

MAIN BODY

4. The UE performs Registration procedure on NR Cell A according to subclause 5.4.1 step3-18.

5. Verify the data transmission is performed successfully on Cell A.
6. The SS sends RRC Connection Reconfiguration message to setup inter-RAT measurement (Event B2).
7. The UE sends RRC Connection Reconfiguration Complete message.
8. The SS changes the cell power according to T1 in table 6.2.2.1.5-1.
9. The UE performs measurements on the neighbor Cell B and provides measurement reports (Event B2) to the SS.
10. The SS sends an RRC Connection Reconfiguration message to order the UE to perform inter-RAT handover from NR Cell A to E-UTRAN Cell B.
11. The UE completes the HO procedure by sending the RRC CONNECTION RECONFIGURATION COMPLETE message on target E-UTRAN Cell B.
12. Verify the data transmission is continued on E-UTRAN Cell B.
13. The SS sends RRC Connection Reconfiguration message to setup inter-RAT measurement (Event B2).
14. The UE sends RRC Connection Reconfiguration Complete message.
15. The SS changes the cell power according to T2 in table 6.2.2.1.5-1.
16. The UE performs measurements on the neighbor NR Cell A and provides measurement reports (Event B2) to the SS.
17. The SS sends an RRC Connection Reconfiguration message to order the UE to perform inter-RAT handover from E-UTRAN Cell B to NR Cell A.
18. The UE completes the HO procedure by sending the RRC CONNECTION RECONFIGURATION COMPLETE message on target Cell A.
19. Verify the data transmission is continued on NR Cell A.
20. Repeat steps 6 to 19 four times.

POSTAMBLE

21. The SS initiates a de-registration procedure.
22. The SS initiates a RRC release procedure.
23. Deactivate NR Cell A and E-UTRAN Cell B.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
| 1    | ←                | RRC        | SS Activates NR Cell A and E-UTRAN Cell B.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 6.2.2.1.5-1.                      |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs Registration procedure on NR Cell A according to subclause 5.4.1 step3-18.     |                   | PASS    |
| 5    |                  |            | Verify the data transmission is performed successfully on Cell A.                          |                   | PASS    |
| 6    | ←                | RRC        | SS sends RRC Connection Reconfiguration message to setup inter-RAT measurement (Event B2). |                   |         |

|    |        |     |  |  |      |
|----|--------|-----|--|--|------|
| 7  | →      | RRC | UE sends RRC Connection Reconfiguration Complete message.  |  | PASS |
| 8  |        |     | SS changes the cell power according to T1 in table 6.2.2.1.5-1.  |  |      |
| 9  | →      | RRC | UE performs measurements on the neighbor Cell B and provides measurement reports (Event B2) to the SS.                             |  | PASS |
| 10 | ←      | RRC | SS sends an RRC Connection Reconfiguration message to order the UE to perform inter-RAT handover from NR Cell A to E-UTRAN Cell B. |  |      |
| 11 | →      | RRC | UE completes the HO procedure by sending the RRC CONNECTION RECONFIGURATION COMPLETE message on target E-UTRAN Cell B.             |  | PASS |
| 12 |        |     | Verify the data transmission is continued on Cell B.   |  | PASS |
| 13 | ←      | RRC | SS sends RRC Connection Reconfiguration message to setup inter-RAT measurement (Event B2).   |  |      |
| 14 | →      | RRC | UE sends RRC Connection Reconfiguration Complete message.  |  | PASS |
| 15 |        |     | SS changes the cell power according to T2 in table 6.2.2.1.5-1.  |  |      |
| 16 | →      | RRC | UE performs measurements on the neighbor NR Cell A and provides measurement reports (Event B2) to the SS.                          |  | PASS |
| 17 | ←      | RRC | SS sends an RRC Connection Reconfiguration message to order the UE to perform inter-RAT handover from E-UTRAN Cell B to NR Cell A. |  |      |
| 18 | →      | RRC | UE completes the HO procedure by sending the RRC CONNECTION RECONFIGURATION COMPLETE message on target Cell A.                     |  | PASS |
| 19 |        |     | Verify the data transmission is continued on Cell A.   |  | PASS |
| 20 |        |     | Repeat steps 6 to 19 four times.   |  |      |
| 21 | →<br>← | NAS | SS initiates a de-registration procedure.  |  | PASS |
| 22 | ←      | RRC | SS initiates a RRC release procedure.  |  |      |
| 23 |        |     | Deactivate NR Cell A and E-UTRAN Cell B.   |  |      |

#### 6.2.2.1.6 Expected Result

Verify the UE can perform the inter-RAT handover procedure successfully.

### 6.2.3 Inter-RAT Redirection Service

#### 6.2.3.1 Data Session continuity, Inter-RAT Redirection from NR to E-UTRAN

##### 6.2.3.1.1 Test Purpose

While the UE is in an active PS data session on a NR cell, it is capable of successfully re-establishing its active data session when it receives an RRC Release and a redirect to a specific E-UTRAN cell .

##### 6.2.3.1.2 Reference specification

3GPP TS 38.331 subclause 5.3.8

##### 6.2.3.1.3 Applicability

This test applies to type 1 and 2 devices as described in clause 4.2.

##### 6.2.3.1.4 Test conditions

[SS configuration]

Cell A is a NR cell, Cell B is an E-UTRAN cell.

NR Cell A

Cell Id=1 TAC = 1

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -85 dBm/30kHz

E-UTRAN Cell B

Cell Id=2 TAC = 2

MCC = 460 MNC = 00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE = -125 dBm/15kHz

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active.

E-UTRAN Cell B is not active.

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**6.2.3.1.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | E-UTRAN Cell B | Remark |
|------|-----------|----------------|--------|
| T0   | -85       | Not Active     |        |
| T1   | -97       | -85            |        |

**PREAMBLE**

1. Activate NR Cell A and E-UTRAN Cell B. The SS configures the transmission of the Master Information Block and starts the System Information Block broadcasting on all cells.
2. The SS configures the initial power according to T0 in Table 6.2.3.1.5-1.
3. The UE is powered ON.

**MAIN BODY**

4. UE performs the registration procedure on Cell A according to subclause 5.4.1 step3-18.
5. Verify the data transmission is performed successfully on Cell A.
6. The SS changes the cell power according to T1 in table 6.2.3.1.5-1.
7. The SS releases the RRC connection on NR Cell A, RRCConnectionRelease message should include redirectedCarrierInfo IE with ARFCN of E-UTRAN Cell B.
8. The UE performs Routing Area Update procedure and then PDP context establishment in E-UTRAN Cell B.
9. Verify the data transmission is continued successfully on E-UTRAN Cell B.

**POSTAMBLE**

10. The SS initiates a DETACH procedure.
11. The SS initiates a RRC release procedure.
12. Deactivate NR Cell A and E-UTRAN Cell B.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
| 1    | ←                | RRC        | SS Activates NR Cell A and E-UTRAN Cell B   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 6.2.3.1.5-1.                   |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure on Cell A according to subclause 5.4.1 step3-18. |                   | PASS    |
| 5    |                  |            | Verify the data transmission is performed   |                   | PASS    |



|    |        |            |   |  |      |
|----|--------|------------|---|--|------|
|    |        |            | successfully on Cell A.   |  |      |
| 6  |        |            | SS changes the cell power according to T1 in table 6.2.3.1.5-1.   |  |      |
| 7  | ←      | RRC        | SS releases the RRC connection on NR Cell A, RRCConnectionRelease message should include redirectedCarrierInfo IE with ARFCN of E-UTRAN Cell B. |  |      |
| 8  | →<br>← | NAS<br>RRC | UE performs Routing Area Update procedure and then PDP context establishment in E-UTRAN Cell B.   |  | PASS |
| 9  |        |            | Verify the data transmission is continued successfully on E-UTRAN Cell B.   |  | PASS |
| 10 | →<br>← | NAS        | SS initiates a DETACH procedure.  |  | PASS |
| 11 | ←      | RRC        | SS initiates a RRC release procedure.   |  |      |
| 12 |        |            | Deactivate NR Cell A and E-UTRAN Cell B.  |  |      |

#### 6.2.3.1.6 Expected Result

Verify the UE could redirect from NSA P-Cell to E-UTRAN Cell.

### 6.2.3.2 Data Session continuity, Inter-RAT Redirection from E-UTRAN to NR

#### 6.2.3.2.1 Test Purpose

While the UE is in an active PS data session on a E-UTRAN cell, it is capable of successfully re-establishing its active data session when it receives an RRC Release and a redirect to a specific NR cell .

#### 6.2.3.2.2 Reference specification

3GPP TS 36.331 subclause 5.3.8

#### 6.2.3.2.3 Applicability

This test applies to type 1 and 2 devices as described in clause 4.2.

#### 6.2.3.2.4 Test conditions

[SS configuration]

Cell A is an E-UTRAN cell, Cell B is a NR cell.

Cell B is the neighbor cell of Cell A.

E-UTRAN Cell A

Cell Id=1 TAC = 1

MCC = 460 MNC = 00

EARFCN= f1

rootSequenceIndex = 0  
Reference Signal EPRE = -85 dBm/15kHz

NR Cell B  
Cell Id=2 TAC = 2  
MCC = 460 MNC = 00  
NR-ARFCN= f1  
SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]  
The test USIM shall be inserted.  
The UE is in AUTOMATIC network selection mode.  
UE works in SA mode.

[Initial conditions]  
SS  
E-UTRAN Cell A is not active.  
NR Cell B is not active.  
The test shall be performed under ideal radio conditions.

UE  
UE is powered off

#### 6.2.3.2.5 Test procedure

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell B  | Remark |
|------|----------------|------------|--------|
| T0   | -85            | Not Active |        |
| T1   | -97            | -85        |        |

#### PREAMBLE

1. Activate E-UTRAN Cell A and NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 6.2.3.2.5-1.
3. The UE is powered ON.

#### MAIN BODY

4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. Verify the data transmission is performed successfully on E-UTRAN Cell A.
6. The SS changes cell power according to T1 in table 6.2.3.2.5-1.
7. The SS releases the RRC connection on E-UTRAN Cell A, RRCConnectionRelease message should include redirectedCarrierInfo IE with CarrierInfoNR of NR Cell B.
8. The UE performs the registration procedure for mobility on NR Cell B according to subclause 5.4.1 step3-18.

9. Verify the data transmission is performed successfully on NR Cell B.

#### POSTAMBLE

10. The SS initiates a Detach procedure.  
 11. The SS initiates a RRC release procedure.  
 12. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.  |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 6.2.3.2.5-1.   |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).                                |                   | PASS    |
| 5    |                  |            | Verify the data transmission is performed successfully on E-UTRAN Cell A.   |                   | PASS    |
| 6    |                  |            | SS changes cell power according to T1 in table 6.2.3.2.5-1.   |                   |         |
| 7    | ←                | RRC        | SS releases the RRC connection on E-UTRAN Cell A, RRCConnectionRelease message should include redirectedCarrierInfo IE with CarrierInfoNR of NR Cell B. |                   |         |
| 8    | →<br>←           | NAS<br>RRC | UE performs the registration procedure for mobility on NR Cell B according to subclause 5.4.1 step3-18.   |                   | PASS    |
| 9    |                  |            | Verify the data transmission is performed successfully on NR Cell B.  |                   | PASS    |
| 10   | →<br>←           | NAS        | SS initiates a de-registration procedure.   |                   | PASS    |
| 11   | ←                | RRC        | SS initiates a RRC release procedure.   |                   |         |
| 12   |                  |            | Deactivate E-UTRAN Cell A and NR Cell B.  |                   |         |

#### 6.2.3.2.6 Expected Result

Verify the UE could redirect from E-UTRAN Cell to NSA P-Cell.

### 6.3 NSA

#### 6.3.1 Cell Reselection, from NSA P-Cell to E-UTRAN cell

##### 6.3.1.1 Test Purpose

UE could reselect from NSA P-Cell to E-UTRAN Cell. UE works in 4G mode.

### 6.3.1.2 Reference specification

TS 36.304, clause 5.2.4

### 6.3.1.3 Applicability

This test applies to the device that supports NSA or SA+NSA.

### 6.3.1.4 Test conditions

[SS configuration]

CellA and Cell B are E-UTRAN Cells, CellC is a NR Cell.

Cell A supports NSA, Cell B is a Neighbor Cell of Cell A.

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

E-UTRAN Cell B

Cell Id=02 TAC = 02

MCC-MNC = 460-00

EARFCN= f2

rootSequenceIndex = 8

Reference Signal EPRE= -125 dBm/15kHz

NR Cell C

Cell Id=03 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

E-UTRAN Cell B is not active

NR Cell C is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**6.3.1.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | E-UTRAN Cell B | NR Cell C | Remark |
|------|----------------|----------------|-----------|--------|
| T0   | -85            | Not Active     | -90       |        |
| T1   | -90            | -85            | -90       |        |

PREAMBLE

- 20. Activate E-UTRAN Cell A,E-UTRAN Cell B and NR Cell C.The SS configures the corresponding Master Information Block and System Information Block broadcast.
- 21. The SS configures the initial power according to T0 in Table 6.3.1.5-1.
- 22. The UE is powered ON.

MAIN BODY

- 23. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
- 24. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
- 25. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
- 26. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell C as NR PS-Cell with Split DRB. RRCConnectionReconfiguration message contains the ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message.
- 27. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
- 28. The UE sends an ULInformationTransfer message containing the ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message.
- 29. Verify the data transmission is performed successfully on NR Cell C.
- 30. The RRC connection is released by the SS.
- 31. The SS changes cell power according to T1 in table 6.3.1.5-1.
- 32. The UE performs TRACKING AREA UPDATE on Cell B.
- 33. Verify the data transmission is performed successfully on E-UTRAN Cell B.

POSTAMBLE

- 34. The SS initiates a Detach procedure.
- 35. The SS initiates a RRC release procedure.
- 36. Deactivate E-UTRAN Cell A,E-UTRAN Cell B and NR Cell C.

Table 6.3.1.55.1.1.5-2: Message Sequence

| Step | Message Sequence | Verdict |
|------|------------------|---------|
|------|------------------|---------|

|    | U-S    | Layer      | Message  | Specific Contents |      |
|----|--------|------------|--|-------------------|------|
| 1  | ←      | RRC        | Activate E-UTRAN Cell A,E-UTRAN Cell B and NR Cell C.  |                   |      |
| 2  |        |            | SS configures the initial power according to T0 in Table 6.3.1.5-1.  |                   |      |
| 3  |        | UE         | Switch On UE   | AT Command        |      |
| 4  | →<br>← | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).   |                   | PASS |
| 5  | ←      | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.  |                   |      |
| 6  | →      | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.   |                   | PASS |
| 7  | ←      | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell C as NR PS-Cell with Split DRB. RRCConnectionReconfiguration message contains the ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message. |                   |      |
| 8  | →      | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.   |                   | PASS |
| 9  | →      | NAS<br>RRC | UE sends an ULInformationTransfer message containing the ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message..  |                   | PASS |
| 10 |        |            | Verify the data transmission is performed successfully on NR Cell C.   |                   | PASS |
| 11 | ←      | RRC        | The RRC connection is released by the SS.  |                   |      |
| 12 |        |            | SS changes cell power according to T1 in table 6.3.1.5-1.  |                   |      |
| 13 | →<br>← | NAS<br>RRC | UE performs TRACKING AREA UPDATE on Cell B.  |                   | PASS |
| 14 |        |            | Verify the data transmission is performed successfully on E-UTRAN Cell B.  |                   | PASS |
| 15 | →<br>← | NAS        | SS initiates a Detach procedure.   |                   | PASS |
| 16 | ←      | RRC        | SS initiates a RRC release procedure.  |                   |      |
| 17 |        |            | Deactivate E-UTRAN Cell A,E-UTRAN Cell B and NR Cell C.  |                   |      |

### 6.3.1.6 Expected Result

Verify the UE could reselect from NSA P-Cell to E-UTRAN Cell.

### 6.3.2 Cell Reselection, from E-UTRAN cell to NSA P-Cell

#### 6.3.2.1 Test Purpose

UE could reselect from E-UTRAN Cell to NSA P-Cell. Initial UL data transfer, UE report NSA capability then SCG PS-Cell establishment

#### 6.3.2.2 Reference specification

TS 36.304, clause 5.2.4

#### 6.3.2.3 Applicability

This test applies to the device that supports NSA or SA+NSA.

#### 6.3.2.4 Test conditions

[SS configuration]

CellA and Cell B are E-UTRAN Cells, CellC is a NR Cell.

Cell B supports NSA, it is a Neighbor Cell of Cell A.

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f2

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

E-UTRAN Cell B

Cell Id=02 TAC = 02

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 8

Reference Signal EPRE= -125 dBm/15kHz

upperLayerIndication-r15=true

NR Cell C

Cell Id=03 TAC = 02

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

E-UTRAN Cell B is not active

NR Cell C is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

### 6.3.2.5 Test procedure

Table 6.3.2.55.1.1.5-1: Time of cell power level and parameter changes

| Time | Cell A | Cell B     | Cell C     | Remark |
|------|--------|------------|------------|--------|
| T0   | -85    | Not Active | Not Active |        |
| T1   | -91    | -85        | -94        |        |

PREAMBLE

1. Activate E-UTRAN Cell A, E-UTRAN Cell B and NR Cell C. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 6.3.2.5-1.
3. The UE is powered ON.

MAIN BODY

4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2).
5. The SS sends an ue-CapabilityRequest including the RAT type "eutra-nr".
6. Verify the UE sends UE Capability Information message including the RAT type "eutra-nr".
7. Verify the data transmission is performed successfully on E-UTRAN Cell A.
8. The RRC connection is released by the SS.
9. The SS changes cell power according to T1 in table 6.3.2.5-1.
10. The UE performs TRACKING AREA UPDATE on Cell B.
11. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell C as NR PS-Cell with Split DRB. RRCConnectionReconfiguration message contains the ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message.
12. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
13. The UE sends an ULInformationTransfer message containing the ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message.
14. Verify the data transmission is performed successfully on NR Cell C.

POSTAMBLE



15. The SS initiates a Detach procedure.
16. The SS initiates a RRC release procedure.
17. Deactivate E-UTRAN Cell A,E-UTRAN Cell B and NR Cell C.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A,E-UTRAN Cell B and NR Cell C.  |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 6.3.2.5-1.  |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).   |                   | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.  |                   |         |
| 6    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.   |                   | PASS    |
| 7    |                  |            | Verify the data transmission is performed successfully on E-UTRAN Cell A.  |                   | PASS    |
| 8    | ←                | RRC        | The RRC connection is released by the SS.  |                   |         |
| 9    |                  |            | SS changes cell power according to T1 in table 6.3.2.5-1.  |                   |         |
| 10   | →<br>←           | NAS<br>RRC | UE performs TRACKING AREA UPDATE on Cell B.  |                   | PASS    |
| 11   | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell C as NR PS-Cell with Split DRB. RRCConnectionReconfiguration message contains the ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message. |                   |         |
| 12   | →                | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.   |                   | PASS    |
| 13   | →                | NAS<br>RRC | UE sends an ULInformationTransfer message containing the ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message..  |                   | PASS    |
| 14   |                  |            | Verify the data transmission is performed successfully on NR Cell C.   |                   | PASS    |
| 15   | →<br>←           | NAS        | SS initiates a Detach procedure.   |                   | PASS    |

|    |   |     |   |  |  |
|----|---|-----|---|--|--|
| 16 | ← | RRC | SS initiates a RRC release procedure.                   |  |  |
| 17 |   |     | Deactivate E-UTRAN Cell A,E-UTRAN Cell B and NR Cell C. |  |  |

### 6.3.2.6 Expected Result

Verify the UE could reselect from E-UTRAN Cell to NSA P-Cell.

### 6.3.3 P-Cell Handover with SCG change, Data continuity

#### 6.3.3.1 Test Purpose

Setup a real PS service (e.g. streaming).The UE perform P-Cell-P-Cell handover with SCG change.

#### 6.3.3.2 Reference specification

3GPP TS 36.331 subclause 5.3.5

3GPP TS 38.331 subclause 5.3.5

#### 6.3.3.3 Applicability

This test applies to the device that supports NSA or SA+NSA.

#### 6.3.3.4 Test conditions

[SS configuration]

CellA and Cell B are E-UTRAN Cells, CellC and Cell D are NR Cells.

Cell A and Cell B supports NSA.

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

Frequency Configuration = f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

E-UTRAN Cell B

Cell Id=02 TAC = 02

MCC-MNC = 460-00

Frequency Configuration = f2

rootSequenceIndex = 8

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell C

Cell Id=03 TAC = 01

MCC = 460 MNC = 00  
 Frequency Configuration = f1  
 SS/PBCH SSS EPRE = -125 dBm/30kHz

NR Cell D  
 Cell Id=04 TAC = 02  
 MCC = 460 MNC = 00  
 Frequency Configuration = f2  
 SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]  
 The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in NSA mode.

[Initial conditions]  
 SS  
     E-UTRAN Cell A is not active  
     E-UTRAN Cell B is not active  
     NR Cell C is not active  
     NR Cell D is not active

The test shall be performed under ideal radio conditions.

UE  
 UE is powered off

**6.3.3.5 Test procedure**

Table 6.3.3.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | E-UTRAN Cell B | NR Cell C | NR Cell D  | Remark |
|------|----------------|----------------|-----------|------------|--------|
| T0   | -85            | Not Active     | -94       | Not Active |        |
| T1   | -91            | -85            | -100      | -94        |        |

PREAMBLE

1. Activate E-UTRAN Cell A, E-UTRAN Cell B, NR Cell C and NR Cell D. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.1.1.5-1.
3. The UE is powered ON.

MAIN BODY

4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.

7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell C as NR PS-Cell with Split DRB. RRCConnectionReconfiguration message contains the ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
9. The UE sends an ULInformationTransfer message containing the ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message.
10. Verify the data transmission is performed successfully on NR Cell C.
11. The SS changes cell power according to T1 in table 6.3.3.5-1.
12. The SS sends an RRCConnectionReconfiguration message containing mobilityControllInfo to handover to E-UTRA Cell B and NR RRCReconfiguration message to perform SCG change with reconfigurationWithSync on Cell D.
13. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
14. The UE performs TRACKING AREA UPDATE on Cell B.
15. Verify the data transmission is performed successfully on NR Cell D.

POSTAMBLE

16. The SS initiates a Detach procedure.
17. The SS initiates a RRC release procedure.
18. Deactivate E-UTRAN Cell A, E-UTRAN Cell B, NR Cell C and NR Cell D.

Table 6.3.3.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A, E-UTRAN Cell B, NR Cell C and NR Cell D.  |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 6.3.3.5-1.  |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).   |                   | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.  |                   |         |
| 6    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.   |                   | PASS    |
| 7    | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell C as NR PS-Cell with Split DRB. RRCConnectionReconfiguration message contains the ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message. |                   |         |

|    |        |            |  |  |      |
|----|--------|------------|--|--|------|
| 8  | →      | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.   |  | PASS |
| 9  | →      | NAS<br>RRC | UE sends an ULInformationTransfer message containing the ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message..  |  | PASS |
| 10 |        |            | Verify the data transmission is performed successfully on NR Cell C.   |  | PASS |
| 11 |        |            | The SS changes cell power according to T1 in table 6.3.3.5-1.  |  |      |
| 12 | ←      | RRC        | SS sends an RRCConnectionReconfiguration message containing mobilityControllInfo to handover to E-UTRA Cell B and NR RRCReconfiguration message to perform SCG change with reconfigurationWithSync on Cell D |  |      |
| 13 | →      | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.   |  | PASS |
| 14 | →<br>← | NAS<br>RRC | The UE performs TRACKING AREA UPDATE on Cell B.  |  | PASS |
| 15 |        |            | Verify the data transmission is continued successfully on NR Cell D.   |  |      |
| 16 | →<br>← | NAS        | SS initiates a Detach procedure.   |  | PASS |
| 17 | ←      | RRC        | SS initiates a RRC release procedure.  |  |      |
| 18 |        |            | Deactivate E-UTRAN Cell A, E-UTRAN Cell B, NR Cell C and NR Cell D.  |  |      |

### 6.3.3.6 Expected Result

Verify the data transmission is continued after handover.

### 6.3.4 P-Cell Handover with SCG unchange, Data continuity

#### 6.3.4.1 Test Purpose

Setup a real PS service (e.g. streaming).The UE perform P-Cell-P-Cell handover with SCG remains the same.

#### 6.3.4.2 Reference specification

3GPP TS 36.331 subclause 5.3.5

3GPP TS 38.331 subclause 5.3.5

### 6.3.4.3 Applicability

This test applies to the device that supports NSA or SA+NSA.

### 6.3.4.4 Test conditions

[SS configuration]

CellA and Cell B are E-UTRAN Cells, CellC is a NR Cell.

Cell A and Cell B supports NSA.

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

E-UTRAN Cell B

Cell Id=02 TAC = 01

MCC-MNC = 460-00

EARFCN= f2

rootSequenceIndex = 8

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell C

Cell Id=03 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

E-UTRAN Cell B is not active

NR Cell C is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**6.3.4.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | E-UTRAN Cell B | NR Cell C | Remark |
|------|----------------|----------------|-----------|--------|
| T0   | -85            | Not Active     | -94       |        |
| T1   | -91            | -85            | -94       |        |

PREAMBLE

1. Activate E-UTRAN Cell A,E-UTRAN Cell B and NR Cell C.The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 6.3.4.5-1.
3. The UE is powered ON.

MAIN BODY

4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell C as NR PS-Cell with Split DRB. RRCConnectionReconfiguration message contains the ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
9. The UE sends an ULInformationTransfer message containing the ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message.
10. Verify the data transmission is performed successfully on NR Cell C.
11. The SS changes cell power according to T1 in table 6.3.4.5-1.
12. The SS sends an RRCConnectionReconfiguration message containing mobilityControllInfo to handover to E-UTRA Cell B and SCG remains the same.
13. The UE sends an RRCConnectionReconfigurationComplete message.
14. Verify the data transmission is continued successfully on NR Cell C.

POSTAMBLE

15. The SS initiates a Detach procedure.
16. The SS initiates a RRC release procedure.
17. Deactivate E-UTRAN Cell A,E-UTRAN Cell B and NR Cell C.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |       |   |                   | Verdict |
|------|------------------|-------|---|-------------------|---------|
|      | U-S              | Layer | Message   | Specific Contents |         |
| 1    | ←                | RRC   | Activate E-UTRAN Cell A,E-UTRAN Cell B and NR Cell C. |                   |         |

|    |        |            |  |            |      |
|----|--------|------------|--|------------|------|
| 2  |        |            | SS configures the initial power according to T0 in Table 6.3.4.5-1.  |            |      |
| 3  |        | UE         | Switch On UE   | AT Command |      |
| 4  | →<br>← | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).   |            | PASS |
| 5  | ←      | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.  |            |      |
| 6  | →      | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.   |            | PASS |
| 7  | ←      | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell C as NR PS-Cell with Split DRB. RRCConnectionReconfiguration message contains the ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message. |            |      |
| 8  | →      | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.   |            | PASS |
| 9  | →      | NAS<br>RRC | UE sends an ULInformationTransfer message containing the ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message..  |            | PASS |
| 10 |        |            | Verify the data transmission is performed successfully on NR Cell C.   |            | PASS |
| 11 |        |            | The SS changes cell power according to T1 in table 6.3.4.5-1.  |            |      |
| 12 | ←      | RRC        | SS sends an RRCConnectionReconfiguration message containing mobilityControlInfo to handover to E-UTRA Cell B and SCG remains the same.   |            |      |
| 13 | →      | RRC        | UE sends an RRCConnectionReconfigurationComplete message.  |            |      |
| 14 |        |            | Verify the data transmission is continued successfully on NR Cell C.   |            | PASS |
| 15 | →<br>← | NAS        | SS initiates a Detach procedure.   |            | PASS |
| 16 | ←      | RRC        | SS initiates a RRC release procedure.  |            |      |
| 17 |        |            | Deactivate E-UTRAN Cell A,E-UTRAN Cell B and NR Cell C.  |            |      |

#### 6.3.4.6 Expected Result



Verify the data transmission is continued after handover.

### 6.3.5 Data Session continuity, from NSA cell to E-UTRAN cell

#### 6.3.5.1 Test Purpose

While the UE is in an active PS data session on a NSA PS-Cell, UE can keep data transmission continuity after releasing of NSA PS-Cell and handover from NSA P-Cell to E-UTRAN cell.

#### 6.3.5.2 Reference specification

3GPP TS 36.331 subclause 5.3.5

3GPP TS 38.331 subclause 5.3.5

#### 6.3.5.3 Applicability

This test applies to the device that supports NSA or SA+NSA.

#### 6.3.5.4 Test conditions

[SS configuration]

CellA and Cell B are inter-band E-UTRAN Cells, CellC is a NR Cell.

Cell A supports NSA, Cell B is a 4G only Neighbor Cell of Cell A.

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

E-UTRAN Cell B

Cell Id=02 TAC = 02

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 8

Reference Signal EPRE= -125 dBm/15kHz

NR Cell C

Cell Id=03 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

Band configuration:

- Cell A is Band 3. Cell B is Band 39. Cell C is n41

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

E-UTRAN Cell B is not active

NR Cell C is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**6.3.5.5 Test procedure**

Table 6.3.5.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | E-UTRAN Cell B | NR Cell C | Remark |
|------|----------------|----------------|-----------|--------|
| T0   | -85            | Not Active     | -94       |        |
| T1   | -91            | -85            | -94       |        |

PREAMBLE

1. Activate E-UTRAN Cell A,E-UTRAN Cell B and NR Cell C.The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 6.3.5.5-1.
3. The UE is powered ON.

MAIN BODY

4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell C as NR PS-Cell with Split DRB. RRCConnectionReconfiguration message contains the ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
9. The UE sends an ULInformationTransfer message containing the ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message.
10. Verify the data transmission is performed successfully on NR Cell C.
11. The SS changes cell power according to T1 in table 6.3.5.5-1.

12. The SS sends an RRCConnectionReconfiguration message containing mobilityControllInfo to handover to E-UTRA Cell B, and containing nr-Config for EN-DC release, and containing RadioBearerConfig to release Split DRB.
13. The UE sends an RRCConnectionReconfigurationComplete message.
14. Verify the data transmission is continued successfully on E-UTRAN Cell B.

POSTAMBLE

15. The SS initiates a Detach procedure.
16. The SS initiates a RRC release procedure.
17. Deactivate E-UTRAN Cell A,E-UTRAN Cell B and NR Cell C.

Table 6.3.5.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A,E-UTRAN Cell B and NR Cell C.  |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 6.3.5.5-1.  |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).   |                   | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.  |                   |         |
| 6    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.   |                   | PASS    |
| 7    | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell C as NR PS-Cell with Split DRB. RRCConnectionReconfiguration message contains the ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message. |                   |         |
| 8    | →                | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.   |                   | PASS    |
| 9    | →                | NAS<br>RRC | UE sends an ULInformationTransfer message containing the ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message..  |                   | PASS    |
| 10   |                  |            | Verify the data transmission is performed successfully on NR Cell C.   |                   | PASS    |
| 11   |                  |            | The SS changes cell power according to T1 in table 6.3.5.5-1.  |                   |         |

|    |        |     |  |  |      |
|----|--------|-----|--|--|------|
| 12 | ←      | RRC | SS sends an RRCConnectionReconfiguration message containing mobilityControlInfo to handover to E-UTRA Cell B, and containing nr-Config for EN-DC release, and containing RadioBearerConfig to release Split DRB. |  |      |
| 13 | →      | RRC | UE sends an RRCConnectionReconfigurationComplete message.  |  |      |
| 14 |        |     | Verify the data transmission is continued successfully on E-UTRAN Cell B.  |  | PASS |
| 15 | →<br>← | NAS | SS initiates a Detach procedure.   |  | PASS |
| 16 | ←      | RRC | SS initiates a RRC release procedure.  |  |      |
| 17 |        |     | Deactivate E-UTRAN Cell A,E-UTRAN Cell B and NR Cell C.  |  |      |

### 6.3.5.6 Expected Result

Verify the data transmission is continued after handover.

## 6.3.6 Data Session continuity, from E-UTRAN cell to NSA cell

### 6.3.6.1 Test Purpose

While the UE is in an active PS data session on a E-UTRAN Cell, UE can keep data transmission continuity on NSA PS-Cell after handover from E-UTRAN cell to NSA P-Cell and addition of NSA PS-Cell.

### 6.3.6.2 Reference specification

3GPP TS 36.331 subclause 5.3.5

3GPP TS 38.331 subclause 5.3.5

### 6.3.6.3 Applicability

This test applies to the device that supports NSA or SA+NSA.

### 6.3.6.4 Test conditions

[SS configuration]

CellA and Cell B are E-UTRAN Cells, CellC is a NR Cell.

Cell B supports NSA, it is a Neighbor Cell of Cell A.

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f2

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

E-UTRAN Cell B

Cell Id=02 TAC = 02

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 8

Reference Signal EPRE= -125 dBm/15kHz

upperLayerIndication-r15=true

NR Cell C

Cell Id=03 TAC = 02

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

E-UTRAN Cell B is not active

NR Cell C is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**6.3.6.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | E-UTRAN Cell B | NR Cell C  | Remark |
|------|----------------|----------------|------------|--------|
| T0   | -85            | Not Active     | Not Active |        |
| T1   | -91            | -85            | -94        |        |

PREAMBLE

1. Activate E-UTRAN Cell A,E-UTRAN Cell B and NR Cell C.The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 6.3.6.5-1.
3. The UE is powered ON.

MAIN BODY

4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. Verify the data transmission is performed successfully on E-UTRAN Cell A.
8. The SS changes cell power according to T1 in table 6.3.6.5-1.
9. The SS sends an RRCConnectionReconfiguration message containing mobilityControlInfo to handover to E-UTRA Cell B.
10. The UE sends an RRCConnectionReconfigurationComplete message.
11. The UE performs TRACKING AREA UPDATE on Cell B.
12. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell C as NR PS-Cell with Split DRB. RRCConnectionReconfiguration message contains the ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message.
13. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
14. The UE sends an ULInformationTransfer message containing the ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message.
15. Verify the data transmission is continued successfully on NR Cell C.

POSTAMBLE

16. The SS initiates a Detach procedure.
17. The SS initiates a RRC release procedure.
18. Deactivate E-UTRAN Cell A,E-UTRAN Cell B and NR Cell C.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A,E-UTRAN Cell B and NR Cell C.  |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 6.3.6.5-1.  |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ). |                   | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.  |                   |         |
| 6    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.                                   |                   | PASS    |
| 7    |                  |            | Verify the data transmission is performed successfully on E-UTRAN Cell A.  |                   | PASS    |
| 8    |                  |            | SS changes cell power according to T1 in table 6.3.6.5-1   |                   |         |

|    |        |            |  |  |      |
|----|--------|------------|--|--|------|
| 9  | ←      | RRC        | SS sends an RRCConnectionReconfiguration message containing mobilityControlInfo to handover to E-UTRA Cell B..   |  |      |
| 10 | →      | RRC        | UE sends an RRCConnectionReconfigurationComplete message.  |  | PASS |
| 11 | →<br>← | NAS<br>RRC | UE performs TRACKING AREA UPDATE on Cell B.  |  | PASS |
| 12 | ←      | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell C as NR PS-Cell with Split DRB. RRCConnectionReconfiguration message contains the ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message. |  |      |
| 13 | →      | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.   |  | PASS |
| 14 | →      | NAS<br>RRC | UE sends an ULInformationTransfer message containing the ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message..  |  | PASS |
| 15 |        |            | Verify the data transmission is continued successfully on NR Cell C.   |  | PASS |
| 16 | →<br>← | NAS        | SS initiates a Detach procedure.   |  | PASS |
| 17 | ←      | RRC        | SS initiates a RRC release procedure.  |  |      |
| 18 |        |            | Deactivate E-UTRAN Cell A,E-UTRAN Cell B and NR Cell C.  |  |      |

### 6.3.6.6 Expected Result

Verify the data transmission is continued after handover.

### 6.3.7 SCG change with P-Cell remain the same, Data continuity

#### 6.3.7.1 Test Purpose

Test to verify the support of SCG change when data transmission keep continuous.

#### 6.3.7.2 Reference specification

3GPP TS 38.331 subclause 5.3.5

#### 6.3.7.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

### 6.3.7.4 Test conditions

[SS configuration]

CellA is E-UTRAN Cells, Cell B and Cell C are NR intra-frequency cells.

Cell A and Cell B supports NSA.

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

Frequency Configuration = f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

Frequency Configuration = f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

NR Cell C

Cell Id=03 TAC = 02

MCC = 460 MNC = 00

Frequency Configuration = f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B and Cell C are not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

### 6.3.7.5 Test procedure

Table 6.3.7.5-1: Time of cell power level and parameter changes

| Time | Parameter             | Unit      | E-UTRAN Cell A | NR Cell B | NR Cell C  | Remark |
|------|-----------------------|-----------|----------------|-----------|------------|--------|
| T0   | SS/PBCH, SSS EPRE     | dBm/SCS   | /              | -90       | Not Active |        |
|      | Reference Signal EPRE | dBm/15kHz | -85            | /         | /          |        |



|    |                       |           |     |      |     |  |
|----|-----------------------|-----------|-----|------|-----|--|
| T1 | SS/PBCH, SSS EPRE     | dBm/SCS   | /   | -100 | -90 |  |
|    | Reference Signal EPRE | dBm/15kHz | -85 | /    | /   |  |

PREAMBLE

1. Activate E-UTRAN Cell A and NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 5.1.1.5-1.
3. The UE is powered ON.

MAIN BODY

4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
9. Verify the data transmission is performed successfully on NR Cell B.
10. Active NR Cell C. The SS changes cell power according to T1 in table 6.3.7.5-1.
11. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to perform SCG change with reconfigurationWithSync on NR Cell C.
12. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
13. Verify the data transmission is performed successfully on NR Cell C.

POSTAMBLE

14. The SS initiates a Detach procedure.
15. The SS initiates a RRC release procedure.
16. Deactivate E-UTRAN Cell A, NR Cell B and NR Cell C.

Table 6.3.3.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.  |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 6.3. 7.5-1.  |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2). |                   | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.   |                   |         |

|    |        |            |  |  |      |
|----|--------|------------|--|--|------|
| 6  | →      | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.   |  | PASS |
| 7  | ←      | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell                                  |  |      |
| 8  | →      | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.   |  | PASS |
| 9  |        |            | Verify the data transmission is performed successfully on NR Cell B.   |  | PASS |
| 10 |        |            | Active NR Cell C. The SS changes cell power according to T1 in table 6.3.7.5-1.  |  |      |
| 11 | ←      | RRC        | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to perform SCG change with reconfigurationWithSync on Cell D |  |      |
| 12 | →      | RRC        | UE sends RRCConnectionReconfigurationComplete containing NR RRCReconfigurationComplete.  |  | PASS |
| 13 |        |            | Verify the data transmission is continued successfully on NR Cell D.   |  |      |
| 14 | →<br>← | NAS        | SS initiates a Detach procedure.   |  | PASS |
| 15 | ←      | RRC        | SS initiates a RRC release procedure.  |  |      |
| 16 |        |            | Deactivate E-UTRAN Cell A, NR Cell B and NR Cell C.  |  |      |

### 6.3.7.6 Expected Result

Verify the data transmission is continued after SCG change.

### 6.3.8 Inter-band SCG change with P-Cell unchanged, Data continuity

#### 6.3.8.1 Test Purpose

Test to verify the support of inter-band SCG change from n41 cell to n79 cell when data transmission keep continuous.

#### 6.3.8.2 Reference specification

3GPP TS 38.331 subclause 5.3.5

#### 6.3.8.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

#### 6.3.8.4 Test conditions

[SS configuration]

CellA is E-UTRAN Cells, Cell B and Cell C are NR intra-frequency cells.

Cell A and Cell B supports NSA.

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

FDD LTE Band 3

Frequency Configuration = f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR Band n41

Frequency Configuration = f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

NR Cell C

Cell Id=03 TAC = 02

MCC = 460 MNC = 00

NR Band n79

Frequency Configuration = f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

Band configuration:

- Cell A is LTE Band 3. Cell B is NR Band n41. Cell C is NR band n79

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B and Cell C are not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

#### 6.3.8.5 Test procedure

The same as in 错误!未找到引用源。

#### 6.3.8.6 Expected Result

Verify UE support NSA band combination B3+n41 and B3+n79.

Verify the data transmission keep continuous after SCG change.

### 6.3.9 Inter-band SCG change with P-Cell unchanged, Voice Call and Data continuity

#### 6.3.9.1 Test Purpose

Setup a real voice call on MCG and PS service on SCG. Test to verify the support of inter-band SCG change from n41 cell to n79 cell when voice call and data transmission keep continuous.

#### 6.3.9.2 Reference specification

3GPP TS 36.331 subclause 5.3.5

3GPP TS 38.331 subclause 5.3.5

#### 6.3.9.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

This test applies to the device that supports VoLTE

#### 6.3.9.4 Test conditions

[SS configuration]

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

TD-LTE Band 39

Frequency Configuration = f1  
 rootSequenceIndex = 0  
 Reference Signal EPRE= -85 dBm/15kHz  
 upperLayerIndication-r15=true

NR Cell B  
 Cell Id=02 TAC = 01  
 MCC = 460 MNC = 00  
 NR Band n41  
 Frequency Configuration = f1  
 SS/PBCH SSS EPRE = -125 dBm/30kHz

NR Cell C  
 Cell Id=03 TAC = 02  
 MCC = 460 MNC = 00  
 NR Band n79  
 Frequency Configuration = f1  
 SS/PBCH SSS EPRE = -125 dBm/30kHz

Band configuration:  
 - Cell A is TD-LTE Band 39. Cell B is NR Band n41. Cell C is NR band n79

[Initial conditions]  
 SS  
     E-UTRAN Cell A is not active  
     E-UTRAN Cell B is not active  
     NR Cell C is not active

The test shall be performed under ideal radio conditions.

UE  
 UE is powered off

**6.3.9.5 Test procedure**

Table 0-1: Time of cell power level and parameter changes

| Time | Parameter             | Unit      | E-UTRAN Cell A | NR Cell B | NR Cell C  | Remark |
|------|-----------------------|-----------|----------------|-----------|------------|--------|
| T0   | SS/PBCH, SSS EPRE     | dBm/SCS   | /              | -90       | Not Active |        |
|      | Reference Signal EPRE | dBm/15kHz | -85            | /         | /          |        |
| T1   | SS/PBCH, SSS EPRE     | dBm/SCS   | /              | -100      | -90        |        |
|      | Reference Signal EPRE | dBm/15kHz | -85            | /         | /          |        |

PREAMBLE

1. Activate E-UTRAN Cell A and NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.

2. The SS configures the initial power according to T0 in Table 5.1.1.5-1.
3. The UE is powered ON.

#### MAIN BODY

4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
9. Trigger VoLTE call. UE initiates the PDN connectivity procedure for the IMS PDN and Default EPS procedure for IMS PDN is completed in Cell A.
10. IMS Registration is successful in Cell A
11. The UE performs the IMS MO call procedure defined in TS 36.508 table 4.5A.6.3-1.
12. Trigger downlink data transmission. Verify the data transmission is performed successfully on NR Cell B.
13. Active NR Cell C. The SS changes cell power according to T1 in Table 0-1.
14. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to perform SCG change with reconfigurationWithSync on NR Cell C.
15. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
16. Verify the voice call and data transmission is performed successfully on NR Cell C without interruption.

#### POSTAMBLE

17. Stop voice call and data transmission.
18. The SS initiates a Detach procedure.
19. The SS initiates a RRC release procedure.
20. Deactivate E-UTRAN Cell A, NR Cell B and NR Cell C.

### 6.3.9.6 Expected Result

Verify UE support NSA band combination B39+n41 and B39+n79.

Verify the voice call and data transmission keep continuous after SCG change

## 7 Service

### 7.1 Voice

#### 7.1.1 Redirection from NR to E-UTRAN, MO call, SA mode.

### 7.1.1.1 Test Purpose

Verify that the UE will make a VoNR MO call,.then redirection from NR to E-UTRAN.

### 7.1.1.2 Reference specification

TS 36.508, TS 38.508,TS 34.229-1

### 7.1.1.3 Applicability

This test applies to type 1 and 2 devices as described in clause 4.2.

This test applies to the device that supports VoNR&VoLTE

### 7.1.1.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell.

Cell B is a NR Cell.

Cell A supports SA and VoNR&VoLTE

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

E-UTRA Band = 41

EARFCN= f1

rootSequenceIndex TDD = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

IMS VoLTE Feature should be enabled on DUT

IMS VoNR Feature should be enabled on DUT

[Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**7.1.1.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | Parameter | E-UTRAN Cell A | NR Cell B |
|------|-----------|----------------|-----------|
| T0   | SS/PBCH   | -97            | -85       |
|      | SSS EPRE  |                |           |
| T1   | SS/PBCH   | -85            | -97       |
|      | SSS EPRE  |                |           |

**PREAMBLE**

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 7.1.1.5-1.
3. The UE is powered ON

**MAIN BODY**

4. The UE performs the registration procedure on Cell B (see 38.508, Table 4.5.2.2 till Step 18 ).
5. UE initiates the PDU Session Establishment procedure for the IMS is completed in Cell B.
6. IMS Registration is successful in Cell B
7. The UE performs the IMS MO call procedure on Cell B.
8. The SS configures the initial power according to T1 in Table 7.1.1.5-1.
9. The SS send RRCRelease message with E-UTRAN cell information.
10. UE performs the TAU procedure for mobility on E-UTRAN Cell A.
11. SS initiated PDN connection modification to setup dedicated bearer for voice.
12. IMS Voice session establishment continued on Cell A.

**POSTAMBLE**

13. The UE is powered OFF
14. Deactivate E-UTRAN Cell A and NR Cell B.

Table 7.1.1.5-2: Message Sequence

| Step | Message Sequence |       |   |                   | Verdict |
|------|------------------|-------|---|-------------------|---------|
|      | U-S              | Layer | Message   | Specific Contents |         |
| 1    | ←                | RRC   | Activate E-UTRAN Cell A and NR Cell B.                              |                   |         |
| 2    |                  |       | SS configures the initial power according to T0 in Table 7.1.1.5-1. |                   |         |
| 3    |                  | UE    | Switch On UE  | AT Command        |         |
| 4    | →                | NAS   | The UE performs the registration procedure on                       |                   | PASS    |



|    |        |            |   |            |      |
|----|--------|------------|---|------------|------|
|    | ←      | RRC        | Cell B (see 38.508, Table 4.5.2.2 till Step 18 ).                                       |            |      |
| 5  | ←      | NAS<br>RRC | UE initiates the PDU Session Establishment procedure for the IMS is completed in Cell B |            |      |
| 6  | →<br>← |            | IMS Registration is successful in Cell B  |            |      |
| 7  | →<br>← |            | The UE performs the IMS MO call procedure on Cell B.                                    |            | PASS |
| 8  | →<br>← |            | The SS configures the initial power according to T1 in Table 7.1.1.5-1.                 |            |      |
| 9  | ←      |            | The SS send RRCRelease message with E-UTRAN cell information                            |            | PASS |
| 10 | →<br>← |            | UE performs the TAU procedure for mobility on E-UTRAN Cell A                            |            | PASS |
| 11 | →<br>← |            | SS initiated PDN connection modification to setup dedicated bearer for voice.           |            |      |
| 12 | →<br>← |            | IMS Voice session establishment continued on Cell A.                                    |            | PASS |
| 13 |        | UE         | Switch OFF UE   | AT Command |      |
| 14 |        |            | Deactivate E-UTRAN Cell A and NR Cell B.  |            |      |

#### 7.1.1.6 Expected Result

- Step 4, UE could select NR Cell B and camp on the NR Cell B.
- Step 7, UE performs the IMS MO call procedure on Cell B
- Step 9, SS RRCRelease message with E-UTRAN CellA information
- Step 10, UE performs the TAU procedure for mobility on E-UTRAN Cell A
- Step 12, IMS Voice session establishment continued on Cell A.

#### 7.1.2 Redirection from NR to E-UTRAN, MT call, SA mode.

##### 7.1.2.1 Test Purpose

Verify that the UE will make a VoNR MT call, then redirection from NR to E-UTRAN..

##### 7.1.2.2 Reference specification

TS 36.508, TS 38.508, TS 34.229-1

##### 7.1.2.3 Applicability

This test applies to type 1 and 2 devices as described in clause 4.2.  
This test applies to the device that supports VoNR&VoLTE

##### 7.1.2.4 Test conditions

[SS configuration]  
Cell A is E-UTRAN Cell.

Cell B is a NR Cell.

Cell A supports SA and VoNR&VoLTE

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

E-UTRA Band = 41

EARFCN= f1

rootSequenceIndex TDD = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

IMS VoLTE Feature should be enabled on DUT

IMS VoNR Feature should be enabled on DUT

[Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**7.1.2.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | Parameter | E-UTRAN Cell A | NR Cell B |
|------|-----------|----------------|-----------|
| T0   | SS/PBCH   | -97            | -85       |
|      | SSS EPRE  |                |           |
| T1   | SS/PBCH   | -85            | -97       |
|      | SSS EPRE  |                |           |

PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information

Block and System Information Block broadcast.

2. The SS configures the initial power according to T0 in Table 7.1.2.5-1.
3. The UE is powered ON

MAIN BODY

4. The UE performs the registration procedure on Cell B (see 38.508, Table 4.5.2.2 till Step 18 ).
5. UE initiaes the PDU Session Establishment procedure for the IMS is completed in Cell B.
6. IMS Registration is successful in Cell B
7. The UE performs the IMS MT call procedure on Cell B.
8. The SS configures the initial power according to T1 in Table 7.1.2.5-1.
9. The SS send RRCRelease message with E-UTRAN cell information.
10. UE performs the TAU procedure for mobility on E-UTRAN Cell A.
11. SS initiated PDN connection modification to setup dedicated bearer for voice.
12. IMS Voice session establishment continued on Cell A.

POSTAMBLE

13. The UE is powered OFF
14. Deactivate E-UTRAN Cell A and NR Cell B.

Table 7.1.2.5-2: Message Sequence

| Step | Message Sequence |            |   | Verdict    |
|------|------------------|------------|---|------------|
|      | U-S              | Layer      | Message   |            |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.  |            |
| 2    |                  |            | SS configures the initial power according to T0 in Table 7.1.2.5-1.                             |            |
| 3    |                  | UE         | Switch On UE  | AT Command |
| 4    | →<br>←           | NAS<br>RRC | The UE performs the registration procedure on Cell B (see 38.508, Table 4.5.2.2 till Step 18 ). | PASS       |
| 5    | ←                | NAS<br>RRC | UE initiaes the PDU Session Establishment procedure for the IMS is completed in Cell B          |            |
| 6    | →<br>←           |            | IMS Registration is successful in Cell B  |            |
| 7    | →<br>←           |            | The UE performs the IMS MT call procedure on Cell B.  | PASS       |
| 8    | →<br>←           |            | The SS configures the initial power according to T1 in Table 7.1.2.5-1.                         |            |
| 9    | ←                |            | The SS send RRCRelease message with E-UTRAN cell information                                    | PASS       |
| 10   | →<br>←           |            | UE performs the TAU procedure for mobility on E-UTRAN Cell A                                    | PASS       |
| 11   | →<br>←           |            | SS initiated PDN connection modification to setup dedicated bearer for voice.                   |            |
| 12   | →                |            | IMS Voice session establishment continued on  | PASS       |

|    |   |    |  |            |  |
|----|---|----|--|------------|--|
|    | ← |    | Cell A.                                  |            |  |
| 13 |   | UE | Switch OFF UE                            | AT Command |  |
| 14 |   |    | Deactivate E-UTRAN Cell A and NR Cell B. |            |  |

### 7.1.2.6 Expected Result

- Step 4, UE could select NR Cell B and camp on the NR Cell B.
- Step 7, UE performs the IMS MT call procedure on Cell B
- Step 9, SS RRCRelease message with E-UTRAN CellA information
- Step 10, UE performs the TAU procedure for mobility on E-UTRAN Cell A
- Step 12, IMS Voice session establishment continued on Cell A.

### 7.1.3 VoLTE MO Call with E-UTRAN Cell, UE works in NSA mode.

#### 7.1.3.1 Test Purpose

Verify that the UE will make a VoLTE MO call with E-UTRAN cell..

#### 7.1.3.2 Reference specification

TS 36.508, TS 34.229-1

#### 7.1.3.3 Applicability

This test applies to type 1 and 3 devices as described in clause 4.2.

This test applies to the device that supports VoLTE

#### 7.1.3.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell.

Cell B is a NR Cell.

Cell A supports NSA and VoLTE

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

E-UTRA Band = 3

EARFCN= f1

rootSequenceIndex TDD = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1  
 SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in NSA mode.  
 IMS VoLTE Feature should be enabled on DUT

[Initial conditions]

SS  
 E-UTRAN Cell A is not active  
 NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE  
 UE is powered off

### 7.1.3.5 Test procedure

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | Parameter           | E-UTRAN Cell A | NR Cell B |
|------|---------------------|----------------|-----------|
| T0   | SS/PBCH<br>SSS EPRE | -85            | -90       |

PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 7.1.3.5-1.
3. The UE is powered ON

MAIN BODY

4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2).
5. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell.
6. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
7. UE initiates the PDN connectivity procedure for the IMS PDN and Default EPS procedure for IMS PDN is completed in Cell A.
8. IMS Registration is successful in Cell A
9. The UE performs the IMS MO call procedure defined in TS 36.508 table 4.5A.6.3-1.

POSTAMBLE

10. The UE is powered OFF

## 11. Deactivate E-UTRAN Cell A and NR Cell B.

Table 7.1.3.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 7.1.3.5-1.  |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ). |                   | PASS    |
| 5    | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell.   |                   |         |
| 6    | →                | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.               |                   | PASS    |
| 7    | →<br>←           |            | UE initiates the PDN Connectivity Procedure for IMS PDN  |                   |         |
| 8    | →<br>←           |            | IMS Registration Procedure is successful in Cell A   |                   |         |
| 9    | →<br>←           |            | The UE performs the IMS MO call procedure defined in TS 36.508 table 4.5A.6.3-1.   |                   | PASS    |
| 10   |                  | UE         | Switch OFF UE  | AT Command        |         |
| 11   |                  |            | Deactivate E-UTRAN Cell A and NR Cell B.   |                   |         |

**7.1.3.6 Expected Result**

Step 4, UE could select E-UTRAN Cell A and camp on the E-UTRAN Cell A.

Step 6, UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.

Step 9, The UE performs the IMS MO call procedure defined in TS 36.508 table 4.5A.6.3-1.

**7.1.4 VoLTE MT Call with E-UTRAN Cell, UE works in NSA mode****7.1.4.1 Test Purpose**

Verify that the UE will make a VoLTE MT call with E-UTRAN cell.

**7.1.4.2 Reference specification**

TS 36.508, TS 34.229-1

#### 7.1.4.3 Applicability

This test applies to type 1 and 3 devices as described in clause 4.2.

This test applies to the device that supports VoLTE

#### 7.1.4.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA and VoLTE

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

E-UTRA Band = 3

EARFCN= f1

rootSequenceIndex TDD = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

IMS VoLTE Feature should be enabled on DUT

[Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

#### 7.1.4.5 Test procedure

Table 7.1.4.5-1: Time of cell power level and parameter changes

| Time | Parameter           | E-UTRAN Cell A | NR Cell B |
|------|---------------------|----------------|-----------|
| T0   | SS/PBCH<br>SSS EPRE | -85            | -90       |

PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 7.1.4.5-1.
3. The UE is powered ON

MAIN BODY

4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2).
5. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell.
6. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
7. UE initiates the PDN connectivity procedure for the IMS PDN and Default EPS procedure for IMS PDN is completed in Cell A.
8. IMS Registration is successful in Cell A
9. The UE performs the IMS MT call procedure defined in TS 36.508 table 4.5A.7.3-1.

POSTAMBLE

10. The UE is powered OFF
11. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.  |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 7.1.4.5-1.   |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2). |                   | PASS    |
| 5    | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell.  |                   |         |
| 6    | →                | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.              |                   | PASS    |



|    |        |    |  |            |      |
|----|--------|----|--|------------|------|
| 7  | →<br>← |    | UE initiates the PDN Connectivity Procedure for IMS PDN                          |            |      |
| 8  | →<br>← |    | IMS Registration Procedure is successful in Cell A                               |            |      |
| 9  | →<br>← |    | The UE performs the IMS MT call procedure defined in TS 36.508 table 4.5A.7.3-1. |            | PASS |
| 10 |        | UE | Switch OFF UE  | AT Command |      |
| 11 |        |    | Deactivate E-UTRAN Cell A and NR Cell B.   |            |      |

#### 7.1.4.6 Expected Result

Step 4, UE could select E-UTRAN Cell A and camp on the E-UTRAN Cell A.

Step 6, UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.

Step 9, The UE performs the IMS MT call procedure defined in TS 36.508 table 4.5A.7.3-1.

## 7.2 Date Transmission

## 8 Roaming

## 9 Power Consumption

### 9.1 Idle Mode

#### 9.1.1 Idle Mode, Power Consumption, Cell Centre, SA

##### 9.1.1.1 Test Purpose

When UE enters RRC\_Idle State after Registering in NR cell, Measure the power consumption.

##### 9.1.1.2 Reference specification

TS 38.304, TS 38.331, TS 38.300

##### 9.1.1.3 Applicability

This test applies to Type 1 and Type 2 devices as described in clause 4.2.

##### 9.1.1.4 Test conditions

[SS configuration]

Cell A is a NR Cell.

Cell A supports only SA

NR Cell A  
 Cell Id=01 TAC = 01  
 MCC = 460 MNC = 00  
 NR-ARFCN= f1  
 SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]  
 The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in SA mode.

[Initial conditions]  
 SS  
 NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE  
 UE is powered off

**9.1.1.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | Remark |
|------|-----------|--------|
| T0   | -90       |        |

PREAMBLE

1. Activate NR Cell A.The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.1.1.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2 till Step 18 ).
5. Verify that Data tranfer(Ping) perofrmed on NR cell A
6. The RRC connection is released by the SS. The UE enters Registered, Idle Mode

MAIN BODY

7. Wait for 3 minutes and then start power consumption tester records the changes of current for another 3 minutes

POSTAMBLE

8. The SS initiates a Deregitation procedure.
9. The SS initiates a RRC release procedure.
10. Deactivate NR Cell A.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE  |                   |         |
| 1    | ←                | RRC        | Activate NR Cell A.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.1.1.5-1.   |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2 till Step 18 ).                               |                   | PASS    |
| 5    |                  |            | Verify the data transmission is performed successfully on NR Cell A.  |                   | PASS    |
| 6    | ←                | RRC        | The RRC connection is released by the SS.   |                   |         |
| 7    |                  |            | Wait for 3 minutes and then start power consumption tester records the changes of current for another 3 minutes |                   |         |
| 8    | →<br>←           | NAS        | SS initiates a Deregistration procedure.  |                   | PASS    |
| 9    | ←                | RRC        | SS initiates a RRC release procedure.   |                   |         |
| 10   |                  |            | Deactivate NR Cell A.   |                   |         |

#### 9.1.1.6 Expected Result

The Current Should be less than [TBD]mA

#### 9.1.2 Idle Mode, Power Consumption, Cell Edge, SA

##### 9.1.2.1 Test Purpose

When UE enters RRC\_Idle State after Registering in NR cell, Measure the power consumption.

##### 9.1.2.2 Reference specification

TS 38.304, TS 38.331, TS 38.300

##### 9.1.2.3 Applicability

This test applies to Type 1 and Type 2 devices as described in clause 4.2.

##### 9.1.2.4 Test conditions

[SS configuration]

Cell A is a NR Cell.

Cell A supports only SA

NR Cell A

Cell Id=01 TAC = 01  
 MCC = 460 MNC = 00  
 NR-ARFCN= f1  
 SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]  
 The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in SA mode.

[Initial conditions]  
 SS  
 NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE  
 UE is powered off

**9.1.2.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | Remark |
|------|-----------|--------|
| T0   | -110      |        |

**PREAMBLE**

1. Activate NR Cell A.The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.1.2.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2 till Step 18 ).
5. Verify that Data tranfer(Ping) perofrmed on NR cell A
6. The RRC connection is released by the SS. The UE enters Registered, Idle Mode

**MAIN BODY**

7. Wait for 3 minutes and then start power consumption tester records the changes of current for another 3 minutes

**POSTAMBLE**

8. The SS initiates a Deregitation procedure.
9. The SS initiates a RRC release procedure.
10. Deactivate NR Cell A.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE  |                   |         |
| 1    | ←                | RRC        | Activate NR Cell A.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.1.2.5-1.   |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2 till Step 18 ).                               |                   | PASS    |
| 5    |                  |            | Verify the data transmission is performed successfully on NR Cell A.  |                   | PASS    |
| 6    | ←                | RRC        | The RRC connection is released by the SS.   |                   |         |
| 7    |                  |            | Wait for 3 minutes and then start power consumption tester records the changes of current for another 3 minutes |                   |         |
| 8    | →<br>←           | NAS        | SS initiates a Deregistration procedure.  |                   | PASS    |
| 9    | ←                | RRC        | SS initiates a RRC release procedure.   |                   |         |
| 10   |                  |            | Deactivate NR Cell A.   |                   |         |

#### 9.1.2.6 Expected Result

The Current Should be less than [TBD]mA

### 9.1.3 Idle Mode, Power Consumption, Cell Centre, NSA

#### 9.1.3.1 Test Purpose

When UE enters RRC\_Idle State after connected to NSA Mode, Measure the power consumption.

#### 9.1.3.2 Reference specification

TS 36.304, clause 5.2.4

#### 9.1.3.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

#### 9.1.3.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01  
 MCC-MNC = 460-00  
 EARFCN= f1  
 rootSequenceIndex = 0  
 Reference Signal EPRE= -85 dBm/15kHz  
 upperLayerIndication-r15=true

NR Cell B  
 Cell Id=02 TAC = 01  
 MCC = 460 MNC = 00  
 NR-ARFCN= f1  
 SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]  
 The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in NSA mode.

[Initial conditions]  
 SS  
     E-UTRAN Cell A is not active  
     NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE  
     UE is powered off

**9.1.3.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell C | Remark |
|------|----------------|-----------|--------|
| T0   | -85            | -90       |        |

PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B.The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.1.3.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB.

8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
9. Verify that Data transfer(Ping) performed on NR cell B
10. The RRC connection is released by the SS. The UE enters Registered, Idle Mode

**MAIN BODY**

11. Wait for 3 minutes and then start power consumption tester records the changes of current for another 3 minutes

**POSTAMBLE**

12. The SS initiates a Detach procedure.
13. The SS initiates a RRC release procedure.
14. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE  |                   |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.  |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.1.3.5-1.   |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).              |                   | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type "eutra-nr".   |                   |         |
| 6    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type "eutra-nr".  |                   | PASS    |
| 7    | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB. |                   |         |
| 8    | →                | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                            |                   | PASS    |
| 9    |                  |            | Verify the data transmission is performed successfully on NR Cell B.  |                   | PASS    |
| 10   | ←                | RRC        | The RRC connection is released by the SS.   |                   |         |
| 11   |                  |            | Wait for 3 minutes and then start power consumption tester records the changes of   |                   |         |

|    |        |     |  |  |      |
|----|--------|-----|--|--|------|
|    |        |     | current for another 3 minutes            |  |      |
| 12 | →<br>← | NAS | SS initiates a Detach procedure.         |  | PASS |
| 13 | ←      | RRC | SS initiates a RRC release procedure.    |  |      |
| 14 |        |     | Deactivate E-UTRAN Cell A and NR Cell B. |  |      |

#### 9.1.3.6 Expected Result

The Current Should be less than [TBD]mA

### 9.1.4 Idle Mode, Power Consumption, Cell Edge, NSA

#### 9.1.4.1 Test Purpose

When UE enters RRC\_Idle State after connected to NSA Mode, Measure the power consumption.

#### 9.1.4.2 Reference specification

TS 36.304, clause 5.2.4

#### 9.1.4.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

#### 9.1.4.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]



The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active  
 NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

#### 9.1.4.5 Test procedure

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell C | Remark |
|------|----------------|-----------|--------|
| T0   | -100           | -110      |        |

##### PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.1.4.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2).
5. The SS sends an ue-CapabilityRequest including the RAT type "eutra-nr".
6. Verify the UE sends UE Capability Information message including the RAT type "eutra-nr".
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
9. Verify that Data transfer (Ping) performed on NR cell B
10. The RRC connection is released by the SS. The UE enters Registered, Idle Mode

##### MAIN BODY

11. Wait for 3 minutes and then start power consumption tester records the changes of current for another 3 minutes

##### POSTAMBLE

12. The SS initiates a Detach procedure.
13. The SS initiates a RRC release procedure.
14. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE  |                   |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.  |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.1.4.5-1.   |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2).               |                   | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type "eutra-nr".   |                   |         |
| 6    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type "eutra-nr".  |                   | PASS    |
| 7    | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB. |                   |         |
| 8    | →                | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                            |                   | PASS    |
| 9    |                  |            | Verify the data transmission is performed successfully on NR Cell B.  |                   | PASS    |
| 10   | ←                | RRC        | The RRC connection is released by the SS.   |                   |         |
| 11   |                  |            | Wait for 3 minutes and then start power consumption tester records the changes of current for another 3 minutes                       |                   |         |
| 12   | →<br>←           | NAS        | SS initiates a Detach procedure.  |                   | PASS    |
| 13   | ←                | RRC        | SS initiates a RRC release procedure.   |                   |         |
| 14   |                  |            | Deactivate E-UTRAN Cell A and NR Cell B.  |                   |         |

#### 9.1.4.6 9.1.4.6 Expected Result

The Current Should be less than [TBD]mA

### 9.1.5 Idle mode with intra Frequency Measurement, Power Consumption

#### 9.1.5.1 Test Purpose

When UE enters idle mode and cell reselection conditions met for Intra Frequency cell check that UE reslect into neighbour cell, Measure the power consumption.

#### 9.1.5.2 Reference specification

TS 38.304, TS 38.331, TS 38.300

#### 9.1.5.3 Applicability

This test applies to the device that supports SA or SA+NSA.

#### 9.1.5.4 Test conditions

[SS configuration]

Cell A and B are intra frequency NR Cell.

Cell A and B supports only SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR Band = n41

NR-ARFCN= f1

Bandwidth = 100 MHz

SS/PBCH SSS EPRE = -125 dBm/30kHz

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR Band = n41

NR-ARFCN= f1

Bandwidth = 100 MHz

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A and NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

9.1.5.5 Test procedure

Table 9.1.5.55.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | NR Cell B | Remark             |
|------|-----------|-----------|--------------------|
| T0   | -90       | -95       |                    |
| T1   | -106      | -90       | For Blind Handover |

PREAMBLE

1. Activate NR Cell A and Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.1.5.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A and release the RRC connection (see 38.508, Table 4.5.2.2 till Step 20 ).

MAIN BODY

5. Start power consumption tester to record the changes of current and voltage of idle mode
6. The SS changes the power level according to T1 in Table 9.1.5.5-2
7. Initiate the paging procedure to check whether UE reselected into cell B or not
8. Get the value of power consumption tester between step 8 and 9

POSTAMBLE

9. The SS initiates a Deregistration procedure.
10. The SS initiates a RRC release procedure.
11. Deactivate NR Cell A and Cell B

Table 9.1.5.55.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   | Verdict    |
|------|------------------|------------|---|------------|
|      | U-S              | Layer      | Message   |            |
|      |                  |            | Connect the power consumption tester to the UE  |            |
| 1    | ←                | RRC        | Activate NR Cell A.   |            |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.1.5.5-1.                               |            |
| 3    |                  | UE         | Switch On UE  | AT Command |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2 till Step 20 ).                 | PASS       |
| 5    |                  |            | Start power consumption tester to record the changes of current and voltage of Handover procedure |            |

|    |        |     |  |  |      |
|----|--------|-----|--|--|------|
| 6  |        |     | The SS changes the power level according to T1 in Table 9.1.5.5-2                    |  |      |
| 7  | →<br>← | RRC | Initiate the paging procedure to check whether UE reselected into cell B or not      |  | PASS |
| 8  |        |     | Get the power consumption tester values at the time of Handover between step 8 and 9 |  |      |
| 9  | →<br>← | NAS | SS initiates a Deregistration procedure.   |  | PASS |
| 10 | ←      | RRC | SS initiates a RRC release procedure.  |  |      |
| 11 |        |     | Deactivate NR Cell A and B.  |  |      |

### 9.1.5.6 Expected Result

The Current Should be less than [TBD]mA

## 9.1.6 Idle mode with inter Frequency Measurement, Power Consumption

### 9.1.6.1 Test Purpose

When UE enters idle mode and cell reselection conditions met for Inter Frequency cell check that UE reslect into neighbour cell, Measure the power consumption.

### 9.1.6.2 Reference specification

TS 38.304, TS 38.331, TS 38.300

### 9.1.6.3 Applicability

This test applies to the device that supports SA or SA+NSA.

### 9.1.6.4 Test conditions

[SS configuration]

Cell A and B are inter frequency NR Cells.

Cell A and B supports only SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR Band = n41

NR-ARFCN= f1

Bandwidth = 100 MHz

SS/PBCH SSS EPRE = -125 dBm/30kHz

NR Cell B  
 Cell Id=02 TAC = 01  
 MCC = 460 MNC = 00  
 NR Band = n79  
 NR-ARFCN= f1  
 Bandwidth = 100 MHz  
 SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]  
 The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in SA mode.

[Initial conditions]  
 SS  
 NR Cell A and NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE  
 UE is powered off

**9.1.6.5 Test procedure**

Table 9.1.6.55.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | NR Cell B | Remark             |
|------|-----------|-----------|--------------------|
| T0   | -90       | -95       |                    |
| T1   | -106      | -90       | For Blind Handover |

PREAMBLE

1. Activate NR Cell A and Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.1.6.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A and release the RRC connection (see 38.508, Table 4.5.2.2 till Step 20 ).

MAIN BODY

5. Start power consumption tester to record the changes of current and voltage of idle mode
6. The SS changes the power level according to T1 in Table 9.1.6.5-2
7. Initiate the paging procedure to check whether UE reselected into cell B or not
8. Get the value of power consumption tester between step 8 and 9

POSTAMBLE

- 9. The SS initiates a Deregistration procedure.
- 10. The SS initiates a RRC release procedure.
- 11. Deactivate NR Cell A and Cell B

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE  |                   |         |
| 1    | ←                | RRC        | Activate NR Cell A.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.1.6.5-1.                               |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2 till Step 20 ).                 |                   | PASS    |
| 5    |                  |            | Start power consumption tester to record the changes of current and voltage of Handover procedure |                   |         |
| 6    |                  |            | The SS changes the power level according to T1 in Table 9.1.6.5-2                                 |                   |         |
| 7    | →<br>←           | RRC        | Initiate the paging procedure to check whether UE reselected into cell B or not                   |                   | PASS    |
| 8    |                  |            | Get the power consumption tester values at the time of Handover between step 8 and 9              |                   |         |
| 9    | →<br>←           | NAS        | SS initiates a Deregistration procedure.  |                   | PASS    |
| 10   | ←                | RRC        | SS initiates a RRC release procedure.   |                   |         |
| 11   |                  |            | Deactivate NR Cell A and B.   |                   |         |

**9.1.6.6 Expected Result**

The Current Should be less than [TBD]mA

**9.2 RRC Connection mode**

**9.2.1 Connected Mode, Power Consumption**

**9.2.1.1 Connected Mode, Power Consumption, SA**

**9.2.1.1.1 Test Purpose**

When UE is in RRC Connected State after Registering in NR cell, Measure the power consumption.

**9.2.1.1.2 Reference specification**

TS 38.304, TS 38.331, TS 38.300

**9.2.1.1.3 Applicability**

This test applies to the device that supports SA or SA+NSA.

**9.2.1.1.4 Test conditions**

[SS configuration]

Cell A is a NR Cell.

Cell A supports only SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**9.2.1.1.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | Remark |
|------|-----------|--------|
| T0   | -90       |        |

**PREAMBLE**

1. Activate NR Cell A. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.2.1.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2 till Step 18 ).



5. Verify that Data transfer(Ping) performed on NR cell A

MAIN BODY

6. Wait for 3 minutes and then start power consumption tester records the changes of current and voltage for another 3 minutes

POSTAMBLE

7. The SS initiates a Deregistration procedure.
8. The SS initiates a RRC release procedure.
9. Deactivate NR Cell A.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   | Verdict    |
|------|------------------|------------|---|------------|
|      | U-S              | Layer      | Message   |            |
|      |                  |            | Connect the power consumption tester to the UE  |            |
| 1    | ←                | RRC        | Activate NR Cell A.   |            |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.2.1.5-1.   |            |
| 3    |                  | UE         | Switch On UE  | AT Command |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2 till Step 18 ).   | PASS       |
| 5    |                  |            | Verify the data transmission is performed successfully on NR Cell A.  | PASS       |
| 6    |                  |            | Wait for 3 minutes and then start power consumption tester records the changes of current and voltage for another 3 minutes |            |
| 7    | →<br>←           | NAS        | SS initiates a Deregistration procedure.  | PASS       |
| 8    | ←                | RRC        | SS initiates a RRC release procedure.   |            |
| 9    |                  |            | Deactivate NR Cell A.   |            |

**9.2.1.1.6 Expected Result**

The Current Should be less than [TBD]mA

**9.2.1.2 Connected Mode with DRX, Power Consumption, SA**

**9.2.1.2.1 Test Purpose**

When UE is in RRC Connected State after Registering in NR cell, measure the power consumption with DRX on.

**9.2.1.2.2 Reference specification**

TS 38.304, TS 38.331, TS 38.300

**9.2.1.2.3 Applicability**

This test applies to Type 1 and Type 2 devices as described in clause 4.2

**9.2.1.2.4 Test conditions**

[SS configuration]

Cell A is a NR Cell.

Cell A supports only SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**9.2.1.2.5 Test procedure**

Table 9.2.1.2.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | Remark |
|------|-----------|--------|
| T0   | -90       |        |

PREAMBLE

1. Activate NR Cell A.The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.2.1.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2 till Step 18 ).

MAIN BODY

5. Keep the NR RRC connection without DRX configured. Wait for 1 minutes and then start power consumption tester records the changes of current for another 3 minutes.
6. Stop power consumption measurement.
7. SS transmits RRCConnectionReconfiguration to configure specific DRX parameters. Refer to Table 9.2.1.2.5-3 for DRX parameters
8. UE transmits RRCConnectionReconfigurationComplete
9. Wait for 1 minutes and then start power consumption tester records the changes of current for another 3 minutes
10. Stop power consumption measurement.

POSTAMBLE

11. The SS initiates a Deregistration procedure.
12. The SS initiates a RRC release procedure.
13. Deactivate NR Cell A.

Table 9.2.1.2.5-2: Message Sequence

| Step | Message Sequence |            |   |                                      | Verdict |
|------|------------------|------------|---|--------------------------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents                    |         |
| 1    | ←                | RRC        | Activate NR Cell A.   |                                      |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.2.1.5-1.   |                                      |         |
| 3    |                  | UE         | Switch On UE  | AT Command                           |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2 till Step 18 ).                               |                                      | PASS    |
| 5    |                  |            | Wait for 1 minutes and then start power consumption tester records the changes of current for another 3 minutes | Without DRX                          | PASS    |
| 6    |                  |            | Stop power consumption measurement.   |                                      |         |
| 7    | ←                | NAS<br>RRC | SS transmits RRCConnectionReconfiguration to configure specific DRX parameters.                                 | Table 9.2.1.2.5-3 for DRX parameters |         |
| 8    | →                | NAS<br>RRC | UE transmits RRCConnectionReconfigurationComplete   |                                      | PASS    |
| 9    |                  |            | Wait for 1 minutes and then start power consumption tester records the changes of current for another 3 minutes |                                      | PASS    |
| 10   |                  |            | Stop power consumption measurement.   |                                      |         |
| 11   | →<br>←           | NAS        | SS initiates a Deregistration procedure.  |                                      |         |
| 12   | ←                | RRC        | SS initiates a RRC release procedure.   |                                      |         |
| 13   |                  |            | Deactivate NR Cell A.   |                                      |         |

Table 9.2.1.2.5-3: NR DRX Parameters in step 7

Derivation Path: TS 38.331 [6], clause 6.3.2

| Information Element               | Value/remark | Comment |
|-----------------------------------|--------------|---------|
| DRX-Config ::= SEQUENCE {         |              |         |
| drx-onDurationTimer CHOICE {      |              |         |
| milliSeconds                      | ms10         |         |
| }                                 |              |         |
| drx-InactivityTimer               | [ms60]       |         |
| drx-HARQ-RTT-TimerDL              | [14]         |         |
| drx-HARQ-RTT-TimerUL              | [14]         |         |
| drx-RetransmissionTimerDL         | [sl 8]       |         |
| drx-RetransmissionTimerUL         | [sl 8]       |         |
| drx-LongCycleStartOffset CHOICE { |              |         |
| ms160                             | 0            |         |
| }                                 |              |         |
| shortDRX                          | not present  |         |
| drx-SlotOffset                    | 0            |         |
| }                                 |              |         |

#### 9.2.1.2.6 Expected Result

Record the average current in step 5 (current without DRX) and step 9 (current with DRX). The Current with DRX should be less than the current without DRX.

Table 9.2.1.2.6-1: Test Results

| Test Step           | Average Current(mA) |
|---------------------|---------------------|
| Step 5: without DRX |                     |
| Step 9: with DRX    |                     |

### 9.2.1.3 Connected Mode with DRX on SCG, Power Consumption, NSA

#### 9.2.1.3.1 Test Purpose

When UE is in RRC Connected State after Registering in E-UTRAN and NR cell, measure the power consumption with DRX configured on SCG cell.

#### 9.2.1.3.2 Reference specification

TS 38.304, TS 38.331, TS 38.300

#### 9.2.1.3.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2

#### 9.2.1.3.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

LTE Cell A and NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**9.2.1.3.5 Test procedure**

Table 9.2.1.3.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell B | Remark |
|------|----------------|-----------|--------|
| T0   | -85            | -90       |        |

PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.2.1.3.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB.

8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message

MAIN BODY

9. Keep the LTE RRC connection and NR RRC connection without DRX configured. Wait for 1 minutes and then start power consumption tester records the changes of current for another 3 minutes.
10. Stop power consumption measurement.
11. SS transmits RRCConnectionReconfiguration to configure specific DRX parameters on SCG NR Cell B. The DRX parameters are the same as in Table 9.2.1.2.5-3
12. UE transmits RRCConnectionReconfigurationComplete
13. Wait for 1 minutes and then start power consumption tester records the changes of current for another 3 minutes
14. Stop power consumption measurement.

POSTAMBLE

15. The SS initiates a Deregistration procedure.
16. The SS initiates a RRC release procedure.
17. Deactivate E-UTRAN Cell A and NR Cell B.

Table 9.2.1.3.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.  |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.2.1.3.5-1.   |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).            |                   | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.   |                   |         |
| 6    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.  |                   | PASS    |
| 7    | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB. |                   |         |
| 8    | →                | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                          |                   | PASS    |
| 9    |                  |            | Wait for 1 minutes and then start power   | Without DRX       | PASS    |

|    |        |            |   |                                      |      |
|----|--------|------------|---|--------------------------------------|------|
|    |        |            | consumption tester records the changes of current for another 3 minutes   |                                      |      |
| 10 |        |            | Stop power consumption measurement.   |                                      |      |
| 11 | ←      | NAS<br>RRC | SS transmits RRCConnectionReconfiguration to configure specific DRX parameters.                                 | Table 9.2.1.2.5-3 for DRX parameters |      |
| 12 | →      | NAS<br>RRC | UE transmits RRCConnectionReconfigurationComplete   |                                      | PASS |
| 13 |        |            | Wait for 1 minutes and then start power consumption tester records the changes of current for another 3 minutes |                                      | PASS |
| 14 |        |            | Stop power consumption measurement.   |                                      |      |
| 15 | →<br>← | NAS        | SS initiates a Deregistration procedure.  |                                      |      |
| 16 | ←      | RRC        | SS initiates a RRC release procedure.   |                                      |      |
| 17 |        |            | Deactivate E-UTRAN Cell A and NR Cell B.  |                                      |      |

**9.2.1.3.6 Expected Result**

Record the average current in step 9 (current without DRX) and step 13 (current with DRX on SCG). The Current with DRX on SCG should be less than the current without DRX.

Table 9.2.1.3.6-1: Test Results

| Test Step                | Average Current(mA) |
|--------------------------|---------------------|
| Step 9: without DRX      |                     |
| Step 13: with DRX on SCG |                     |

**9.2.1.4 Connected Mode with DRX on MCG and SCG, Power Consumption, NSA**

**9.2.1.4.1 Test Purpose**

When UE is in RRC Connected State after Registering in E-UTRAN and NR cell, measure the power consumption with DRX configured on both MCG and SCG cell.

**9.2.1.4.2 Reference specification**

TS 38.304, TS 38.331, TS 38.300

**9.2.1.4.3 Applicability**

This test applies to Type 1 and Type 3 devices as described in clause 4.2

**9.2.1.4.4 Test conditions**

The same as in 9.2.1.3.4

**9.2.1.4.5 Test procedure**

The same as in 9.2.1.3.5 except step 11 . Step 11 is changed as following

“ 11. SS transmits RRCConnectionReconfiguration to configure specific DRX parameters on MCG E-UTRAN Cell A and SCG NR Cell B. Refer to Table 9.2.1.4.59.2.1.2.5-1 for E-UTRAN DRX parameters for MCG and Table 9.2.1.4.59.2.1.2.5-2 for NR DRX parameters for SCG”

Table 9.2.1.4.5-1: E-UTRAN DRX Parameters for MCG

| Derivation Path: TS 36.331, clause 6.3.2 |              |         |
|--|--------------|---------|
| Information Element                      | Value/remark | Comment |
| drx-Config CHOICE {                      |              |         |
| setup SEQUENCE {                         |              |         |
| onDurationTimer                          | psf8         |         |
| }  |              |         |
| drx-InactivityTimer                      | psf60        |         |
| drx-RetransmissionTimer                  | psf4         |         |
| longDRX-CycleStartOffset CHOICE {        |              |         |
| sf160                                    | 0            |         |
| }  |              |         |
| shortDRX                                 | Not present  |         |
| }  |              |         |
| }  |              |         |

Table 9.2.1.4.5-2: NR DRX Parameters for SCG

| Derivation Path: TS 38.331 [6], clause 6.3.2 |              |         |
|--|--------------|---------|
| Information Element                          | Value/remark | Comment |
| DRX-Config ::= SEQUENCE {                    |              |         |
| drx-onDurationTimer CHOICE {                 |              |         |
| milliSeconds                                 | ms10         |         |
| }  |              |         |
| drx-InactivityTimer                          | [ms60]       |         |
| drx-HARQ-RTT-TimerDL                         | [14]         |         |
| drx-HARQ-RTT-TimerUL                         | [14]         |         |
| drx-RetransmissionTimerDL                    | [sl 8]       |         |
| drx-RetransmissionTimerUL                    | [sl 8]       |         |
| drx-LongCycleStartOffset CHOICE {            |              |         |
| ms160  | 0            |         |
| }  |              |         |
| shortDRX                                     | not present  |         |
| drx-SlotOffset                               | 0            |         |
| }  |              |         |

#### 9.2.1.4.6 Expected Result

Record the average current in step 9 (current without DRX) and step 13 (current with DRX on



MCG and SCG). The Current with DRX on SCG should be less than the current without DRX.

Table 9.2.1.3.6-1: Test Results

| Test Step                        | Average Current(mA) |
|----------------------------------|---------------------|
| Step 9: without DRX              |                     |
| Step 13: with DRX on MCG and SCG |                     |

## 9.2.2 Inter Frequency Handover, Power Consumption, LTE to LTE

### 9.2.2.1 Test Purpose

The UE Executes the handovers between Inter-Frequency LTE cells for many times with contention based random access procedure and verify the power consumption.

### 9.2.2.2 Reference specification

TS 36.300, TS 36.331, TS 38.300

### 9.2.2.3 Applicability

This test applies to the device that supports NSA or SA+NSA.

### 9.2.2.4 Test conditions

[SS configuration]

Cell A and CellB are E-UTRAN Cells, CellC is a NR Cell.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

E-UTRAN Cell B

Cell Id=02 TAC = 02

MCC-MNC = 460-00

EARFCN= f2

rootSequenceIndex = 22

Reference Signal EPRE= -125 dBm/15kHz

upperLayerIndication-r15=true

NR Cell C

Cell Id=03 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A and Cell B are not active

NR Cell C is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

### 9.2.2.5 Test procedure

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN<br>Cell A | E-UTRAN<br>Cell B | NR<br>Cell C | Remark                    |
|------|-------------------|-------------------|--------------|---------------------------|
| T0   | -85               | -infinity         | -90          | Cell B shall be off       |
| T1   | -91               | -85               | -90          | Cell B better than Cell A |
| T2   | -85               | -91               | -90          | Cell A better than Cell B |

#### PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell C. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.2.4.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell C as NR PS-Cell with Split DRB.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.

9. Verify that Data transfer(Ping) performed on NR cell C

MAIN BODY

10. The SS initiate the RRCConnectionReconfiguration procedure to configure the A4 measurement event to measure cell B
11. The SS changes the power levels according to T1 in Table 9.2.4.5-1.
12. The UE performs measurements on Cell B and provides measurement reports (Event A4) to SS
13. The SS initiates the handover procedure to Cell B with adding and releasing same PS-Cell(NR Cell C) and RRCConnectionReconfiguration doesn't contain a dedicated PRACH preamble to make Contention based RACH procedure in cell B
14. The UE completes the Handover in Cell B by sending RRCConnectionReconfiguration Complete to the SS
15. Measure the minimum, maximum, average power consumption during the random access procedure between step 13 and 14.
16. Verify that Data transfer(Ping) performed on NR Cell C
17. The SS initiate the RRCConnectionReconfiguration procedure to configure the A4 measurement event to measure cell A
18. The SS changes the power levels according to T2 in Table 9.2.4.5-1
19. The UE performs measurements on Cell A and provides measurement reports (Event A4) to SS
20. The SS initiates the handover procedure to Cell A with adding and releasing same PS-Cell(NR Cell C) and RRCConnectionReconfiguration doesn't contain a dedicated PRACH preamble to make Contention based RACH procedure in cell A
21. The UE completes the Handover in Cell A by sending RRCConnectionReconfiguration Complete to the SS
22. Measure the minimum, maximum, average power consumption during the random access procedure between step 20 and 21.
23. Verify that Data transfer(Ping) performed on NR Cell C
24. Get the value of power consumption tester and calculate the power consumption
25. The handover procedure between 10 to 24 are repeated for four times
26. Calculate the overall minimum, maximum and average power consumption values

POSTAMBLE

27. The SS initiates a Detach procedure.
28. The SS initiates a RRC release procedure.
29. Deactivate E-UTRAN Cell A, Cell B and NR Cell C.

Table 9.2.2.55.1.1.5-2: Message Sequence

| Step | Message Sequence |       |  |                   | Verdict |
|------|------------------|-------|--|-------------------|---------|
|      | U-S              | Layer | Message  | Specific Contents |         |
|      |                  |       | Connect the power consumption tester to the UE |                   |         |

|    |        |            |   |            |      |
|----|--------|------------|---|------------|------|
| 1  | ←      | RRC        | Activate E-UTRAN Cell A, Cell B and NR Cell C.  |            |      |
| 2  |        |            | SS configures the initial power according to T0 in Table 9.2.4.5-1.   |            |      |
| 3  |        | UE         | Switch On UE  | AT Command |      |
| 4  | →<br>← | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).              |            | PASS |
| 5  | ←      | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.   |            |      |
| 6  | →      | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.  |            | PASS |
| 7  | ←      | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB. |            |      |
| 8  | →      | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                            |            | PASS |
| 9  |        |            | Verify the data transmission is performed successfully on NR Cell C.  |            | PASS |
| 10 | ←<br>→ | RRC        | RRC Connection Reconfiguration procedure to UE to configure the measurement Event for Cell B  |            |      |
| 11 |        |            | TX Power modification according to step T1 in table 9.2.4.5-1   |            |      |
| 12 | →      | RRC        | Measurement Report (Event A4)   |            | PASS |
| 13 | ←      | RRC        | RRC Connection Reconfiguration to the UE to Handover to Cell B  |            |      |
| 14 | →      | RRC        | UE initiates RACH procedure in Cell B and send RRC Connection Reconfiguration complete to SS  |            | PASS |
| 15 |        |            | Get the maximum, minimum and average power consumption during the random access procedure between step 13 and 14                      |            |      |
| 16 |        |            | Verify the data transmission is performed successfully on NR Cell C.  |            | PASS |
| 17 | ←<br>→ | RRC        | RRC Connection Reconfiguration procedure to UE to configure the measurement Event for Cell A  |            |      |
| 18 |        |            | TX Power modification according to step T2 in table 9.2.4.5-1   |            |      |

|    |        |     |  |  |      |
|----|--------|-----|--|--|------|
| 19 | →      | RRC | Measurement Report (Event A4)  |  | PASS |
| 20 | ←      | RRC | RRC Connection Reconfiguration to the UE to Handover to Cell A   |  |      |
| 21 | →      | RRC | UE initiates RACH procedure in Cell A and send RRC Connection Reconfiguration complete to SS                     |  | PASS |
| 22 |        |     | Get the maximum, minimum and average power consumption during the random access procedure between step 20 and 21 |  |      |
| 23 |        |     | Verify the data transmission is performed successfully on NR Cell C.   |  | PASS |
| 24 |        |     | Get the value of power consumption tester and calculate the power consumption                                    |  |      |
| 25 |        |     | Repeat the Handover procedure (between 10 to 24) for four times  |  |      |
| 26 |        |     | Calculate the overall minimum, maximum and average power consumption values                                      |  |      |
| 27 | →<br>← | NAS | SS initiates a Detach procedure.   |  | PASS |
| 28 | ←      | RRC | SS initiates a RRC release procedure.  |  |      |
| 29 |        |     | Deactivate E-UTRAN Cell A, Cell B and NR Cell C.   |  |      |

#### 9.2.2.6 Expected Result

The Current Should be less than [TBD]mA

### 9.2.3 Inter Frequency Handover, Power Consumption, NR Cell

#### 9.2.3.1 Test Purpose

When UE enters inter frequency Handover procedure , Measure the power consumption.

#### 9.2.3.2 Reference specification

TS 38.304, TS 38.331, TS 38.300

#### 9.2.3.3 Applicability

This test applies to the device that supports SA or SA+NSA.

#### 9.2.3.4 Test conditions

[SS configuration]

Cell A and B are inter frequency NR Cell.

Cell A and B supports only SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**9.2.3.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | NR Cell B | Remark             |
|------|-----------|-----------|--------------------|
| T0   | -90       | -95       |                    |
| T1   | -106      | -90       | For Blind Handover |

PREAMBLE

1. Activate NR Cell A and Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.2.5.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2 till Step 18 ).
5. Verify that Data transfer (Ping) performed on NR cell A.

- 6. SS reconfigures the cell power level according to T1 in Table 9.2.5.5-1

MAIN BODY

- 7. Start power consumption tester to record the changes of current and voltage of Handover procedure.
- 8. The SS Send RRC Reconfiguration message to make Handover from Cell A to Cell B
- 9. Verify that RACH procedure is successful and UE send RRC Reconfiguration complete in Cell B
- 10. Get the value of power consumption tester between step 8 and 9
- 11. The SS Send RRC Reconfiguration message to make Handover from Cell B to Cell A
- 12. Verify that RACH procedure is successful and UE send RRC Reconfiguration complete in Cell A
- 13. Get the value of power consumption tester between step 11 and 12
- 14. Repeat Step 8 to 13 for four times and calculate the overall average power consumption at the time of Handover procedure

POSTAMBLE

- 15. The SS initiates a Deregistration procedure.
- 16. The SS initiates a RRC release procedure.
- 17. Deactivate NR Cell A.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE  |                   |         |
| 1    | ←                | RRC        | Activate NR Cell A.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.2.5.5-1.                               |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2 till Step 18 ).                 |                   | PASS    |
| 5    |                  |            | Verify the data transmission is performed successfully on NR Cell A.                              |                   | PASS    |
| 6    |                  |            | SS reconfigures the cell power level according to T1 in Table 9.2.5.5-1                           |                   |         |
| 7    |                  |            | Start power consumption tester to record the changes of current and voltage of Handover procedure |                   |         |
| 8    | ←                | RRC        | The SS sends RRC Reconfiguration message to make Handover from Cell A to Cell B                   |                   |         |
| 9    | →                | RRC        | UE sends RRC Reconfiguration complete in Cell B   |                   | PASS    |

|    |        |     |   |  |      |
|----|--------|-----|---|--|------|
| 10 |        |     | Get the power consumption tester values at the time of Handover between step 8 and 9                                      |  |      |
| 11 | ←      | RRC | The SS sends RRC Reconfiguration message to make Handover from Cell B to Cell A   |  | PASS |
| 12 | →      | RRC | UE sends RRC Reconfiguration complete in Cell A   |  |      |
| 13 |        |     | Get the power consumption tester values at the time of Handover between step 11 and 12                                    |  |      |
| 14 |        |     | Repeat steps between 8 to 13 for 4 times and Calculate the overall average power consumption value for Handover Procedure |  | PASS |
| 15 | →<br>← | NAS | SS initiates a Deregistration procedure.  |  | PASS |
| 16 | ←      | RRC | SS initiates a RRC release procedure.   |  |      |
| 17 |        |     | Deactivate NR Cell A and B.   |  |      |

### 9.2.3.6 Expected Result

The Current Should be less than [TBD]mA

## 9.3 Voice (VoLTE/VoNR)

### 9.3.1 VoLTE MO Call with E-UTRAN Cell, Power Consumption

#### 9.3.1.1 Test Purpose

To measure the average current of MO VoLTE call procedure when call is ongoing in good coverage area.

#### 9.3.1.2 Reference specification

TS 36.508, TS 24.299, RFC 4867

#### 9.3.1.3 Applicability

This test applies to the device that supports NSA or SA+NSA.

This test applies to the device that supports VoLTE

#### 9.3.1.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA and VoLTE

E-UTRAN Cell A

Cell Id=01 TAC = 01



MCC-MNC = 460-00  
 EARFCN= f1  
 rootSequenceIndex = 0  
 Reference Signal EPRE= -85 dBm/15kHz  
 upperLayerIndication-r15=true

NR Cell B  
 Cell Id=02 TAC = 01  
 MCC = 460 MNC = 00  
 NR-ARFCN= f1  
 SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]  
 The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in NSA mode.  
 IMS VoLTE Feature should be enabled on DUT

[Initial conditions]  
 SS  
     E-UTRAN Cell A is not active  
     NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE  
     UE is powered off

**9.3.1.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell C | Remark |
|------|----------------|-----------|--------|
| T0   | -85            | -90       |        |

PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B.The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.3.1.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR

- RRCReconfigurationComplete message.
- 9. UE initiates the PDN connectivity procedure for the IMS PDN and Default EPS procedure for IMS PDN is completed in Cell A.
- 10. IMS Registration is successful in Cell A
- 11. Verify that Data transfer(Ping) performed on NR cell B

MAIN BODY

- 12. start power consumption tester records the changes of current and voltage and start the MO VoLTE call
- 13. Verify the RTP packet flow between UE and SS in both directions and stop the VoLTE call after 3 minutes
- 14. Stop the power consumption measurement and calculate the average current for VoLTE call

POSTAMBLE

- 15. The SS initiates a Detach procedure.
- 16. The SS initiates a RRC release procedure.
- 17. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE  |                   |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.  |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.3.1.5-1.   |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).              |                   | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.   |                   |         |
| 6    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.  |                   | PASS    |
| 7    | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB. |                   |         |
| 8    | →                | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                            |                   | PASS    |
| 9    | →                |            | UE initiates the PDN Connectivity Procedure   |                   |         |

|    |        |     |   |  |      |
|----|--------|-----|---|--|------|
|    | ←      |     | for IMS PDN   |  |      |
| 10 | →<br>← |     | IMS Registration Procedure is successful in Cell A                                    |  | PASS |
| 11 |        |     | Verify the data transmission is performed successfully on NR Cell B.                  |  | PASS |
| 12 | →<br>← |     | Start the power consumption recorder for current measurements and Start MO VoLTE call |  |      |
| 13 |        |     | Verify the RTP packet flow between UE and SS and stop the VoLTE call after 3 minutes  |  | PASS |
| 14 |        |     | Stop the power consumption and calculate the average current of the VoLTE call        |  |      |
| 15 | →<br>← | NAS | SS initiates a Detach procedure.  |  | PASS |
| 16 | ←      | RRC | SS initiates a RRC release procedure.   |  |      |
| 17 |        |     | Deactivate E-UTRAN Cell A and NR Cell B.  |  |      |

### 9.3.1.6 Expected Result

Record the test results of the current

## 9.3.2 VoNR MO call, Power Consumption

### 9.3.2.1 Test Purpose

To measure the average current of VoNR call for the MO call

### 9.3.2.2 Reference specification

TS 38.304, TS 38.331, TS 38.300

### 9.3.2.3 Applicability

This test applies to the device that supports SA or SA+NSA.

### 9.3.2.4 Test conditions

[SS configuration]

Cell A is a NR Cell.

Cell A supports only SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR Band = n41

NR-ARFCN= f1

Bandwidth = 100 MHz

SS/PBCH SSS EPRE = -125 dBm/30kHz

PDN = IMS PDN

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

### 9.3.2.5 Test procedure

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR<br>Cell A | Remark |
|------|--------------|--------|
| T0   | -75          |        |

#### PREAMBLE

1. Activate NR Cell A. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.3.2.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2-2 till Step 18).
5. UE sends REGISTER message
6. SS responds to REGISTER with 401 Unauthorized
7. UE sends REGISTER again with proper IPsec settings and SS responds with 200 OK
8. UE sends SUBSCRIBE message and the SS responds with 200 OK
9. SS sends NOTIFY. The UE responds NOTIFY with 200 OK

#### MAIN BODY

10. Start the power consumption tester and initiate the MO IMS call
11. UE sends INVITE Request
12. SS transmits 100 Trying and 183 Session Progress
13. UE sends PRACK and SS responds with 200 OK
14. SS transmits PDU session establishment request for voice call

- 15. UE sends PDU session establishment accept
- 16. SS transmits 180 ringing and 200 OK for INVITE
- 17. UE sends ACK for 200 OK
- 18. Verify if RTP packets are ongoing to confirm successful call progress and keep the call active for 3 minutes
- 19. Stop the power consumption measurement and note down the current values
- 20. UE initiates the call release procedure

POSTAMBLE

- 21. The SS initiates a Deregistration procedure.
- 22. The SS initiates a RRC release procedure.
- 23. Deactivate NR Cell A.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE                                      |                   |         |
| 1    | ←                | RRC        | Activate NR Cell A.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.3.2.5-1.                 |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2-2 till Step 18 ). |                   | PASS    |
| 5    | →                | SIP        | REGISTER  |                   |         |
| 6    | ←                | SIP        | 401 Unauthorized  |                   |         |
| 7    | →<br>←           | SIP        | UE sends REGISTER via IPsec and SS responds with 200 OK                             |                   | PASS    |
| 8    | →<br>←           | SIP        | UE sends SUBSCRIBE Message. The SS responds SUBSCRIBE with 200 OK                   |                   |         |
| 9    | →<br>←           | SIP        | SS sends NOTIFY. The UE responds NOTIFY with 200 OK                                 |                   |         |
| 10   |                  |            | Start the power consumption tester and initiate the MO IMS call                     |                   |         |
| 11   | →                | SIP        | INVITE  |                   | PASS    |
| 12   | →<br>←           | SIP        | SS responds with 183 Session Progress   |                   |         |
| 13   | →<br>←           | SIP        | UE sends PRACK and ss responds with 200 OK  |                   |         |
| 14   | ←                | NAS        | PDU session establishment request   |                   |         |
| 15   | →                | NAS        | PDU session establishment accept  |                   |         |
| 16   | ←                | SIP        | SS transmits 180 ringing and 200 OK for   |                   |         |

|    |        |            |   |  |      |
|----|--------|------------|---|--|------|
|    |        |            | INVITE  |  |      |
| 17 | →      | SIP        | UE sends ACK for 200 OK   |  |      |
| 18 |        |            | Verify the bidirectional RTP packet flow and keep the call active for 3 minutes |  | PASS |
| 19 |        |            | Stop the power consumption measurements and note down the measurements          |  |      |
| 20 | →<br>← | RRC<br>SIP | UE initiates clear call procedure   |  |      |
| 21 | →<br>← | NAS        | SS initiates a Deregistration procedure.  |  | PASS |
| 22 | ←      | RRC        | SS initiates a RRC release procedure.   |  |      |
| 23 |        |            | Deactivate NR Cell A.   |  |      |

### 9.3.2.6 Expected Result

The Current Should be less than [TBD]mA

## 9.3.3 VoLTE MT Call with E-UTRAN Cell, Power Consumption

### 9.3.3.1 Test Purpose

To measure the average current of MT VoLTE call procedure when call is ongoing in good coverage area.

### 9.3.3.2 Reference specification

TS 36.508, TS 24.299, RFC 4867

### 9.3.3.3 Applicability

This test applies to the device that supports NSA or SA+NSA.

This test applies to the device that supports VoLTE

### 9.3.3.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA and VoLTE

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

IMS VoLTE Feature should be enabled on DUT

[Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

### 9.3.3.5 Test procedure

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell C | Remark |
|------|----------------|-----------|--------|
| T0   | -85            | -90       |        |

PREAMBLE

18. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
19. The SS configures the initial power according to T0 in Table 9.3.3.5-1.
20. The UE is powered ON.
21. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2).
22. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
23. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
24. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB.
25. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
26. UE initiates the PDN connectivity procedure for the IMS PDN and Default EPS procedure for IMS PDN is completed in Cell A.

- 27. IMS Registration is successful in Cell A
- 28. Verify that Data transfer(Ping) performed on NR cell B

MAIN BODY

- 29. start power consumption tester records the changes of current and voltage and start the MT VoLTE call from tester
- 30. Verify the RTP packet flow between UE and SS in both directions and stop the VoLTE call after 3 minutes
- 31. Stop the power consumption measurement and calculate the average current for VoLTE call

POSTAMBLE

- 32. The SS initiates a Detach procedure.
- 33. The SS initiates a RRC release procedure.
- 34. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE  |                   |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.  |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.3.3.5-1.   |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).              |                   | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.   |                   |         |
| 6    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.  |                   | PASS    |
| 7    | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with Split DRB. |                   |         |
| 8    | →                | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                            |                   | PASS    |
| 9    | →<br>←           |            | UE initiates the PDN Connectivity Procedure for IMS PDN   |                   |         |
| 10   | →<br>←           |            | IMS Registration Procedure is successful in Cell A  |                   | PASS    |



|    |        |     |   |  |      |
|----|--------|-----|---|--|------|
| 11 |        |     | Verify the data transmission is performed successfully on NR Cell B.                          |  | PASS |
| 12 | →<br>← |     | Start the power consumption recorder for current measurements and Start MT VoLTE call from SS |  |      |
| 13 |        |     | Verify the RTP packet flow between UE and SS and stop the VoLTE call after 3 minutes          |  | PASS |
| 14 |        |     | Stop the power consumption and calculate the average current of the VoLTE call                |  |      |
| 15 | →<br>← | NAS | SS initiates a Detach procedure.  |  | PASS |
| 16 | ←      | RRC | SS initiates a RRC release procedure.   |  |      |
| 17 |        |     | Deactivate E-UTRAN Cell A and NR Cell B.  |  |      |

### 9.3.3.6 Expected Result

Record the test results of the current 9.4 Data Transmission, Power Consumption

## 9.4 Data Transmission, Power Consumption

### 9.4.1 UL Data Transmission, Power Consumption, SA

#### 9.4.1.1 Power Consumption with UL Data Transfer, SA (UL Single Tx – 64 QAM)

##### 9.4.1.1.1 Test Purpose

Setup a Data bearer with NR cell with typical transmit level. Measure the power consumption when UE transmits TCP data with uplink 64QAM

##### 9.4.1.1.2 Reference specification

TS 38.508, TS 38.331, TS 38.300

##### 9.4.1.1.3 Applicability

This test applies to Type 1 and Type 2 devices as described in clause 4.2.

##### 9.4.1.1.4 Test conditions

[SS configuration]

Cell A is a NR Cell.

Cell A supports SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

RB Allocation UL = Full

UL Modulation / Coding = 28  
 mcs-Table in PUSCH-Config = qam64  
 P\_Max = 3 dBm

[UE configuration]

The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in SA mode.

[Initial conditions]

SS  
 NR Cell A is not active  
 UL MCS = 18  
 The test shall be performed under ideal radio conditions.  
 UE  
 UE is powered off

**9.4.1.1.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | Remark |
|------|-----------|--------|
| T0   | -90       |        |

**PREAMBLE**

1. Activate NR Cell A. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.4.1.1.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2 till Step 18 ).

**MAIN BODY**

5. The power consumption tester records the changes of current.
6. Setup a TCP session and verify that UE uploads data correctly. Verify that UE TX power meeting the requirement in test conditions. Keep the service for 5 minutes.
7. Get the value of power consumption tester during step 6 and calculate the average TCP throughput
8. Repeat Step 6 and 7 for one more time
9. Calculate the overall average power consumption and TCP throughput

**POSTAMBLE**

10. The SS initiates a Deregistration procedure.
11. The SS initiates a RRC release procedure.

12. Deactivate NR Cell A.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE                                      |                   |         |
| 1    | ←                | RRC        | Activate NR Cell A.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.4.1.1.5-1.               |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2 till Step 18).    |                   | PASS    |
| 5    |                  |            | Power consumption tester records the changes of current and voltage                 |                   |         |
| 6    | ←                | UE         | UE uploads the data correctly in NR Cell A. Keep services for 5 minutes             |                   | PASS    |
| 7    |                  |            | Get the power consumption tester values and note down the TCP throughput value      |                   |         |
| 8    |                  |            | Repeat step 6 and 7 for one more time   |                   |         |
| 9    |                  |            | Calculate the overall average power consumption value and TCP Data throughput value |                   |         |
| 10   | →<br>←           | NAS        | SS initiates a Deregistration procedure.  |                   | PASS    |
| 11   | ←                | RRC        | SS initiates a RRC release procedure.   |                   |         |
| 12   |                  |            | Deactivate NR Cell A.   |                   |         |

**9.4.1.1.6 Expected Result**

Record the average TCP throughput and current in the following Table. The Current Should be less than [TBD]mA

Table 9.4.1.1.6-1: Average throughput and current

| UL TCP Throughput(Mbps) | Average Current(mA) |
|-------------------------|---------------------|
|                         |                     |

**9.4.1.2 Power Consumption with UL Data Transfer, SA (UL 2 Tx – 64 QAM)**

**9.4.1.2.1 Test Purpose**

Setup a Data bearer with NR cell with typical transmit level. Measure the power consumption when UE transmits TCP data with uplink 64QAM and 2X2 MIMO

**9.4.1.2.2 Reference specification**

TS 38.508, TS 38.331, TS 38.300

**9.4.1.2.3 Applicability**

This test applies to Type 1 and Type 2 devices as described in clause 4.2.

**9.4.1.2.4 Test conditions**

[SS configuration]

Cell A is a NR Cell.

Cell A supports SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

RB Allocation UL = Full

UL Modulation / Coding = 28

mcs-Table in PUSCH-Config = qam64

P\_Max = 0 dBm

UL MIMO = 2X2

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**9.4.1.2.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | Remark |
|------|-----------|--------|
| T0   | -90       |        |

PREAMBLE

1. Activate NR Cell A.The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.4.1.2.5-1.

3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2 till Step 18 ).

**MAIN BODY**

5. The power consumption tester records the changes of current.
6. Setup a TCP session and verify that UE uploads data correctly. Verify that UE TX power meeting the requirement in test conditions. Keep the service for 5 minutes
7. Get the value of power consumption tester during step 6 and calculate the average TCP throughput
8. Repeat Step 6 and 7 for one more time
9. Calculate the overall average power consumption and TCP throughput

**POSTAMBLE**

10. The SS initiates a Deregistration procedure.
11. The SS initiates a RRC release procedure.
12. Deactivate NR Cell A.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE                                      |                   |         |
| 1    | ←                | RRC        | Activate NR Cell A.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.4.1.2.5-1.               |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2 till Step 18).    |                   | PASS    |
| 5    |                  |            | Power consumption tester records the changes of current and voltage                 |                   |         |
| 6    | ←                | UE         | UE uploads the data correctly in NR Cell A. Keep services for 5 minutes             |                   | PASS    |
| 7    |                  |            | Get the power consumption tester values and note down the TCP throughput value      |                   |         |
| 8    |                  |            | Repeat step 6 and 7 for one more times  |                   |         |
| 9    |                  |            | Calculate the overall average power consumption value and TCP Data throughput value |                   |         |
| 10   | →<br>←           | NAS        | SS initiates a Deregistration procedure.  |                   | PASS    |
| 11   | ←                | RRC        | SS initiates a RRC release procedure.   |                   |         |
| 12   |                  |            | Deactivate NR Cell A.   |                   |         |

**9.4.1.2.6 Expected Result**

Record the average TCP throughput and current in the following Table. The Current Should be less than [TBD]mA

Table 9.4.1.2.6-1: Average throughput and current

| UL TCP Throughput(Mbps) | Average Current(mA) |
|-------------------------|---------------------|
|                         |                     |

### 9.4.1.3 Power Consumption with UL Data Transfer, SA (UL Single Tx – 256 QAM)

#### 9.4.1.3.1 Test Purpose

Setup a Data bearer with NR cell with maximum transmit level at UE. Measure the power consumption when UE transmits TCP data with uplink 256QAM

#### 9.4.1.3.2 Reference specification

TS 38.508, TS 38.331, TS 38.300

#### 9.4.1.3.3 Applicability

This test applies to Type 1 and Type 2 devices as described in clause 4.2.

#### 9.4.1.3.4 Test conditions

[SS configuration]

Cell A is a NR Cell.

Cell A supports SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

RB Allocation UL = Full

UL Modulation / Coding = 27

mcs-Table in PUSCH-Config = qam256

P\_Max = 26 dBm

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active  
 The test shall be performed under ideal radio conditions.

UE

UE is powered off

**9.4.1.3.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | Remark |
|------|-----------|--------|
| T0   | -90       |        |

**PREAMBLE**

1. Activate NR Cell A. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.4.1.3.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2 till Step 18).

**MAIN BODY**

5. The power consumption tester records the changes of current.
6. Setup a TCP session and verify that UE uploads data correctly. Verify that UE TX power meeting the requirement in test conditions. Keep the service for 5 minutes
7. Get the value of power consumption tester during step 6 and calculate the average TCP throughput
8. Repeat Step 6 and 7 for one more time
9. Calculate the overall average power consumption and TCP throughput

**POSTAMBLE**

10. The SS initiates a Deregistration procedure.
11. The SS initiates a RRC release procedure.
12. Deactivate NR Cell A.

Table 9.4.1.3.55.1.1.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE                                   |                   |         |
| 1    | ←                | RRC        | Activate NR Cell A.  |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.4.1.3.5-1.            |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2 till Step 18). |                   | PASS    |

|    |        |     |  |  |      |
|----|--------|-----|--|--|------|
| 5  |        |     | Power consumption tester records the changes of current and voltage                |  |      |
| 6  | ←      | UE  | UE uploads the data correctly in NR Cell A. Keep services for 5 minutes            |  | PASS |
| 7  |        |     | Get the power consumption tester values and note down the TCP throughput value     |  |      |
| 8  |        |     | Repeat step 6 and 7 for one more time  |  |      |
| 9  |        |     | Calculate the overall average power consumption value and TCPData throughput value |  |      |
| 10 | →<br>← | NAS | SS initiates a Deregistration procedure.   |  | PASS |
| 11 | ←      | RRC | SS initiates a RRC release procedure.  |  |      |
| 12 |        |     | Deactivate NR Cell A.  |  |      |

#### 9.4.1.3.6 Expected Result

Record the average TCP throughput and current in the following Table. The Current Should be less than [TBD]mA

Table 9.4.1.3.6-1: Average throughput and current

| UL TCP Throughput(Mbps) | Average Current(mA) |
|-------------------------|---------------------|
|                         |                     |

#### 9.4.1.4 Power Consumption with UL Data Transfer, SA (UL 2 Tx – 256 QAM)

##### 9.4.1.4.1 Test Purpose

Setup a Data bearer with NR cell with maximum transmit level at UE. Measure the power consumption when UE transmits TCP data with uplink 256QAM and 2X2 MIMO

##### 9.4.1.4.2 Reference specification

TS 38.508, TS 38.331, TS 38.300

##### 9.4.1.4.3 Applicability

This test applies to Type 1 and Type 2 devices as described in clause 4.2.

##### 9.4.1.4.4 Test conditions

[SS configuration]  
Cell A is a NR Cell.  
Cell A supports SA

NR Cell A



Cell Id=01 TAC = 01  
 MCC = 460 MNC = 00  
 NR-ARFCN= f1  
 SS/PBCH SSS EPRE = -125 dBm/30kHz  
 RB Allocation UL = Full  
 UL Modulation / Coding = 27  
 mcs-Table in PUSCH-Config = qam256  
 P\_Max = 23 dBm  
 UL MIMO = 2X2

[UE configuration]  
 The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in SA mode.

[Initial conditions]  
 SS  
     NR Cell A is not active  
     The test shall be performed under ideal radio conditions.  
 UE  
     UE is powered off

**9.4.1.4.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | Remark |
|------|-----------|--------|
| T0   | -90       |        |

PREAMBLE

1. Activate NR Cell A.The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.4.1.4.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2 till Step 18).

MAIN BODY

5. The power consumption tester records the changes of current.
6. Setup a TCP session and verify that UE uploads data correctly. Verify that UE TX power meeting the requirement in test conditions. Keep the service for 5 minutes
7. Get the value of power consumption tester during step 6 and calculate the average TCP throughput
8. Repeat Step 6 and 7 for one more time
9. Calculate the overall average power consumption and TCP throughput

POSTAMBLE

- 10. The SS initiates a Deregistration procedure.
- 11. The SS initiates a RRC release procedure.
- 12. Deactivate NR Cell A.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE                                      |                   |         |
| 1    | ←                | RRC        | Activate NR Cell A.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.4.1.4.5-1.               |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2 till Step 18).    |                   | PASS    |
| 5    |                  |            | Power consumption tester records the changes of current and voltage                 |                   |         |
| 6    | ←                | UE         | UE uploads the data correctly in NR Cell A. Keep services for 5 minutes             |                   | PASS    |
| 7    |                  |            | Get the power consumption tester values and note down the TCP throughput value      |                   |         |
| 8    |                  |            | Repeat step 6 and 7 for one more time   |                   |         |
| 9    |                  |            | Calculate the overall average power consumption value and TCP Data throughput value |                   |         |
| 10   | →<br>←           | NAS        | SS initiates a Deregistration procedure.  |                   | PASS    |
| 11   | ←                | RRC        | SS initiates a RRC release procedure.   |                   |         |
| 12   |                  |            | Deactivate NR Cell A.   |                   |         |

**9.4.1.4.6 Expected Result**

Record the average TCP throughput and current in the following Table. The Current Should be less than [TBD]mA

Table 9.4.1.4.6-1: Average throughput and current

| UL TCP Throughput(Mbps) | Average Current(mA) |
|-------------------------|---------------------|
|                         |                     |

**9.4.2 DL Data Transmission, Power Consumption, SA**

**9.4.2.1 Power Consumption with DL Data Transfer, SA (64 QAM)**

**9.4.2.1.1 Test Purpose**

Setup a Data bearer with NR cell with typical transmit level. Measure the power consumption when UE receives TCP data with downlink 64QAM

**9.4.2.1.2 Reference specification**

TS 38.508, TS 38.331, TS 38.300

**9.4.2.1.3 Applicability**

This test applies to Type 1 and Type 2 devices as described in clause 4.2.

**9.4.2.1.4 Test conditions**

[SS configuration]

Cell A is a NR Cell.

Cell A supports SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

RB Allocation DL = Full

DL Modulation / Coding= 28

mcs-Table in PDSCH-Config = qam64

P\_Max = 0 dBm

DL MIMO = 4\*4

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**9.4.2.1.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | Remark |
|------|-----------|--------|
| T0   | -90       |        |

PREAMBLE

1. Activate NR Cell A. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.4.2.1.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2 till Step 18).

MAIN BODY

5. The power consumption tester records the changes of current.
6. Setup a TCP session and verify that UE downloads data correctly. Keep the service for 5 minutes
7. Get the value of power consumption tester during step 6 and calculate the average TCP throughput
8. Repeat Step 6 and 7 for one more time
9. Calculate the overall average power consumption and TCP throughput

POSTAMBLE

10. The SS initiates a Deregistration procedure.
11. The SS initiates a RRC release procedure.
12. Deactivate NR Cell A.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE                                      |                   |         |
| 1    | ←                | RRC        | Activate NR Cell A.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.4.2.1.5-1.               |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2 till Step 18).    |                   | PASS    |
| 5    |                  |            | Power consumption tester records the changes of current                             |                   |         |
| 6    | ←                | UE         | UE downloads the data correctly in NR Cell A. Keep services for 5 minutes           |                   | PASS    |
| 7    |                  |            | Get the power consumption tester values and note down the TCP throughput value      |                   |         |
| 8    |                  |            | Repeat step 6 and 7 for one more time   |                   |         |
| 9    |                  |            | Calculate the overall average power consumption value and TCP Data throughput value |                   |         |

|    |        |     |  |  |      |
|----|--------|-----|--|--|------|
| 10 | →<br>← | NAS | SS initiates a Deregistration procedure. |  | PASS |
| 11 | ←      | RRC | SS initiates a RRC release procedure.    |  |      |
| 12 |        |     | Deactivate NR Cell A.                    |  |      |

#### 9.4.2.1.6 Expected Result

Record the average TCP throughput and current in the following Table. The Current Should be less than [TBD]mA

Table 9.4.2.1.6-1: Average throughput and current

| DL TCP Throughput(Mbps) | Average Current(mA) |
|-------------------------|---------------------|
|                         |                     |

### 9.4.2.2 Power Consumption with DL Data Transfer, SA (256 QAM)

#### 9.4.2.2.1 Test Purpose

Setup a Data bearer with NR cell with typical transmit level. Measure the power consumption when UE receives TCP data with downlink 256QAM

#### 9.4.2.2.2 Reference specification

TS 38.508, TS 38.331, TS 38.300

#### 9.4.2.2.3 Applicability

This test applies to Type 1 and Type 2 devices as described in clause 4.2.

#### 9.4.2.2.4 Test conditions

[SS configuration]

Cell A is a NR Cell.

Cell A supports SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

RB Allocation DL = Full

DL Modulation / Coding= 27

mcs-Table in PDSCH-Config = qam256

P\_Max = 0 dBm

DL MIMO = 4X4

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**9.4.2.2.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | Remark |
|------|-----------|--------|
| T0   | -90       |        |

PREAMBLE

1. Activate NR Cell A. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.4.2.2.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2 till Step 18).

MAIN BODY

5. The power consumption tester records the changes of current.
6. Setup a TCP session and verify that UE downloads data correctly. Keep the service for 5 minutes
7. Get the value of power consumption tester during step 6 and calculate the average TCP throughput
8. Repeat Step 6 and 7 for one more time
9. Calculate the overall average power consumption and TCP throughput

POSTAMBLE

10. The SS initiates a Deregistration procedure.
11. The SS initiates a RRC release procedure.
12. Deactivate NR Cell A.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |       |  |                   | Verdict |
|------|------------------|-------|--|-------------------|---------|
|      | U-S              | Layer | Message  | Specific Contents |         |
|      |                  |       | Connect the power consumption tester to the UE |                   |         |
| 1    | ←                | RRC   | Activate NR Cell A.                            |                   |         |
| 2    |                  |       | SS configures the initial power according to   |                   |         |

|    |        |            |   |            |      |
|----|--------|------------|---|------------|------|
|    |        |            | T0 in Table 9.4.2.2.5-1.  |            |      |
| 3  |        | UE         | Switch On UE  | AT Command |      |
| 4  | →<br>← | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2 till Step 18).    |            | PASS |
| 5  |        |            | Power consumption tester records the changes of current and voltage                 |            |      |
| 6  | ←      | UE         | UE downloads the data correctly in NR Cell A. Keep services for 5 minutes           |            | PASS |
| 7  |        |            | Get the power consumption tester values and note down the TCP throughput value      |            |      |
| 8  |        |            | Repeat step 6 and 7 for one more time   |            |      |
| 9  |        |            | Calculate the overall average power consumption value and TCP Data throughput value |            |      |
| 10 | →<br>← | NAS        | SS initiates a Deregistration procedure.  |            | PASS |
| 11 | ←      | RRC        | SS initiates a RRC release procedure.   |            |      |
| 12 |        |            | Deactivate NR Cell A.   |            |      |

**9.4.2.2.6 Expected Result**

Record the average TCP throughput and current in the following Table. The Current Should be less than [TBD]mA

Table 9.4.2.2.6-1: Average throughput and current

| DL TCP Throughput(Mbps) | Average Current(mA) |
|-------------------------|---------------------|
|                         |                     |

**9.4.3 Bi-direction Data Transmission, Power Consumption, SA**

**9.4.3.1 Power Consumption with Bidirectional Data Transfer, SA (UL Single Tx – 64 QAM)**

**9.4.3.1.1 Test Purpose**

Setup a Data bearer with NR cell with typical transmit level. Measure the power consumption when UE transmits and receives TCP data simultaneously with 64QAM

**9.4.3.1.2 Reference specification**

TS 38.508, TS 38.331, TS 38.300

**9.4.3.1.3 Applicability**

This test applies to Type 1 and Type 2 devices as described in clause 4.2.

**9.4.3.1.4 Test conditions**

[SS configuration]

Cell A is a NR Cell.  
Cell A supports SA

NR Cell A

Cell Id=01 TAC = 01  
MCC = 460 MNC = 00  
NR-ARFCN= f1  
SS/PBCH SSS EPRE = -125 dBm/30kHz  
RB Allocation UL = Full  
RB Allocation DL = Full  
UL Modulation / Coding = 28  
mcs-Table in PUSCH-Config = qam64  
DL Modulation / Coding = 28  
mcs-Table in PDSCH-Config = qam64  
P\_Max = 3 dBm  
DL MIMO = 4X4

[UE configuration]

The test UICC with USIM should be inserted  
The UE is in AUTOMATIC network selection mode.  
UE works in SA mode.

[Initial conditions]

SS  
NR Cell A is not active  
The test shall be performed under ideal radio conditions.

UE  
UE is powered off

**9.4.3.1.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | Remark |
|------|-----------|--------|
| T0   | -90       |        |

PREAMBLE

1. Activate NR Cell A.The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.4.3.1.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2 till Step 18 ).

MAIN BODY

5. The power consumption tester records the changes of current.



6. Setup a TCP session and verify that UE downloads and uploads the data simultaneously. Verify that UE TX power meeting the requirement in test conditions. Keep the service for 5 minutes
7. Get the value of power consumption tester during step 6 and calculate the average TCP throughput
8. Repeat Step 6 and 7 for onemore time
9. Calculate the overall average power consumption and TCP throughput

POSTAMBLE

10. The SS initiates a Deregistration procedure.
11. The SS initiates a RRC release procedure.
12. Deactivate NR Cell A.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   | Verdict    |
|------|------------------|------------|---|------------|
|      | U-S              | Layer      | Message   |            |
|      |                  |            | Connect the power consumption tester to the UE                                      |            |
| 1    | ←                | RRC        | Activate NR Cell A.   |            |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.4.3.1.5-1.               |            |
| 3    |                  | UE         | Switch On UE  | AT Command |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2 till Step 18).    | PASS       |
| 5    |                  |            | Power consumption tester records the chnages of current                             |            |
| 6    | ←                | UE         | UE donwloads/uploads the data correctly in NR Cell A. Keep services for 5 minutes   | PASS       |
| 7    |                  |            | Get the power consumption tester values and note down the TCP throughput value      |            |
| 8    |                  |            | Repeat step 6 and 7 for one more time   |            |
| 9    |                  |            | Calculate the overall average power consumption value and TCP Data throughput value |            |
| 10   | →<br>←           | NAS        | SS initiates a Deregistration procedure.  | PASS       |
| 11   | ←                | RRC        | SS initiates a RRC release procedure.   |            |
| 12   |                  |            | Deactivate NR Cell A.   |            |

**9.4.3.1.6 Expected Result**

Record the average TCP throughput and current in the following Table. The Current Should be less than [TBD]mA

Table 9.4.3.1.6-1: Average throughput and current

| UL TCP Throughput (Mbps) | DL TCP Throughput (Mbps) | Average Current (mA) |
|--------------------------|--------------------------|----------------------|
|                          |                          |                      |

### 9.4.3.2 Power Consumption with Bidirectional Data Transfer, SA (UL 2 Tx – 64 QAM)

#### 9.4.3.2.1 Test Purpose

Setup a Data bearer with NR cell with typical transmit level. Keep the FTP services for 2 minutes. Measure the power consumption when UE transmits and receives TCP data simultaneously with 64QAM and UL 2X2 MIMO

#### 9.4.3.2.2 Reference specification

TS 38.508, TS 38.331, TS 38.300

#### 9.4.3.2.3 Applicability

This test applies to Type 1 and Type 2 devices as described in clause 4.2

#### 9.4.3.2.4 Test conditions

[SS configuration]

Cell A is a NR Cell.

Cell A supports SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

RB Allocation UL = Full

RB Allocation DL = Full

UL Modulation / Coding = 28

mcs-Table in PUSCH-Config = qam64

DL Modulation / Coding = 28

mcs-Table in PDSCH-Config = qam64

P\_Max = 0 dBm

UL MIMO = 2X2

DL MIMO = 4X4

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

#### 9.4.3.2.5 Test procedure

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | Remark |
|------|-----------|--------|
| T0   | -90       |        |

#### PREAMBLE

1. Activate NR Cell A. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.4.3.2.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2 till Step 18 ).

#### MAIN BODY

5. The power consumption tester records the changes of current.
6. Setup a TCP session and verify that UE downloads and uploads data simultaneously. Verify that UE TX power meeting the requirement in test conditions. Keep the service for 5 minutes
7. Get the value of power consumption tester during step 6 and calculate the average TCP throughput
8. Repeat Step 6 and 7 for one more time
9. Calculate the overall average power consumption and TCP throughput

#### POSTAMBLE

10. The SS initiates a Deregistration procedure.
11. The SS initiates a RRC release procedure.
12. Deactivate NR Cell A.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE                                   |                   |         |
| 1    | ←                | RRC        | Activate NR Cell A.  |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.4.3.2.5-1.            |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2 till Step 18). |                   | PASS    |

|    |        |     |   |  |      |
|----|--------|-----|---|--|------|
| 5  |        |     | Power consumption tester records the changes of current                             |  |      |
| 6  | ←      | UE  | UE downloads/uploads the data correctly in NR Cell A. Keep services for 5 minutes   |  | PASS |
| 7  |        |     | Get the power consumption tester values and note down the TCP throughput value      |  |      |
| 8  |        |     | Repeat step 6 and 7 for one more time   |  |      |
| 9  |        |     | Calculate the overall average power consumption value and TCP Data throughput value |  |      |
| 10 | →<br>← | NAS | SS initiates a Deregistration procedure.  |  | PASS |
| 11 | ←      | RRC | SS initiates a RRC release procedure.   |  |      |
| 12 |        |     | Deactivate NR Cell A.   |  |      |

**9.4.3.2.6 Expected Result**

Record the average TCP throughput and current in the following Table. The Current Should be less than [TBD]mA

Table 9.4.3.2.6-1: Average throughput and current

| UL TCP Throughput (Mbps) | DL TCP Throughput (Mbps) | Average Current (mA) |
|--------------------------|--------------------------|----------------------|
|                          |                          |                      |

**9.4.3.3 Power Consumption with Bidirectional Data Transfer, SA (UL Single Tx – 256 QAM)**

**9.4.3.3.1 Test Purpose**

Setup a Data bearer with NR cell with maximum transmit level at UE. Measure the power consumption when UE transmits and receives TCP data simultaneously with 256QAM

**9.4.3.3.2 Reference specification**

TS 38.508, TS 38.331, TS 38.300

**9.4.3.3.3 Applicability**

This test applies to Type 1 and Type 2 devices as described in clause 4.2.

**9.4.3.3.4 Test conditions**

[SS configuration]

Cell A is a NR Cell.

Cell A supports SA

NR Cell A

Cell Id=01 TAC = 01  
 MCC = 460 MNC = 00  
 NR-ARFCN= f1  
 SS/PBCH SSS EPRE = -125 dBm/30kHz  
 RB Allocation UL = Full  
 RB Allocation DL = Full  
 UL Modulation / Coding = 27  
 mcs-Table in PUSCH-Config = qam256  
 DL Modulation / Coding = 27  
 mcs-Table in PDSCH-Config = qam256  
 P\_Max = 26 dBm  
 DL MIMO = 4X4

[UE configuration]

The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in SA mode.

[Initial conditions]

SS  
     NR Cell A is not active  
     The test shall be performed under ideal radio conditions.  
 UE  
     UE is powered off

**9.4.3.3.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | Remark |
|------|-----------|--------|
| T0   | -90       |        |

PREAMBLE

1. Activate NR Cell A.The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.4.3.3.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2 till Step 18).

MAIN BODY

5. The power consumption tester records the changes of current.
6. Setup a TCP session and verify that UE downloads and uploads the data simultaneously. Verify that UE TX power meeting the requirement in test conditions. Keep the service for 5 minutes
7. Get the value of power consumption tester during step 6 and calculate the average TCP throughput

8. Repeat Step 6 and 7 for one more time
9. Calculate the overall average power consumption and TCP throughput

POSTAMBLE

10. The SS initiates a Deregistration procedure.
11. The SS initiates a RRC release procedure.
12. Deactivate NR Cell A.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE                                      |                   |         |
| 1    | ←                | RRC        | Activate NR Cell A.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.4.3.3.5-1.               |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2 till Step 18).    |                   | PASS    |
| 5    |                  |            | Power consumption tester records the changes of current                             |                   |         |
| 6    | ←                | UE         | UE downloads/uploads the data correctly in NR Cell A. Keep services for 5 minutes   |                   | PASS    |
| 7    |                  |            | Get the power consumption tester values and note down the TCP throughput value      |                   |         |
| 8    |                  |            | Repeat step 6 and 7 for one more time   |                   |         |
| 9    |                  |            | Calculate the overall average power consumption value and TCP Data throughput value |                   |         |
| 10   | →<br>←           | NAS        | SS initiates a Deregistration procedure.  |                   | PASS    |
| 11   | ←                | RRC        | SS initiates a RRC release procedure.   |                   |         |
| 12   |                  |            | Deactivate NR Cell A.   |                   |         |

**9.4.3.3.6 Expected Result**

Record the average TCP throughput and current in the following Table. The Current Should be less than [TBD]mA

Table 9.4.3.3.6-1: Average throughput and current

| UL TCP Throughput (Mbps) | DL TCP Throughput (Mbps) | Average Current (mA) |
|--------------------------|--------------------------|----------------------|
|                          |                          |                      |

**9.4.3.4 Power Consumption with Bidirectional Data Transfer, SA (UL 2 Tx – 256 QAM)**

#### 9.4.3.4.1 Test Purpose

Setup a Data bearer with NR cell with maximum transmit level at UE. Measure the power consumption when UE transmits and receives TCP data simultaneously with 256QAM and uplink 2X2 MIMO

#### 9.4.3.4.2 Reference specification

TS 38.508, TS 38.331, TS 38.300

#### 9.4.3.4.3 Applicability

This test applies to Type 1 and Type 2 devices as described in clause 4.2.

#### 9.4.3.4.4 Test conditions

[SS configuration]

Cell A is a NR Cell.

Cell A supports SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

RB Allocation UL = Full

RB Allocation DL = Full

UL Modulation / Coding = 27

mcs-Table in PUSCH-Config = qam256

DL Modulation / Coding = 27

mcs-Table in PDSCH-Config = qam256

P\_Max = 23 dBm

DL MIMO = 4X4

UL MIMO = 2X2

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**9.4.3.4.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | Remark |
|------|-----------|--------|
| T0   | -90       |        |

PREAMBLE

1. Activate NR Cell A. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.4.3.4.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2 till Step 18).

MAIN BODY

5. The power consumption tester records the changes of current and voltage.
6. Setup a TCP session and verify that UE uploads data correctly. Verify that UE TX power meeting the requirement in test conditions. Keep the service for 5 minutes
7. Get the value of power consumption tester during step 6 and calculate the average TCP throughput
8. Repeat Step 6 and 7 for one more time
9. Calculate the overall average power consumption and TCP throughput

POSTAMBLE

10. The SS initiates a Deregistration procedure.
11. The SS initiates a RRC release procedure.
12. Deactivate NR Cell A.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE                                   |                   |         |
| 1    | ←                | RRC        | Activate NR Cell A.  |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.4.3.4.5-1.            |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2 till Step 18). |                   | PASS    |
| 5    |                  |            | Power consumption tester records the changes of current                          |                   |         |
| 6    | ←                | UE         | UE uploads the data correctly in NR Cell A. Keep services for 5 minutes          |                   | PASS    |



|    |        |     |   |  |      |
|----|--------|-----|---|--|------|
| 7  |        |     | Get the power consumption tester values and note down the TCP throughput value      |  |      |
| 8  |        |     | Repeat step 6 and 7 for one more time   |  |      |
| 9  |        |     | Calculate the overall average power consumption value and TCP Data throughput value |  |      |
| 10 | →<br>← | NAS | SS initiates a Deregistration procedure.  |  | PASS |
| 11 | ←      | RRC | SS initiates a RRC release procedure.   |  |      |
| 12 |        |     | Deactivate NR Cell A.   |  |      |

**9.4.3.4.6 Expected Result**

Record the average TCP throughput and current in the following Table. The Current Should be less than [TBD]mA

Table 9.4.3.4.6-1: Average throughput and current

| UL TCP Throughput (Mbps) | DL TCP Throughput (Mbps) | Average Current (mA) |
|--------------------------|--------------------------|----------------------|
|                          |                          |                      |

**9.4.4 UL Data Transmission, Power Consumption, NSA**

**9.4.4.1 Power Consumption with UL Data Transfer, NSA (SCG – 64 QAM)**

**9.4.4.1.1 Test Purpose**

Setup a SCG bearer with NR as UL data path with typical transmit level. Measure the power consumption with DRX configured on MCG cell when UE transmits TCP data with a TX power of 0 dBm

**9.4.4.1.2 Reference specification**

TS 36.508, TS 38.331, TS 38.300

**9.4.4.1.3 Applicability**

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

**9.4.4.1.4 Test conditions**

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0  
 Reference Signal EPRE= -85 dBm/15kHz  
 upperLayerIndication-r15=true

NR Cell B  
 Cell Id=02 TAC = 01  
 MCC = 460 MNC = 00  
 NR-ARFCN= f1  
 SS/PBCH SSS EPRE = -125 dBm/30kHz  
 RB Allocation UL = Full  
 UL\_Modulation / Coding = 28  
 mcs-Table in PUSCH-Config = qam64  
 P\_Max = 0 dBm

[UE configuration]

The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active  
 NR Cell B is not active  
 The test shall be performed under ideal radio conditions.

UE

UE is powered off

#### 9.4.4.1.5 Test procedure

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell B | Remark |
|------|----------------|-----------|--------|
| T0   | -85            | -90       |        |

PREAMBLE

1. SS transmits RRCConnectionReconfiguration to configure specific DRX parameters on MCG E-UTRAN Cell A. Refer to Table 9.2.1.4.5-2 for E-UTRAN DRX parameters for MCG.
2. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
3. The SS configures the initial power according to T0 in Table 9.4.4.1.5-1.
4. The UE is powered ON.
5. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
6. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
7. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
8. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration

message to add Cell B as NR PS-Cell with SCG DRB.

- The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.

MAIN BODY

- The power consumption tester records the changes of current.
- Setup a TCP session and verify that UE uploads data correctly. Verify that data transfer happening in NR data path. Verify that UE TX power meeting the requirement in test conditions. Keep the service for 5 minutes.
- Get the value of power consumption tester during step 11 and calculate the average throughput at transport layer
- Repeat Step 9 to 12 for two more time
- Calculate the overall average power consumption and throughput at the transport layer

POSTAMBLE

- The SS initiates a Detach procedure.
- The SS initiates a RRC release procedure.
- Deactivate E-UTRAN Cell A and NR Cell B.

Table 9.2.1.4.5-2: E-UTRAN DRX Parameters for MCG

| Derivation Path: TS 36.331, clause 6.3.2 |              |         |
|--|--------------|---------|
| Information Element                      | Value/remark | Comment |
| drx-Config CHOICE {                      |              |         |
| setup SEQUENCE {                         |              |         |
| onDurationTimer                          | psf8         |         |
| }  |              |         |
| drx-InactivityTimer                      | psf60        |         |
| drx-RetransmissionTimer                  | psf4         |         |
| longDRX-CycleStartOffset CHOICE {        |              |         |
| sf160                                    | 0            |         |
| }  |              |         |
| shortDRX                                 | Not present  |         |
| }  |              |         |
| }  |              |         |

Table 5.1.1.5-3: Message Sequence

| Step | Message Sequence |       |  |                   | Verdict |
|------|------------------|-------|--|-------------------|---------|
|      | U-S              | Layer | Message  | Specific Contents |         |
|      |                  |       | Connect the power consumption tester to the UE |                   |         |
| 1    | ←                | RRC   | Activate E-UTRAN Cell A and NR Cell B.         |                   |         |

|    |        |            |   |                                      |      |
|----|--------|------------|---|--------------------------------------|------|
| 2  |        |            | SS configures the initial power according to T0 in Table 9.4.4.1.5-1.   |                                      |      |
| 3  |        | UE         | Switch On UE  | AT Command                           |      |
| 4  | →<br>← | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).            |                                      | PASS |
| 5  | ←      | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.   |                                      |      |
| 6  | →      | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.  |                                      | PASS |
| 7  | ←      | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB. |                                      |      |
| 8  | →      | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                          |                                      | PASS |
| 9  | ←      | NAS<br>RRC | SS transmits RRCConnectionReconfiguration to configure specific MCG DRX parameters.   | Table 9.2.1.4.5-2 for DRX parameters |      |
| 10 | →      | NAS<br>RRC | UE transmits RRCConnectionReconfigurationComplete   |                                      | PASS |
| 11 |        |            | Power consumption tester records the changes of current   |                                      |      |
| 12 | ←      | UE         | UE uploads the TCP data correctly in NR data path. Keep services for 5 minutes  |                                      | PASS |
| 13 |        |            | Get the power consumption tester values and note down the throughput put value at application layer level                           |                                      |      |
| 14 |        |            | Repeat step 9 to 13 for two times   |                                      |      |
| 15 |        |            | Calculate the overall average power consumption value and TCP Data throughput   |                                      |      |
| 16 | →<br>← | NAS        | SS initiates a Detach procedure.  |                                      | PASS |
| 17 | ←      | RRC        | SS initiates a RRC release procedure.   |                                      |      |
| 18 |        |            | Deactivate E-UTRAN Cell A and NR Cell B.  |                                      |      |

**9.4.4.1.6 Expected Result**

Record the average TCP throughput and current in the Table 错误!未找到引用源。-1. The Current Should be less than [TBD]mA

Table 错误!未找到引用源。-1: Average throughput and current

| UL TCP Throughput (Mbps) | Average Current (mA) |
|--------------------------|----------------------|
| [TBD]                    | [TBD]                |

#### 9.4.4.2 Power Consumption with UL Data Transfer, NSA (MCG & SCG – 64 QAM)

##### 9.4.4.2.1 Test Purpose

Setup a MCG & SCG data bearer with with typical transmit level in a NSA cell. Measure the power consumption when UE transmits TCP data with total TX power of 0 dBm for both LTE and NR cell

##### 9.4.4.2.2 Reference specification

TS 36.508, TS 38.331, TS 38.300

##### 9.4.4.2.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

##### 9.4.4.2.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

RB Allocation UL = Full

UL Modulation / Coding = 27

UL 64QAM=TRUE

P\_Max = 0 dBm

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

RB Allocation UL = Full

UL Modulation / Coding = 28

mcs-Table in PUSCH-Config = qam64

P\_Max = 0 dBm

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

#### 9.4.4.2.5 Test procedure

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell C | Remark |
|------|----------------|-----------|--------|
| T0   | -85            | -90       |        |

PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.4.4.2.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB in addition with MCG bearer.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.

MAIN BODY

9. The power consumption tester records the changes of current.
10. Setup a FTP session and verify that UE uploads data correctly. Verify that data transfer happening in both MCG and SCG bearers. Verify that UE TX power meeting the requirement in test conditions. Keep the service for 5 minutes
11. Get the value of power consumption tester during step 10 and calculate the average throughput at transport layer
12. Repeat Step 9 to 11 for two more time
13. Calculate the overall average power consumption and throughput at the transport layer

POSTAMBLE

14. The SS initiates a Detach procedure.
15. The SS initiates a RRC release procedure.

16. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE   |                   |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.4.4.2.5-1.  |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).   |                   | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.  |                   |         |
| 6    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.   |                   | PASS    |
| 7    | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB in addition with existing MCG DRB |                   |         |
| 8    | →                | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.   |                   | PASS    |
| 9    |                  |            | Power consumption tester records the changes of current  |                   |         |
| 10   | ←                | UE         | UE uploads the data correctly in both MCG and SCG data path. Keep services for 5 minutes   |                   | PASS    |
| 11   |                  |            | Get the power consumption tester values and note down the throughput put value at transport layer level  |                   |         |
| 12   |                  |            | Repeat step 9 to 11 for two times  |                   |         |
| 13   |                  |            | Calculate the overall average power consumption value and TCP Data throughput value  |                   |         |
| 14   | →<br>←           | NAS        | SS initiates a Detach procedure.   |                   | PASS    |
| 15   | ←                | RRC        | SS initiates a RRC release procedure.  |                   |         |
| 16   |                  |            | Deactivate E-UTRAN Cell A and NR Cell B.   |                   |         |

9.4.4.2.6 Expected Result

Record the average TCP throughput and current in the Table 错误!未找到引用源。-1. The Current Should be less than [TBD]mA

Table 错误!未找到引用源。-1: Average throughput and current

| Throughput (Mbps) |                          |                          | Average Current(mA) |
|-------------------|--------------------------|--------------------------|---------------------|
| UL TCP Throughput | UL MAC Throughput in MCG | UL MAC Throughput in SCG |                     |
| [TBD]             | [TBD]                    | [TBD]                    | [TBD]               |

### 9.4.4.3 Power Consumption with UL Data Transfer, NSA (SCG – 256 QAM)

#### 9.4.4.3.1 Test Purpose

Setup a SCG bearer with NR as UL data path with maximum transmit level. Measure the power consumption with DRX configured on MCG cell when UE transmits TCP data with a power of 23 dBm

#### 9.4.4.3.2 Reference specification

TS 36.508, TS 38.331, TS 38.300

#### 9.4.4.3.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

#### 9.4.4.3.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

RB Allocation UL = Full

UL Modulation / Coding= 27

mcs-Table in PUSCH-Config = qam256

P\_Max = 23 dBm



[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

#### 9.4.4.3.5 Test procedure

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell C | Remark |
|------|----------------|-----------|--------|
| T0   | -85            | -90       |        |

#### PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.4.4.3.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UE Capability Information message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.

#### MAIN BODY

9. SS transmits RRCConnectionReconfiguration to configure specific DRX parameters on MCG E-UTRAN Cell A. Refer to Table 9.2.1.4.5-2 for E-UTRAN DRX parameters for MCG.
10. The power consumption tester records the changes of current.
11. Setup a TCP session and verify that UE uploads data correctly. Verify that data transfer happening in NR data path. Verify that UE TX power meeting the requirement in test conditions. Keep the service for 5 minutes
12. Get the value of power consumption tester during step 11 and calculate the average

- throughput at application layer
- 13. Repeat Step 9 to 12 for two more time
- 14. Calculate the overall average power consumption and throughput at the transport layer

POSTAMBLE

- 15. The SS initiates a Detach procedure.
- 16. The SS initiates a RRC release procedure.
- 17. Deactivate E-UTRAN Cell A and NR Cell B.

Table 9.2.1.4.5-2: E-UTRAN DRX Parameters for MCG

| Derivation Path: TS 36.331, clause 6.3.2 |              |         |
|--|--------------|---------|
| Information Element                      | Value/remark | Comment |
| drx-Config CHOICE {                      |              |         |
| setup SEQUENCE {                         |              |         |
| onDurationTimer                          | psf8         |         |
| }  |              |         |
| drx-InactivityTimer                      | psf60        |         |
| drx-RetransmissionTimer                  | psf4         |         |
| longDRX-CycleStartOffset CHOICE {        |              |         |
| sf160                                    | 0            |         |
| }  |              |         |
| shortDRX                                 | Not present  |         |
| }  |              |         |
| }  |              |         |

Table 5.1.1.5-3: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE   |                   |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.4.4.3.5-1.  |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ). |                   | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.  |                   |         |
| 6    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.                                   |                   | PASS    |
| 7    | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration  |                   |         |

|    |        |            |  |                                      |      |
|----|--------|------------|--|--------------------------------------|------|
|    |        |            | message to add Cell B as NR PS-Cell with SCG DRB.  |                                      |      |
| 8  | →      | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message. |                                      | PASS |
| 9  | ←      | NAS<br>RRC | SS transmits RRCConnectionReconfiguration to configure specific MCG DRX parameters.                        | Table 9.2.1.4.5-2 for DRX parameters |      |
| 10 | →      | NAS<br>RRC | UE transmits RRCConnectionReconfigurationComplete  |                                      | PASS |
| 11 |        |            | Power consumption tester records the changes of current  |                                      |      |
| 12 | ←      | UE         | UE uploads the data correctly in NR data path. Keep services for 5 minutes                                 |                                      | PASS |
| 13 |        |            | Get the power consumption tester values and note down the throughput put value at transport layer level    |                                      |      |
| 14 |        |            | Repeat step 9 to 13 for four times   |                                      |      |
| 15 |        |            | Calculate the overall average power consumption value and Application Data throughput value                |                                      |      |
| 16 | →<br>← | NAS        | SS initiates a Detach procedure.   |                                      | PASS |
| 17 | ←      | RRC        | SS initiates a RRC release procedure.  |                                      |      |
| 18 |        |            | Deactivate E-UTRAN Cell A and NR Cell B.   |                                      |      |

#### 9.4.4.3.6 Expected Result

Record the average TCP throughput and current in the Table [错误!未找到引用源。](#)-1. The Current Should be less than [TBD]mA

Table [错误!未找到引用源。](#)-1: Average throughput and current

| UL TCP Throughput (Mbps) | Average Current (mA) |
|--------------------------|----------------------|
| [TBD]                    | [TBD]                |

#### 9.4.4.4 Power Consumption with UL Data Transfer, NSA (MCG & SCG – 256 QAM)

##### 9.4.4.4.1 Test Purpose

Setup a MCG & SCG data bearer with with typical transmit level in a NSA cell. Measure the power consumption when UE transmits TCP data with a power of 23 dBm for both LTE and NR cell

##### 9.4.4.4.2 Reference specification

TS 36.508, TS 38.331, TS 38.300

#### 9.4.4.4.3 Applicability

This test applies to the device that supports NSA or SA+NSA.

#### 9.4.4.4.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

RB Allocation UL = Full

UL Modulation / Coding = 27

P\_Max = 23 dBm

UL 64QAM = TRUE

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

RB Allocation UL = Full

UL Modulation / Coding = 27

mcs-Table in PUSCH-Config = qam256

P\_Max = 23 dBm

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

9.4.4.4.5 Test procedure

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell C | Remark |
|------|----------------|-----------|--------|
| T0   | -85            | -90       |        |

PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.4.4.4.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB in addition with MCG bearer.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.

MAIN BODY

9. The power consumption tester records the changes of current.
10. Setup a TCP session and verify that UE uploads data correctly. Verify that data transfer happening in both MCG and SCG bearers. Verify that UE TX power meeting the requirement in test conditions. Keep the service for 5 minutes
11. Get the value of power consumption tester during step 10 and calculate the average throughput at transport layer
12. Repeat Step 9 to 11 for two more time
13. Calculate the overall average power consumption and throughput at the transport level

POSTAMBLE

14. The SS initiates a Detach procedure.
15. The SS initiates a RRC release procedure.
16. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |       |   |                   | Verdict |
|------|------------------|-------|---|-------------------|---------|
|      | U-S              | Layer | Message   | Specific Contents |         |
|      |                  |       | Connect the power consumption tester to the UE                        |                   |         |
| 1    | ←                | RRC   | Activate E-UTRAN Cell A and NR Cell B.                                |                   |         |
| 2    |                  |       | SS configures the initial power according to T0 in Table 9.4.4.4.5-1. |                   |         |
| 3    |                  | UE    | Switch On UE  | AT Command        |         |

|    |        |            |  |  |      |
|----|--------|------------|--|--|------|
| 4  | →<br>← | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2).  |  | PASS |
| 5  | ←      | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.  |  |      |
| 6  | →      | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.   |  | PASS |
| 7  | ←      | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB in addition with existing MCG DRB |  |      |
| 8  | →      | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.   |  | PASS |
| 9  |        |            | Power consumption tester records the changes of current  |  |      |
| 10 | ←      | UE         | UE uploads the data correctly in both MCG and SCG data path. Keep services for 5 minutes   |  | PASS |
| 11 |        |            | Get the power consumption tester values and note down the throughput put value at transpopt layer level  |  |      |
| 12 |        |            | Repeat step 9 to 11 for two times  |  |      |
| 13 |        |            | Calculate the overall average power consumption value and transpport Data throughput value   |  |      |
| 14 | →<br>← | NAS        | SS initiates a Detach procedure.   |  | PASS |
| 15 | ←      | RRC        | SS initiates a RRC release procedure.  |  |      |
| 16 |        |            | Deactivate E-UTRAN Cell A and NR Cell B.   |  |      |

**9.4.4.4.6 Expected Result**

Record the average TCP throughput and current in the Table 错误!未找到引用源。-1. The Current Should be less than [TBD]mA

Table 错误!未找到引用源。-1: Average throughput and current

| Throughput (Mbps) |                          |                          | Average Current(mA) |
|-------------------|--------------------------|--------------------------|---------------------|
| UL TCP Throughput | UL MAC Throughput in MCG | UL MAC Throughput in SCG |                     |
|                   |                          |                          |                     |

**9.4.5 DL Data Transmission, Power Consumption, NSA**

**9.4.5.1 Power Consumption with DL Data Transfer, NSA (SCG – 64 QAM)**

#### 9.4.5.1.1 Test Purpose

Setup a SCG bearer with NR as DL data path with typical transmit level. Measure the power consumption with DRX configured on MCG cell when UE receives TCP data

#### 9.4.5.1.2 Reference specification

TS 36.508, TS 38.331, TS 38.300

#### 9.4.5.1.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

#### 9.4.5.1.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

RB Allocation DL = Full

DL Modulation / Coding= 28

mcs-Table in PDSCH-Config = qam64

P\_Max = 0 dBm

DL MIMO = 4X4

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

#### 9.4.5.1.5 Test procedure

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell C | Remark |
|------|----------------|-----------|--------|
| T0   | -85            | -75       |        |

##### PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.4.5.1.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.

##### MAIN BODY

9. SS transmits RRCConnectionReconfiguration to configure specific DRX parameters on MCG E-UTRAN Cell A. Refer to Table 9.2.1.4.5-2 for E-UTRAN DRX parameters for MCG.
10. The power consumption tester records the changes of current.
11. Setup a TCP session and verify that UE downloads data correctly. Verify that data transfer happening in NR data path. Keep the service for 5 minutes
12. Get the value of power consumption tester during step 11 and calculate the average throughput at transport layer
13. Repeat Step 9 to 12 for two more time
14. Calculate the overall average power consumption and throughput at the transport layer

##### POSTAMBLE

15. The SS initiates a Detach procedure.
16. The SS initiates a RRC release procedure.
17. Deactivate E-UTRAN Cell A and NR Cell B.

Table 9.2.1.4.5-2: E-UTRAN DRX Parameters for MCG

Derivation Path: TS 36.331, clause 6.3.2



| Information Element               | Value/remark | Comment |
|-----------------------------------|--------------|---------|
| drx-Config CHOICE {               |              |         |
| setup SEQUENCE {                  |              |         |
| onDurationTimer                   | psf8         |         |
| }                                 |              |         |
| drx-InactivityTimer               | psf60        |         |
| drx-RetransmissionTimer           | psf4         |         |
| longDRX-CycleStartOffset CHOICE { |              |         |
| sf160                             | 0            |         |
| }                                 |              |         |
| shortDRX                          | Not present  |         |
| }                                 |              |         |
| }                                 |              |         |

Table 5.1.1.5-3: Message Sequence

| Step | Message Sequence |            |   |                                      | Verdict |
|------|------------------|------------|---|--------------------------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents                    |         |
|      |                  |            | Connect the power consumption tester to the UE  |                                      |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.  |                                      |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.4.5.1.5-1.   |                                      |         |
| 3    |                  | UE         | Switch On UE  | AT Command                           |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).            |                                      | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.   |                                      |         |
| 6    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.  |                                      | PASS    |
| 7    | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB. |                                      |         |
| 8    | →                | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                          |                                      | PASS    |
| 9    | ←                | NAS<br>RRC | SS transmits RRCConnectionReconfiguration to configure specific MCG DRX parameters.   | Table 9.2.1.4.5-2 for DRX parameters |         |
| 10   | →                | NAS<br>RRC | UE transmits RRCConnectionReconfigurationComplete   |                                      | PASS    |

|    |        |     |   |  |      |
|----|--------|-----|---|--|------|
| 11 |        |     | Power consumption tester records the changes of current   |  |      |
| 12 | ←      | UE  | UE downloads the data correctly in NR data path. Keep services for 5 minutes                            |  | PASS |
| 13 |        |     | Get the power consumption tester values and note down the throughput put value at transport layer level |  |      |
| 14 |        |     | Repeat step 9 to 13 for two times   |  |      |
| 15 |        |     | Calculate the overall average power consumption value and TCP Data throughput                           |  |      |
| 16 | →<br>← | NAS | SS initiates a Detach procedure.  |  | PASS |
| 17 | ←      | RRC | SS initiates a RRC release procedure.   |  |      |
| 18 |        |     | Deactivate E-UTRAN Cell A and NR Cell B.  |  |      |

**9.4.5.1.6 Expected Result**

Record the average TCP throughput and current in the Table 错误!未找到引用源。-1. The Current Should be less than [TBD]mA

Table 错误!未找到引用源。-1: Average throughput and current

| DL TCP Throughput (Mbps) | Average Current (mA) |
|--------------------------|----------------------|
| [TBD]                    | [TBD]                |

**9.4.5.2 Power Consumption with DL Data Transfer, NSA (MCG & SCG – 64 QAM)**

**9.4.5.2.1 Test Purpose**

Setup a MCG & SCG data bearer with with typical transmit level in a NSA cell. Measure the power consumption when UE receives TCP data

**9.4.5.2.2 Reference specification**

TS 36.508, TS 38.331, TS 38.300

**9.4.5.2.3 Applicability**

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

**9.4.5.2.4 Test conditions**

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00  
 EARFCN= f1  
 rootSequenceIndex = 0  
 Reference Signal EPRE= -85 dBm/15kHz  
 RB Allocation DL = Full  
 DL Modulation / Coding = 27  
 DL 256 QAM = FALSE  
 P\_Max = 0 dBm  
 upperLayerIndication-r15=true  
 DL MIMO = 2X2

NR Cell B  
 Cell Id=02 TAC = 01  
 MCC = 460 MNC = 00  
 NR-ARFCN= f1  
 SS/PBCH SSS EPRE = -125 dBm/30kHz  
 RB Allocation DL = Full  
 DL Modulation / Coding = 28  
 mcs-Table in PDSCH-Config = qam64  
 P\_Max = 0 dBm  
 DL MIMO = 4X4

[UE configuration]  
 The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in NSA mode.

[Initial conditions]  
 SS  
     E-UTRAN Cell A is not active  
     NR Cell B is not active  
     The test shall be performed under ideal radio conditions.  
 UE  
     UE is powered off

**9.4.5.2.5 Test procedure**

Table 9.4.5.2.55.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell C | Remark |
|------|----------------|-----------|--------|
| T0   | -85            | -90       |        |

PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B.The SS configures the corresponding Master Information Block and System Information Block broadcast.

2. The SS configures the initial power according to T0 in Table 9.4.5.2.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB in addition with MCG bearer.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.

MAIN BODY

9. The power consumption tester records the changes of current.
10. Setup a TCP session and verify that UE downloads data correctly. Verify that data transfer happening in both MCG and SCG bearers. Keep the service for 5 minutes
11. Get the value of power consumption tester during step 10 and calculate the average throughput at transport layer
12. Repeat Step 9 to 11 for two more time
13. Calculate the overall average power consumption and throughput at the transport layer

POSTAMBLE

14. The SS initiates a Detach procedure.
15. The SS initiates a RRC release procedure.
16. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE   |                   |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.4.5.2.5-1.  |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).       |                   | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.  |                   |         |
| 6    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.   |                   | PASS    |
| 7    | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG |                   |         |

|    |        |     |  |  |      |
|----|--------|-----|--|--|------|
|    |        |     | DRB in addition with existing MCG DRB  |  |      |
| 8  | →      | RRC | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message. |  | PASS |
| 9  |        |     | Power consumption tester records the changes of current  |  |      |
| 10 | ←      | UE  | UE downloads the data correctly in both MCG and SCG data path. Keep services for 5 minutes                 |  | PASS |
| 11 |        |     | Get the power consumption tester values and note down the throughput put value at transport layer level    |  |      |
| 12 |        |     | Repeat step 9 to 11 for two times  |  |      |
| 13 |        |     | Calculate the overall average power consumption value and TCP Data throughput                              |  |      |
| 14 | →<br>← | NAS | SS initiates a Detach procedure.   |  | PASS |
| 15 | ←      | RRC | SS initiates a RRC release procedure.  |  |      |
| 16 |        |     | Deactivate E-UTRAN Cell A and NR Cell B.   |  |      |

#### 9.4.5.2.6 Expected Result

Record the average TCP throughput and current in the Table [错误!未找到引用源。](#)-1. The Current Should be less than [TBD]mA

Table [错误!未找到引用源。](#)-1: Average throughput and current

| Throughput (Mbps) |                          |                          | Average Current(mA) |
|-------------------|--------------------------|--------------------------|---------------------|
| DL TCP Throughput | DL MAC Throughput in MCG | DL MAC Throughput in SCG |                     |
| [TBD]             | [TBD]                    | [TBD]                    | [TBD]               |

#### 9.4.5.3 Power Consumption with DL Data Transfer, NSA (SCG – 256 QAM)

##### 9.4.5.3.1 Test Purpose

Setup a SCG bearer with NR as DL data path. Measure the power consumption with DRX configured on MCG cell when UE receives TCP data

##### 9.4.5.3.2 Reference specification

TS 36.508, TS 38.331, TS 38.300

##### 9.4.5.3.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

##### 9.4.5.3.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

RB Allocation DL = Full

DL Modulation / Coding = 27

mcs-Table in PDSCH-Config = qam256

P\_Max = 0 dBm

DL MIMO = 4X4

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**9.4.5.3.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell C | Remark |
|------|----------------|-----------|--------|
| T0   | -85            | -75       |        |

PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B.The SS configures the corresponding Master Information

- Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.4.5.3.5-1.
  3. The UE is powered ON.
  4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
  5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
  6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
  7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB.
  8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.

#### MAIN BODY

9. SS transmits RRCConnectionReconfiguration to configure specific DRX parameters on MCG E-UTRAN Cell A. Refer to Table 9.2.1.4.5-2 for E-UTRAN DRX parameters for MCG.
10. The power consumption tester records the changes of current.
11. Setup a TCP session and verify that UE downloads data correctly. Verify that data transfer happening in NR data path. Keep the service for 5 minutes
12. Get the value of power consumption tester during step 11 and calculate the average throughput at transport layer
13. Repeat Step 9 to 11 for two more time
14. Calculate the overall average power consumption and throughput at the transport layer

#### POSTAMBLE

15. The SS initiates a Detach procedure.
16. The SS initiates a RRC release procedure.
17. Deactivate E-UTRAN Cell A and NR Cell B.

Table 9.2.1.4.5-2: E-UTRAN DRX Parameters for MCG

| Derivation Path: TS 36.331, clause 6.3.2 |              |         |
|--|--------------|---------|
| Information Element                      | Value/remark | Comment |
| drx-Config CHOICE {                      |              |         |
| setup SEQUENCE {                         |              |         |
| onDurationTimer                          | psf8         |         |
| }  |              |         |
| drx-InactivityTimer                      | psf60        |         |
| drx-RetransmissionTimer                  | psf4         |         |
| longDRX-CycleStartOffset CHOICE {        |              |         |
| sf160                                    | 0            |         |
| }  |              |         |
| shortDRX                                 | Not present  |         |
| }  |              |         |
| }  |              |         |

Table 5.1.1.5-3: Message Sequence

| Step | Message Sequence |            |   |                                      | Verdict |
|------|------------------|------------|---|--------------------------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents                    |         |
|      |                  |            | Connect the power consumption tester to the UE  |                                      |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.  |                                      |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.4.5.3.5-1.   |                                      |         |
| 3    |                  | UE         | Switch On UE  | AT Command                           |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).            |                                      | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.   |                                      |         |
| 6    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.  |                                      | PASS    |
| 7    | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB. |                                      |         |
| 8    | →                | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                          |                                      | PASS    |
| 9    | ←                | NAS<br>RRC | SS transmits RRCConnectionReconfiguration to configure specific MCG DRX parameters.   | Table 9.2.1.4.5-2 for DRX parameters |         |
| 10   | →                | NAS<br>RRC | UE transmits RRCConnectionReconfigurationComplete   |                                      | PASS    |
| 11   |                  |            | Power consumption tester records the changes of   |                                      |         |
| 12   | ←                | UE         | UE downloads the data correctly in NR data path. Keep services for 5 minutes  |                                      | PASS    |
| 13   |                  |            | Get the power consumption tester values and note down the throughput put value at transport layer level                             |                                      |         |
| 14   |                  |            | Repeat step 9 to 13 for two times   |                                      |         |
| 15   |                  |            | Calculate the overall average power consumption value and TCP Data throughput   |                                      |         |
| 16   | →<br>←           | NAS        | SS initiates a Detach procedure.  |                                      | PASS    |
| 17   | ←                | RRC        | SS initiates a RRC release procedure.   |                                      |         |
| 18   |                  |            | Deactivate E-UTRAN Cell A and NR Cell B.  |                                      |         |



#### 9.4.5.3.6 Expected Result

Record the average TCP throughput and current in the Table 错误!未找到引用源。-1. The Current Should be less than [TBD]mA

Table 错误!未找到引用源。-1: Average throughput and current

| DL TCP Throughput (Mbps) | Average Current (mA) |
|--------------------------|----------------------|
|                          |                      |

#### 9.4.5.4 Power Consumption with DL Data Transfer, NSA (MCG & SCG – 256 QAM)

##### 9.4.5.4.1 Test Purpose

Setup a MCG & SCG data bearer with with typical transmit level in a NSA cell. Measure the power consumption when UE receives TCP data

##### 9.4.5.4.2 Reference specification

TS 36.508, TS 38.331, TS 38.300

##### 9.4.5.4.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

##### 9.4.5.4.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

RB Allocation DL = Full

DL Modulation / Coding = 27

P\_Max = 0 dBm

DL 256 QAM = TRUE

upperLayerIndication-r15=true

DL MIMO = 2X2

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz  
 RB Allocation DL = Full  
 DL Modulation / Coding = 27  
 mcs-Table in PDSCH-Config = qam256  
 P\_Max = 0 dBm  
 DL MIMO = 4X4

[UE configuration]

The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active  
 NR Cell B is not active  
 The test shall be performed under ideal radio conditions.

UE

UE is powered off

#### 9.4.5.4.5 Test procedure

Table 9.4.5.4.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell C | Remark |
|------|----------------|-----------|--------|
| T0   | -85            | -75       |        |

PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.4.5.4.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB in addition with MCG bearer.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.

MAIN BODY

9. The power consumption tester records the changes of current.
10. Setup a TCP session and verify that UE uploads data correctly. Verify that data transfer happening in both MCG and SCG bearers. Keep the service for 5 minutes

11. Get the value of power consumption tester during step 10 and calculate the average throughput at transport layer
12. Repeat Step 9 to 11 for two more time
13. Calculate the overall average power consumption and throughput at the transport layer

POSTAMBLE

14. The SS initiates a Detach procedure.
15. The SS initiates a RRC release procedure.
16. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE   |                   |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.   |                   |         |
| 2    |                  |            | SS configures the initial power according to TO in Table 9.4.5.4.5-1.  |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).   |                   | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.  |                   |         |
| 6    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.   |                   | PASS    |
| 7    | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB in addition with existing MCG DRB |                   |         |
| 8    | →                | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.   |                   | PASS    |
| 9    |                  |            | Power consumption tester records the changes of current  |                   |         |
| 10   | ←                | UE         | UE downloads the data correctly in both MCG and SCG data path. Keep services for 5 minutes   |                   | PASS    |
| 11   |                  |            | Get the power consumption tester values and note down the throughput put value at transport layer level  |                   |         |
| 12   |                  |            | Repeat step 9 to 11 for two times  |                   |         |

|    |        |     |   |  |      |
|----|--------|-----|---|--|------|
| 13 |        |     | Calculate the overall average power consumption value and TCP Data throughput |  |      |
| 14 | →<br>← | NAS | SS initiates a Detach procedure.  |  | PASS |
| 15 | ←      | RRC | SS initiates a RRC release procedure.   |  |      |
| 16 |        |     | Deactivate E-UTRAN Cell A and NR Cell B.                                      |  |      |

**9.4.5.4.6 Expected Result**

Record the average TCP throughput and current in the Table 错误!未找到引用源。 -1. Current Should be less than [TBD]mA

Table 错误!未找到引用源。 -1: Average throughput and current

| Throughput (Mbps) |                          |                          | Average Current(mA) |
|-------------------|--------------------------|--------------------------|---------------------|
| DL TCP Throughput | DL MAC Throughput in MCG | DL MAC Throughput in SCG |                     |
| [TBD]             | [TBD]                    | [TBD]                    | [TBD]               |

**9.4.6 Bi-direction Data Transmission, Power Consumption, NSA**

**9.4.6.1 Power Consumption with Bidirectional Data Transfer, NSA (SCG – 64 QAM)**

**9.4.6.1.1 Test Purpose**

Setup a SCG bearer with NR as DL/UL data path with typical transmit level.. Measure the power consumption with DRX configured on MCG cell when UE transmits and receives UDP data simultaneously with a power of 0 dBm

**9.4.6.1.2 Reference specification**

TS 36.508, TS 38.331, TS 38.300

**9.4.6.1.3 Applicability**

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

**9.4.6.1.4 Test conditions**

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz

RB Allocation UL = Full

RB Allocation DL = Full

UL Modulation / Coding = 28

mcs-Table in PUSCH-Config = qam64

DL Modulation / Coding = 28

mcs-Table in PDSCH-Config = qam64

P\_Max = 0 dBm

DL MIMO = 4X4

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**9.4.6.1.5 Test procedure**

Table 9.4.6.1.55.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell C | Remark |
|------|----------------|-----------|--------|
| T0   | -85            | -90       |        |

PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.4.6.1.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration

message to add Cell B as NR PS-Cell with SCG DRB.

8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.

MAIN BODY

9. SS transmits RRCConnectionReconfiguration to configure specific DRX parameters on MCG E-UTRAN Cell A. Refer to Table 9.2.1.4.5-2 for E-UTRAN DRX parameters for MCG.
10. The power consumption tester records the changes of current.
11. Setup a UDP session and verify that UE downloads/uploads data simultaneously. Verify that data transfer happening in NR data path. Verify that UE TX power meeting the requirement in test conditions. Keep the service for 5 minutes
12. Get the value of power consumption tester during step 10 and calculate the average throughput at transport layer
13. Repeat Step 9 to 12 for two more time
14. Calculate the overall average power consumption and throughput at the transport layer

POSTAMBLE

15. The SS initiates a Detach procedure.
16. The SS initiates a RRC release procedure.
17. Deactivate E-UTRAN Cell A and NR Cell B.

Table 9.2.1.4.5-2: E-UTRAN DRX Parameters for MCG

| Derivation Path: TS 36.331, clause 6.3.2 |              |         |
|--|--------------|---------|
| Information Element                      | Value/remark | Comment |
| drx-Config CHOICE {                      |              |         |
| setup SEQUENCE {                         |              |         |
| onDurationTimer                          | psf8         |         |
| }  |              |         |
| drx-InactivityTimer                      | psf60        |         |
| drx-RetransmissionTimer                  | psf4         |         |
| longDRX-CycleStartOffset CHOICE {        |              |         |
| sf160                                    | 0            |         |
| }  |              |         |
| shortDRX                                 | Not present  |         |
| }  |              |         |
| }  |              |         |

Table 5.1.1.5-3: Message Sequence

| Step | Message Sequence |       |  |                   | Verdict |
|------|------------------|-------|--|-------------------|---------|
|      | U-S              | Layer | Message  | Specific Contents |         |
|      |                  |       | Connect the power consumption tester to the UE |                   |         |

|    |        |            |   |                                      |      |
|----|--------|------------|---|--------------------------------------|------|
| 1  | ←      | RRC        | Activate E-UTRAN Cell A and NR Cell B.  |                                      |      |
| 2  |        |            | SS configures the initial power according to TO in Table 9.4.6.1.5-1.   |                                      |      |
| 3  |        | UE         | Switch On UE  | AT Command                           |      |
| 4  | →<br>← | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).            |                                      | PASS |
| 5  | ←      | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.   |                                      |      |
| 6  | →      | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.  |                                      | PASS |
| 7  | ←      | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB. |                                      |      |
| 8  | →      | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                          |                                      | PASS |
| 9  | ←      | NAS<br>RRC | SS transmits RRCConnectionReconfiguration to configure specific MCG DRX parameters.   | Table 9.2.1.4.5-2 for DRX parameters |      |
| 10 | →      | NAS<br>RRC | UE transmits RRCConnectionReconfigurationComplete   |                                      | PASS |
| 11 |        |            | Power consumption tester records the changes of current a   |                                      |      |
| 12 | ←      | UE         | UE downloads/uploads the data correctly in NR data path. Keep services for 5 minutes  |                                      | PASS |
| 13 |        |            | Get the power consumption tester values and note down the throughput value at transport layer                                       |                                      |      |
| 14 |        |            | Repeat step 9 to 13 for two times   |                                      |      |
| 15 |        |            | Calculate the overall average power consumption value and UDP Data throughput   |                                      |      |
| 16 | →<br>← | NAS        | SS initiates a Detach procedure.  |                                      | PASS |
| 17 | ←      | RRC        | SS initiates a RRC release procedure.   |                                      |      |
| 18 |        |            | Deactivate E-UTRAN Cell A and NR Cell B.  |                                      |      |

**9.4.6.1.6 Expected Result**

Record the average UDP throughput and current in the Table 错误!未找到引用源。-1. The Current Should be less than [TBD]mA.

Table 错误!未找到引用源。-1: Average throughput and current

| UL UDP Throughput (Mbps) | DL UDP Throughput (Mbps) | Average Current (mA) |
|--------------------------|--------------------------|----------------------|
|--------------------------|--------------------------|----------------------|

|       |       |       |
|-------|-------|-------|
| [TBD] | [TBD] | [TBD] |
|-------|-------|-------|

#### 9.4.6.2 Power Consumption with Bidirectional Data Transfer, NSA (MCG & SCG – 64 QAM)

##### 9.4.6.2.1 Test Purpose

Setup a MCG & SCG data bearer with with typical transmit level in a NSA cell. Measure the power consumption when UE transmits and receives UDP data simultaneously with a power of 0 dBm for both LTE and NR cell

##### 9.4.6.2.2 Reference specification

TS 36.508, TS 38.331, TS 38.300

##### 9.4.6.2.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

##### 9.4.6.2.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

RB Allocation UL = Full

RB Allocation DL = Full

UL Modulation / Coding = 27

DL Modulation / Coding = 27

UL 64QAM = TRUE

DL 256QAM = FALSE

P\_Max = 0 dBm

upperLayerIndication-r15=true

DL MIMO = 2X2

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -125 dBm/30kHz



RB Allocation UL = Full  
 RB Allocation DL = Full  
 UL Modulation / Coding = 28  
 mcs-Table in PUSCH-Config = qam64  
 DL Modulation / Coding = 28  
 mcs-Table in PDSCH-Config = qam64  
 P\_Max = 0 dBm  
 DL MIMO = 4X4

[UE configuration]

The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active  
 NR Cell B is not active  
 DL MCS = 22  
 UL MCS = 18  
 The test shall be performed under ideal radio conditions.

UE

UE is powered off

#### 9.4.6.2.5 Test procedure

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell C | Remark |
|------|----------------|-----------|--------|
| T0   | -85            | -90       |        |

PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.4.6.2.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2).
5. The SS sends an ue-CapabilityRequest including the RAT type "eutra-nr".
6. Verify the UE sends UE Capability Information message including the RAT type "eutra-nr".
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB in addition with MCG bearer.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.

MAIN BODY

9. The power consumption tester records the changes of.
10. Setup a UDP session and verify that UE downloads/uploads data simultaneously. Verify that data transfer happening in both MCG and SCG bearers. Verify that UE TX power meeting the requirement in test conditions. Keep the service for 5 minutes
11. Get the value of power consumption tester during step 10 and calculate the average throughput at transport layer
12. Repeat Step 9 to 11 for two more time
13. Calculate the overall average power consumption and throughput at the transport layer

POSTAMBLE

14. The SS initiates a Detach procedure.
15. The SS initiates a RRC release procedure.
16. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE   |                   |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.4.6.2.5-1.  |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).   |                   | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.  |                   |         |
| 6    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.   |                   | PASS    |
| 7    | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB in addition with existing MCG DRB |                   |         |
| 8    | →                | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.   |                   | PASS    |
| 9    |                  |            | Power consumption tester records the changes of current  |                   |         |
| 10   | ←                | UE         | UE downloads/uploads the data correctly in both MCG and SCG data path. Keep services   |                   | PASS    |

|    |        |     |   |  |      |
|----|--------|-----|---|--|------|
|    |        |     | for 5 minutes   |  |      |
| 11 |        |     | Get the power consumption tester values and note down the throughput value at transport layer |  |      |
| 12 |        |     | Repeat step 9 to 11 for two times   |  |      |
| 13 |        |     | Calculate the overall average power consumption value and UDP Data throughput                 |  |      |
| 14 | →<br>← | NAS | SS initiates a Detach procedure.  |  | PASS |
| 15 | ←      | RRC | SS initiates a RRC release procedure.   |  |      |
| 16 |        |     | Deactivate E-UTRAN Cell A and NR Cell B.  |  |      |

#### 9.4.6.2.6 Expected Result

Record the average UDP throughput and current in the Table 错误!未找到引用源。-1. The Current Should be less than [TBD]mA

Table 错误!未找到引用源。-1: Average throughput and current

| UL UDP Throughput (Mbps) | DL UDP Throughput (Mbps) | Average Current (mA) |
|--------------------------|--------------------------|----------------------|
|                          |                          |                      |

### 9.4.6.3 Power Consumption with Bidirectional Data Transfer, NSA (SCG – 256 QAM)

#### 9.4.6.3.1 Test Purpose

Setup a SCG bearer with NR as DL/UL data path with maximum transmit level. Measure the power consumption with DRX configured on MCG cell when UE transmits and receives UDP data simultaneously with a power of 23 dBm

#### 9.4.6.3.2 Reference specification

TS 36.508, TS 38.331, TS 38.300

#### 9.4.6.3.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

#### 9.4.6.3.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0  
 Reference Signal EPRE= -85 dBm/15kHz  
 upperLayerIndication-r15=true

NR Cell B  
 Cell Id=02 TAC = 01  
 MCC = 460 MNC = 00  
 NR-ARFCN= f1  
 SS/PBCH SSS EPRE = -125 dBm/30kHz  
 RB Allocation UL = Full  
 RB Allocation DL = Full  
 UL Modulation / Coding = 27  
 mcs-Table in PUSCH-Config = qam256  
 DL Modulation / Coding = 27  
 mcs-Table in PDSCH-Config = qam256  
 P\_Max = 23 dBm  
 DL MIMO = 4X4

[UE configuration]  
 The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in NSA mode.

[Initial conditions]  
 SS  
     E-UTRAN Cell A is not active  
     NR Cell B is not active  
     The test shall be performed under ideal radio conditions.  
 UE  
     UE is powered off

**9.4.6.3.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell C | Remark |
|------|----------------|-----------|--------|
| T0   | -85            | -75       |        |

PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.4.6.3.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.

6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.

MAIN BODY

9. SS transmits RRCConnectionReconfiguration to configure specific DRX parameters on MCG E-UTRAN Cell A. Refer to Table 9.2.1.4.5-2 for E-UTRAN DRX parameters for MCG.
10. The power consumption tester records the changes of current.
11. Setup a UDP session and verify that UE downloads and uploads data simultaneously. Verify that data transfer happening in NR data path. Verify that UE TX power meeting the requirement in test conditions. Keep the service for 5 minutes
12. Get the value of power consumption tester during step 11 and calculate the average throughput at transport layer
13. Repeat Step 9 to 12 for two more time
14. Calculate the overall average power consumption and throughput at the transport layer

POSTAMBLE

15. The SS initiates a Detach procedure.
16. The SS initiates a RRC release procedure.
17. Deactivate E-UTRAN Cell A and NR Cell B.

Table 9.2.1.4.5-2: E-UTRAN DRX Parameters for MCG

| Derivation Path: TS 36.331, clause 6.3.2 |              |         |
|--|--------------|---------|
| Information Element                      | Value/remark | Comment |
| drx-Config CHOICE {                      |              |         |
| setup SEQUENCE {                         |              |         |
| onDurationTimer                          | psf8         |         |
| }  |              |         |
| drx-InactivityTimer                      | psf60        |         |
| drx-RetransmissionTimer                  | psf4         |         |
| longDRX-CycleStartOffset CHOICE {        |              |         |
| sf160                                    | 0            |         |
| }  |              |         |
| shortDRX                                 | Not present  |         |
| }  |              |         |
| }  |              |         |

Table 5.1.1.5-3: Message Sequence

| Step | Message Sequence |       |                   | Verdict |
|------|------------------|-------|-------------------|---------|
|      | U-S              | Layer | Message           |         |
|      |                  |       | Specific Contents |         |

|    |        |            |   |                                      |      |
|----|--------|------------|---|--------------------------------------|------|
|    |        |            | Connect the power consumption tester to the UE  |                                      |      |
| 1  | ←      | RRC        | Activate E-UTRAN Cell A and NR Cell B.  |                                      |      |
| 2  |        |            | SS configures the initial power according to T0 in Table 9.4.6.3.5-1.   |                                      |      |
| 3  |        | UE         | Switch On UE  | AT Command                           |      |
| 4  | →<br>← | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).            |                                      | PASS |
| 5  | ←      | RRC        | The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.   |                                      |      |
| 6  | →      | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.  |                                      | PASS |
| 7  | ←      | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB. |                                      |      |
| 8  | →      | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.                          |                                      | PASS |
| 9  | ←      | NAS<br>RRC | SS transmits RRCConnectionReconfiguration to configure specific MCG DRX parameters.   | Table 9.2.1.4.5-2 for DRX parameters |      |
| 10 | →      | NAS<br>RRC | UE transmits RRCConnectionReconfigurationComplete   |                                      | PASS |
| 11 |        |            | Power consumption tester records the changes of current   |                                      |      |
| 12 | ←      | UE         | UE downloads/uploads the data correctly in NR data path. Keep services for 5 minutes  |                                      | PASS |
| 13 |        |            | Get the power consumption tester values and note down the throughput value at transport layer                                       |                                      |      |
| 14 |        |            | Repeat step 9 to 13 for two times   |                                      |      |
| 15 |        |            | Calculate the overall average power consumption value and UDP Data throughput   |                                      |      |
| 16 | →<br>← | NAS        | SS initiates a Detach procedure.  |                                      | PASS |
| 17 | ←      | RRC        | SS initiates a RRC release procedure.   |                                      |      |
| 18 |        |            | Deactivate E-UTRAN Cell A and NR Cell B.  |                                      |      |

#### 9.4.6.3.6 Expected Result

Record the average UDP throughput and current in the Table 错误!未找到引用源。-1. The Current Should be less than [TBD]mA

Table 错误!未找到引用源。-1: Average throughput and current

| UL UDP Throughput (Mbps) | DL UDP Throughput (Mbps) | Average Current (mA) |
|--------------------------|--------------------------|----------------------|
| [TBD]                    | [TBD]                    | [TBD]                |

#### 9.4.6.4 Power Consumption with Bidirectional Data Transfer, NSA (MCG & SCG – 256 QAM)

##### 9.4.6.4.1 Test Purpose

Setup a MCG & SCG data bearer with with typical transmit level in a NSA cell. Measure the power consumption when UE transmits and receives UDP data simultaneously with a power of 23 dBm for both LTE and NR cell

##### 9.4.6.4.2 Reference specification

TS 36.508, TS 38.331, TS 38.300

##### 9.4.6.4.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

##### 9.4.6.4.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

RB Allocation UL = Full

RB Allocation DL = Full

UL Modulation / Coding = 27

DL Modulation / Coding = 27

UL 64QAM = TRUE

DL 256QAM = TRUE

P\_Max = 23 dBm

upperLayerIndication-r15=true

DL MIMO = 2X2

NR Cell B

Cell Id=02 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1  
 SS/PBCH SSS EPRE = -125 dBm/30kHz  
 RB Allocation UL = Full  
 RB Allocation DL = Full  
 UL Modulation / Coding = 27  
 mcs-Table in PUSCH-Config = qam256  
 DL Modulation / Coding = 27  
 mcs-Table in PDSCH-Config = qam256  
 P\_Max = 23 dBm  
 DL MIMO = 4X4

[UE configuration]  
 The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in NSA mode.

[Initial conditions]  
 SS  
     E-UTRAN Cell A is not active  
     NR Cell B is not active  
     The test shall be performed under ideal radio conditions.  
 UE  
     UE is powered off

**9.4.6.4.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | E-UTRAN Cell A | NR Cell C | Remark |
|------|----------------|-----------|--------|
| T0   | -85            | -75       |        |

PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.4.6.4.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.
7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB in addition with MCG bearer.
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.

MAIN BODY



9. The power consumption tester records the changes of current.
10. Setup a UDP session and verify that UE downloads and uploads data simultaneously. Verify that data transfer happening in both MCG and SCG bearers. Verify that UE TX power meeting the requirement in test conditions. Keep the service for 5 minutes
11. Get the value of power consumption tester during step 10 and calculate the average throughput at transport layer
12. Repeat Step 9 to 11 for two more time
13. Calculate the overall average power consumption and throughput at the transport layer

## POSTAMBLE

14. The SS initiates a Detach procedure.
15. The SS initiates a RRC release procedure.
16. Deactivate E-UTRAN Cell A and NR Cell B.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE   |                   |         |
| 1    | ←                | RRC        | Activate E-UTRAN Cell A and NR Cell B.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.4.6.4.5-1.  |                   |         |
| 3    |                  | UE         | Switch On UE   | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).   |                   | PASS    |
| 5    | ←                | RRC        | The SS sends an ue-CapabilityRequest including the RAT type "eutra-nr".  |                   |         |
| 6    | →                | RRC        | Verify the UE sends UECapabilityInformation message including the RAT type "eutra-nr".   |                   | PASS    |
| 7    | ←                | NAS<br>RRC | SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB in addition with existing MCG DRB |                   |         |
| 8    | →                | RRC        | UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.   |                   | PASS    |
| 9    |                  |            | Power consumption tester records the changes of current  |                   |         |
| 10   | ←                | UE         | UE downloads/uploads the data correctly in both MCG and SCG data path. Keep services for 5 minutes   |                   | PASS    |

|    |        |     |   |  |      |
|----|--------|-----|---|--|------|
| 11 |        |     | Get the power consumption tester values and note down the throughput value at transport layer |  |      |
| 12 |        |     | Repeat step 9 to 11 for two times   |  |      |
| 13 |        |     | Calculate the overall average power consumption value and UDP Data throughput                 |  |      |
| 14 | →<br>← | NAS | SS initiates a Detach procedure.  |  | PASS |
| 15 | ←      | RRC | SS initiates a RRC release procedure.   |  |      |
| 16 |        |     | Deactivate E-UTRAN Cell A and NR Cell B.  |  |      |

#### 9.4.6.4.6 Expected Result

Record the average UDP throughput and current in the Table 错误!未找到引用源。 -1. The Current Should be less than [TBD]mA

Table 错误!未找到引用源。 -1: Average throughput and current

| UL UDP Throughput (Mbps) | DL UDP Throughput (Mbps) | Average Current (mA) |
|--------------------------|--------------------------|----------------------|
| [TBD]                    | [TBD]                    | [TBD]                |

## 9.5 Power Consumption - Inactive Mode

### 9.5.1 RRC Inactive Mode, Power Consumption, SA

#### 9.5.1.1 Test Purpose

When UE enters RRC\_Inactive State after Registering in NR cell, Measure the power consumption.

#### 9.5.1.2 Reference specification

TS 38.304, TS 38.331, TS 38.300

#### 9.5.1.3 Applicability

This test applies to the device that supports SA or SA+NSA.

#### 9.5.1.4 Test conditions

[SS configuration]

Cell A is a NR Cell.

Cell A supports only SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR Band = n41

NR-ARFCN= f1

Bandwidth = 100 MHz  
 SS/PBCH SSS EPRE = -125 dBm/30kHz  
 t380 = min10

[UE configuration]

The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

### 9.5.1.5 Test procedure

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | Remark |
|------|-----------|--------|
| T0   | -75       |        |

PREAMBLE

1. Activate NR Cell A. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.5.1.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2-2 till Step 18).
5. Verify that Data transfer (Ping) performed on NR cell A
6. The RRC connection is released by the SS with suspendConfig to make UE to enter into Inactive Mode. The UE enters Registered, Inactive Mode

MAIN BODY

7. Wait for 3 minutes and then start power consumption tester records the changes of current and voltage for another 3 minutes
8. SS initiates paging message to UE
9. Verify that UE is initiating RRC Resume Procedure by sending RRCResumeRequest to SS
10. SS transmits RRCResume message to the UE
11. Verify that UE is sending RRCResumeComplete to SS

## POSTAMBLE

12. The SS initiates a Deregistration procedure.
13. The SS initiates a RRC release procedure.
14. Deactivate NR Cell A.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE  |                   |         |
| 1    | ←                | RRC        | Activate NR Cell A.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.5.1.5-1.   |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2-2 till Step 18 ).   |                   | PASS    |
| 5    |                  |            | Verify the data transmission is performed successfully on NR Cell A.  |                   | PASS    |
| 6    | ←                | RRC        | The RRC connection is released by the SS with suspendConfig.  |                   |         |
| 7    |                  |            | Wait for 3 minutes and then start power consumption tester records the changes of current and voltage for another 3 minutes |                   |         |
| 8    | ←                | RRC        | Paging  |                   |         |
| 9    | →                | RRC        | RRCResumeRequest  |                   | PASS    |
| 10   | ←                | RRC        | RRCResume   |                   |         |
| 11   | →                | RRC        | RRCResumeComplete   |                   | PASS    |
| 12   | →<br>←           | NAS        | SS initiates a Deregistration procedure.  |                   | PASS    |
| 13   | ←                | RRC        | SS initiates a RRC release procedure.   |                   |         |
| 14   |                  |            | Deactivate NR Cell A.   |                   |         |

**9.5.1.6 Expected Result**

The Current Should be less than [TBD]mA

**9.5.2 RRC Inactive Mode – RNA Update Timer Expiry, Power Consumption****9.5.2.1 Test Purpose**

When RNA Update timer expires in RRC Inactive Mode verify that UE initiates RRC Connection Resume Procedure for RNA Update and measure the power consumption

**9.5.2.2 Reference specification**

TS 38.304, TS 38.331, TS 38.300

**9.5.2.3 Applicability**

This test applies to the device that supports SA or SA+NSA.

**9.5.2.4 Test conditions**

[SS configuration]

Cell A is a NR Cell.

Cell A supports only SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR Band = n41

NR-ARFCN= f1

Bandwidth = 100 MHz

SS/PBCH SSS EPRE = -125 dBm/30kHz

T380 = min5

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**9.5.2.5 Test procedure**

Table 5.1.1.55-1: Time of cell power level and parameter changes

| Time | NR Cell A | Remark |
|------|-----------|--------|
|      |           |        |

|    |     |  |
|----|-----|--|
| T0 | -75 |  |
|----|-----|--|

PREAMBLE

1. Activate NR Cell A.The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 9.5.2.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2-2 till Step 18 ).
5. Verify that Data tranfer(Ping) perofrmed on NR cell A

MAIN BODY

6. The RRC connection is released by the SS with suspendConfig. The UE enters Registered, Inactive Mode
7. Start the power consumption tester which records the changes of current and Voltage Wait for t380 timer duration expiry
8. After t380 expiry Verify that UE is initiating RRC Resume Procedure by sending RRCResumeRequest with resume cause set as 'rna-Update' to SS
9. SS transmits RRCResume message to the UE
10. Verify that UE is sending RRCResumeComplete to SS
11. Get the value of power consumption tester during Step 8 to Step 9
12. Verify that Data tranfer(Ping) perofrmed on NR cell A

POSTAMBLE

13. The SS initiates a Deregitation procedure.
14. The SS initiates a RRC release procedure.
15. Deactivate NR Cell A.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE                                      |                   |         |
| 1    | ←                | RRC        | Activate NR Cell A.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 9.5.2.5-1.                 |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2-2 till Step 18 ). |                   | PASS    |

|    |        |     |  |  |      |
|----|--------|-----|--|--|------|
| 5  |        |     | Verify the data transmission is performed successfully on NR Cell A.   |  | PASS |
| 6  | ←      | RRC | The RRC connection is released by the SS with suspendConfig.   |  |      |
| 7  |        |     | Start Power consumption tester to record the changes of current and voltage and Wait for t380 timer duration to expire |  |      |
| 8  | →      | RRC | RRCResumeRequest with resume cause rna-update  |  | PASS |
| 9  | ←      | RRC | RRCResume  |  |      |
| 10 | →      | RRC | RRCResumeComplete  |  | PASS |
| 11 |        |     | Verify the data transmission is performed successfully on NR Cell A.   |  | PASS |
| 12 | →<br>← | NAS | SS initiates a Deregistration procedure.   |  | PASS |
| 13 | ←      | RRC | SS initiates a RRC release procedure.  |  |      |
| 14 |        |     | Deactivate NR Cell A.  |  |      |

#### 9.5.2.6 Expected Result

The Current Should be less than [TBD]mA

## 9.6 BWP, Power Consumption

### 9.6.1 Downlink Data Transmission with different BWP, Power Consumption, SA

#### 9.6.1.1 Test Purpose

To measure UE power consumption of downlink data transmission with different BWP configuration in SA mode.

#### 9.6.1.2 Reference specification

TS 38.321, clause 5.15

TS 38.331, clause 5.2.1, clause 5.3.5.3

#### 9.6.1.3 Applicability

This test applies to Type 1 and Type 2 devices as described in clause 4.2

#### 9.6.1.4 Test conditions

[SS configuration]

Cell A is a NR Cell.

Cell A supports only SA

NR Cell A  
Cell Id=01 TAC = 01  
MCC = 460 MNC = 00  
NR-ARFCN= f1  
SS/PBCH SSS EPRE = -80 dBm/30kHz  
DL Modulation / Coding= 20  
DL RB=50  
mcs-Table in PDSCH-Config = qam64  
UL TX Power=0dBm

[UE configuration]

The test UICC with USIM should be inserted  
The UE is in AUTOMATIC network selection mode.  
UE works in SA mode.

[Initial conditions]

SS  
NR Cell A is not active  
The test shall be performed under ideal radio conditions.

UE  
UE is powered off

### 9.6.1.5 Test procedure

PREAMBLE

1. Activate NR Cell A. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to test conditions.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2-2 till Step 15 ).

MAIN BODY

5. SS transmits NR RRCConnectionReconfiguration to configure DL BWP and UL BWP with BWP-ID=1 and bandwidth=100MHz. Refer to Table 9.6.1.5-1 and Table 9.6.1.5-2 for BWP parameters
6. UE transmits RRCConnectionReconfigurationComplete
7. Setup downlink TCP session and verify that UE downloads data correctly by using BWP-ID = 1.
8. Start power consumption tester records the changes of current for 3 minutes
9. Stop power consumption measurement. Stop data transmission
10. SS sends RRCRelease. UE returns to Idle mode.
11. Wait for 2 minutes. The SS transmits a Paging message. UE is in RRCConnected mode.
12. SS transmits NR RRCConnectionReconfiguration to configure DL BWP and UL BWP with



BWP-ID=1 and bandwidth=20MHz. Refer to Table 9.6.1.5-1 and Table 9.6.1.5-3 for BWP parameters.

13. Setup downlink TCP session and verify that UE downloads data correctly by using BWP-ID = 1.
14. Start power consumption tester records the changes of current for 3 minutes
15. Stop power consumption measurement. Stop data transmission

POSTAMBLE

16. The SS initiates a Deregistration procedure.
17. The SS initiates a RRC release procedure.
18. Deactivate NR Cell A.

Table 9.6.1.5-1: BWP configuration

| Derivation Path: TS 38.508-1 [4], Table 4.6.3-167               |              |                       |
|---|--------------|-----------------------|
| Information Element   | Value/remark | Comment               |
| ServingCellConfig ::= SEQUENCE {                                |              |                       |
| .....   | .....        |                       |
| downlinkBWP-ToReleaseList                                       | Not Present  |                       |
| downlinkBWP-ToAddModList SEQUENCE (SIZE (1))<br>OF BWP-Downlink |              |                       |
| BWP-Downlink [1] SEQUENCE {                                     |              |                       |
| bwp-Id  | 1            |                       |
| bwp-Common SEQUENCE {   |              |                       |
| genericParameters   | BWP          | 9.6.1.5-2 /9.6.1.5-3  |
| .....   | .....        |                       |
| .....   | .....        |                       |
| }   |              |                       |
| .....   | .....        |                       |
| }   |              |                       |
| firstActiveDownlinkBWP-Id                                       | 1            |                       |
| bwp-InactivityTimer   | Not present  |                       |
| defaultDownlinkBWP-Id   | Not present  |                       |
| uplinkConfig SEQUENCE {   |              |                       |
| .....   | .....        |                       |
| uplinkBWP-ToReleaseList   | Not Present  |                       |
| uplinkBWP-ToAddModList SEQUENCE (SIZE (1))<br>OF BWP-Uplink     |              |                       |
| BWP-Uplink[1] SEQUENCE {  |              |                       |
| bwp-Id  | 1            |                       |
| BWP-UplinkCommon ::= SEQUENCE {                                 |              |                       |
| genericParameters   | BWP          | 9.6.1.5-2 / 9.6.1.5-3 |
| .....   | .....        |                       |
| .....   | .....        |                       |

|                         |       |  |
|-------------------------|-------|--|
| }                       |       |  |
| .....                   | ..... |  |
| }                       |       |  |
| firstActiveUplinkBWP-Id | 1     |  |
| }                       |       |  |
| }                       |       |  |

Table 9.6.1.5-2: BWP Parameters in step 5

| Derivation Path: TS 38.331 [6], clause 6.3.2 |              |         |
|--|--------------|---------|
| Information Element                          | Value/remark | Comment |
| BWP ::= SEQUENCE {                           |              |         |
| locationAndBandwidth                         | 1099         | 100M    |
| subcarrierSpacing                            | kHz30        |         |
| cyclicPrefix                                 | Not present  |         |
| }  |              |         |

Table 9.6.1.5-3: BWP Parameters in step 12

| Derivation Path: TS 38.331 [6], clause 6.3.2 |              |         |
|--|--------------|---------|
| Information Element                          | Value/remark | Comment |
| BWP ::= SEQUENCE {                           |              |         |
| locationAndBandwidth                         | 13750        | 20M     |
| subcarrierSpacing                            | kHz30        |         |
| cyclicPrefix                                 | Not present  |         |
| }  |              |         |

**9.6.1.6 Expected Result**

Record the average current in step 8 and step 14.

Table 9.2.1.2.6-1: Test Results

| Test Step          | Average Current(mA) |
|--------------------|---------------------|
| Step 8: BWP=100MHz |                     |
| Step 14: BWP=20MHz |                     |

**9.6.2 Uplink Data Transmission with different BWP, Power Consumption, SA**

**9.6.2.1 Test Purpose**

To measure UE power consumption of uplink data transmission with different BWP configuration in SA mode.

**9.6.2.2 Reference specification**

TS 38.321, clause 5.15

TS 38.331, clause 5.2.1, clause 5.3.5.3

### 9.6.2.3 Applicability

This test applies to Type 1 and Type 2 devices as described in clause 4.2

### 9.6.2.4 Test conditions

[SS configuration]

Cell A is a NR Cell.

Cell A supports only SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE = -80 dBm/30kHz

UL Modulation / Coding= 20

UL RB=50

mcs-Table in PUSCH-Config = qam64

UL TX Power=0dBm

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

### 9.6.2.5 Test procedure

PREAMBLE

1. Activate NR Cell A. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to test conditions.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2-2 till Step 15 ).

MAIN BODY

5. SS transmits NR RRCConnectionReconfiguration to configure DL BWP and UL BWP with BWP-ID=1 and bandwidth=100MHz. Refer to Table 9.6.1.5-1 and Table 9.6.1.5-2 for BWP parameters
6. UE transmits RRCConnectionReconfigurationComplete
7. Setup uplink TCP session and verify that UE transmits data correctly by using BWP-ID = 1.
8. Start power consumption tester records the changes of current for 3 minutes
9. Stop power consumption measurement. Stop data transmission
10. SS sends RRC Release. UE returns to Idle mode.
11. Wait for 2 minutes. The SS transmits a Paging message. UE is in RRCConnected mode.
12. SS transmits NR RRCConnectionReconfiguration to configure DL BWP and UL BWP with BWP-ID=1 and bandwidth=20MHz. Refer to Table 9.6.1.5-1 and Table 9.6.1.5-3 for BWP parameters.
13. Setup uplink TCP session and verify that UE transmits data correctly by using BWP-ID = 1.
14. Start power consumption tester records the changes of current for 3 minutes
15. Stop power consumption measurement. Stop data transmission

#### POSTAMBLE

16. The SS initiates a Deregistration procedure.
17. The SS initiates a RRC release procedure.
18. Deactivate NR Cell A.

### 9.6.2.6 Expected Result

Record the average current in step 8 and step 14.

Table 9.2.1.2.6-1: Test Results

| Test Step          | Average Current(mA) |
|--------------------|---------------------|
| Step 8: BWP=100MHz |                     |
| Step 14: BWP=20MHz |                     |

### 9.6.3 Downlink Data Transmission with different BWP, Power Consumption, NSA

#### 9.6.3.1 Test Purpose

To measure UE power consumption of donwlink data transmission with different BWP configuration in NSA mode.

#### 9.6.3.2 Reference specification

TS 38.321, clause 5.15

TS 38.331, clause 5.2.1, clause 5.3.5.3

#### 9.6.3.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2

#### 9.6.3.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

NR-ARFCN= f1

SS/PBCH SSS EPRE = -80 dBm/30kHz

DL Modulation / Coding= 20

DL RB=50

mcs-Table in PDSCH-Config = qam64

UL TX Power=0dBm

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

#### 9.6.3.5 Test procedure

PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to test conditions.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).

5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.

**MAIN BODY**

7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB and configure DL BWP and UL BWP with BWP-ID=1 and bandwidth=100MHz. Refer to Table 9.6.1.5-1 and Table 9.6.1.5-2 for BWP parameters
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
9. Setup downlink TCP session and verify that UE downloads data correctly by using BWP-ID = 1.
10. Start power consumption tester records the changes of current for 3 minutes
11. Stop power consumption measurement. Stop data transmission
12. SS releases RRC connection in both cell A and cell B. UE returns to Idle mode.
13. Wait for 2 minutes. The SS transmits a Paging message. UE is in LTE RRCConnected mode.
14. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB and configure DL BWP and UL BWP with BWP-ID=1 and bandwidth=20MHz. Refer to Table 9.6.1.5-1 and Table 9.6.1.5-3 for BWP parameters
15. Setup downlink TCP session and verify that UE downloads data correctly by using BWP-ID = 1.
16. Start power consumption tester records the changes of current for 3 minutes
17. Stop power consumption measurement. Stop data transmission

**POSTAMBLE**

18. The SS initiates a Detach procedure.
19. The SS initiates a RRC release procedure.
20. Deactivate E-UTRAN Cell A and NR Cell B.

**9.6.3.6 Expected Result**

Record the average current in step 8 and step 14.

Table 9.2.1.2.6-1: Test Results

| Test Step           | Average Current(mA) |
|---------------------|---------------------|
| Step 10: BWP=100MHz |                     |
| Step 16: BWP=20MHz  |                     |

**9.6.4 Uplink Data Transmission with different BWP, Power Consumption, NSA**

**9.6.4.1 Test Purpose**

To measure UE power consumption of uplink data transmission with different BWP configuration in NSA mode.

**9.6.4.2 Reference specification**

TS 38.321, clause 5.15

TS 38.331, clause 5.2.1, clause 5.3.5.3

#### 9.6.4.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2

#### 9.6.4.4 Test conditions

[SS configuration]

Cell A is E-UTRAN Cell, CellB is a NR Cell.

Cell A supports NSA

E-UTRAN Cell A

Cell Id=01 TAC = 01

MCC-MNC = 460-00

EARFCN= f1

rootSequenceIndex = 0

Reference Signal EPRE= -85 dBm/15kHz

upperLayerIndication-r15=true

NR Cell B

Cell Id=02 TAC = 01

NR-ARFCN= f1

SS/PBCH SSS EPRE = -80 dBm/30kHz

UL Modulation / Coding= 20

UL RB=50

mcs-Table in PUSCH-Config = qam64

UL TX Power=0dBm

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in NSA mode.

[Initial conditions]

SS

E-UTRAN Cell A is not active

NR Cell B is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

#### 9.6.4.5 Test procedure

PREAMBLE

1. Activate E-UTRAN Cell A, NR Cell B.The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to test conditions.
3. The UE is powered ON.
4. The UE performs the registration procedure with activation of the initial default EPS bearer on Cell A (see 36.508, 4.5.2 ).
5. The SS sends an ue-CapabilityRequest including the RAT type “eutra-nr”.
6. Verify the UE sends UECapabilityInformation message including the RAT type “eutra-nr”.

MAIN BODY

7. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB and configure DL BWP and UL BWP with BWP-ID=1 and bandwidth=100MHz. Refer to Table 9.6.1.5-1 and Table 9.6.1.5-2 for BWP parameters
8. The UE sends an RRCConnectionReconfigurationComplete message containing NR RRCReconfigurationComplete message.
9. Setup uplink TCP session and verify that UE transmits data correctly by using BWP-ID = 1.
10. Start power consumption tester records the changes of current for 3 minutes
11. Stop power consumption measurement. Stop data transmission
12. SS releases RRC connection in both cell A and cell B. UE returns to Idle mode.
13. Wait for 2 minutes. The SS transmits a Paging message. UE is in LTE RRCConnected mode.
14. The SS sends an RRCConnectionReconfiguration message containing NR RRCReconfiguration message to add Cell B as NR PS-Cell with SCG DRB and configure DL BWP and UL BWP with BWP-ID=1 and bandwidth=20MHz. Refer to Table 9.6.1.5-1 and Table 9.6.1.5-3 for BWP parameters
15. Setup uplink TCP session and verify that UE downloads data correctly by using BWP-ID = 1.
16. Start power consumption tester records the changes of current for 3 minutes
17. Stop power consumption measurement. Stop data transmission

POSTAMBLE

18. The SS initiates a Detach procedure.
19. The SS initiates a RRC release procedure.
20. Deactivate E-UTRAN Cell A and NR Cell B.

**9.6.4.6 Expected Result**

Record the average current in step 8 and step 14.

Table 9.2.1.2.6-1: Test Results

| Test Step           | Average Current(mA) |
|---------------------|---------------------|
| Step 10: BWP=100MHz |                     |
| Step 16: BWP=20MHz  |                     |

**10 Data Throughput**



**10.1 Downlink TCP Throughput**

**10.1.1 DL Throughput under static channel, DL 256QAM, DL4\*4 MIMO**

**10.1.1.1 Test Purpose**

To measure the UE application layer downlink performance while downloading TCP based data in Conducted, Static Channel, SA Option2, 100 MHz BW, Full Resource Allocation, 256QAM, DL 4\*4 MIMO

**10.1.1.2 Reference specification**

3GPP TS 38.331, 3GPP TS 38.508, 3GPP TS 38.521-1

**10.1.1.3 Applicability**

This test applies to Type 2 UEs.

**10.1.1.4 Test conditions**

[SS configuration]  
 NR-RAN Cell A  
 Cell Id=01 TAC = 01  
 MCC = 460 MNC = 00  
 NR-ARFCN= f1  
 rootSequenceIndex = 0  
 DL Modulation / Coding = 27  
 RB Allocation UL= Full  
 RB Allocation DL = Full  
 simultaneousAckNackAndCQI = TRUE  
 enable4x4MIMO = TRUE  
 enable256QAM = TRUE  
 CPConfig = UL: Normal CP/DL: Normal CP  
 subcarrierSpacing=30kHz  
 DI/UL switch period=5ms

[Common conditions]  
 Common conditions include a set of test points the UE needs to be tested in and the common test parameters for each transmission mode.

Table 10.1.1.4-1: Test Points Configuration

| Test Point | Propagation Conditions | Correlation Matrices |
|------------|------------------------|----------------------|
| NR-1       | Static                 | N/A                  |

Table 10.1.1.4-2: Test Parameters for Testing

| Parameter                 |          | Unit      | Value      |
|---------------------------|----------|-----------|------------|
| Downlink power allocation | $\rho_A$ | dB        | -3         |
|                           | $\rho_B$ | dB        | -3(Note 1) |
| $N_{oc}$ at antenna port  |          | dBm/30kHz | -98        |
| Reporting mode            |          |           | PUCCH 1-0  |
| Note 1: $P_B = 1$         |          |           |            |

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

[Initial conditions]

SS

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2 of TS 38.521-1.

Test Environment: Normal, as defined in TS 38.508-1 clause 4.1.

Frequencies to be tested: Mid Range, as defined in TS 38.508-1.

1. Connect the SS to the UE antenna connectors as shown in TS 36.508-1 Annex A, Figure A.3.2.1.5 for antenna configuration 4x4.
2. Connect an application server to the IP output of the SS configured with a FTP server.
3. Downlink signals are initially set up according to Annex C0, C.1 and Annex C.3.1 in TS 38.521-1 and uplink signals according to Annex G.1 and G.3.2 in TS 38.521-1.
4. Propagation conditions are set according to Annex B.0 in TS 38.521-1.

UE

UE is powered off

### 10.1.1.5 Test procedure

PREAMBLE

1. SS Activates NR-RAN Cell A configures the corresponding Master Information Block and System Information Block broadcast.
2. SS transmits PDSCH via PDCCH DCI format 1\_0 for C\_RNTI to transmit the DL data using the fixed transport format and transport block size.
3. Set the parameters of the propagation condition, the correlation matrix, antenna configuration according to Table 10.1.1.4-1 & Table 10.1.1.4-2.

MAIN BODY

4. The UE is powered ON.
5. UE performs a Registration procedure on NR-RAN Cell A to register for PS services. The UE

establishes the default MCG bearer during the registration according to TS 38.508 subclause 4.5.2.

6. The SS transmits an RRC Connection Reconfiguration.
7. UE transmit an RRC Connection Reconfiguration Complete message to SS.
8. Using the FTP client, begin FTP download from the application server for [90] seconds and record Throughput result.
9. Repeat step 10 for one more iteration.
10. Calculate the average throughput at the application layer on the downlink channel during the file transfer over all iterations.
11. Switch Off UE, UE initiates a Detach procedure.

POSTAMBLE

12. Deactivate Cell.

Table 10.1.1.5-1: Message Sequence

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
| 1    | ←                | RRC        | The SS Activates NR-RAN Cell A configures the corresponding Master Information Block and System Information Block broadcast              |                   |         |
| 2    | SS               |            | SS transmits PDSCH via PDCCH DCI format 1-0 for C_RNTI   |                   |         |
| 3    | SS               |            | Set the parameters of the bandwidth, propagation condition, correlation matrix, Special subframe configuration and antenna configuration |                   |         |
| 4    |                  |            | Cell Power is set  |                   |         |
| 5    | UE               |            | Switch On UE   | AT Command        |         |
| 6    | ↔                | NAS<br>RRC | UE performs Registration procedure on NR-RAN Cell A  |                   | PASS    |
| 7    | ←                | RRC        | SS sends RRC Connection Reconfiguration message  |                   |         |
| 8    | →                | RRC        | The UE transmits RRC Connection Reconfiguration Complete message   |                   | PASS    |
| 9    |                  |            | Using FTP Client begin FTP Download  |                   | PASS    |
| 10   |                  |            | Repeat Step 10   |                   |         |
| 11   | SS               |            | Calculate Average Throughput   |                   | PASS    |
| 12   |                  |            | Switch Off UE  | AT Command        |         |
| 13   | SS               |            | Deactivate Cell A  |                   |         |

#### 10.1.1.6 Expected Result

1. Calculate and record the average throughput on TCP layer.

2. Count and record the overall number of ACK and NACK/DTX on the PUSCH/PUCCH during the test interval.

3. The average downlink throughput at the application layer shall meet or exceed the value in following table.

Table 10.1.1.6-1: Expected Result

| Band | Expected DL TCP Throughput |
|------|----------------------------|
| n41  | [1.53 Gbps]                |
| n79  | [0.78 Gbps]                |

## 10.1.2 DL Throughput under fading channel, DL 256QAM, DL4\*4 MIMO

### 10.1.2.1 Test Purpose

To measure the UE application layer downlink performance while downloading TCP based data in Conducted, Fading Channel, SA Option2, 100 MHz BW, Full Resource Allocation, 256QAM, DL 4\*4 MIMO

### 10.1.2.2 Reference specification

3GPP TS 38.331, 3GPP TS 38.508, 3GPP TS 38.521-1

### 10.1.2.3 Applicability

This test applies to Type 2 UEs.

### 10.1.2.4 Test conditions

[SS configuration]

NR-RAN Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

rootSequenceIndex = 0

DL Modulation / Coding = 27

RB Allocation UL= Full

RB Allocation DL = Full

simultaneousAckNackAndCQI = TRUE

enable4x4MIMO = TRUE

enable256QAM = TRUE

CPConfig = UL: Normal CP/DL: Normal CP

subcarrierSpacing=30kHz

DL/UL switch period=5ms

[Common conditions]

Common conditions include a set of test points the UE needs to be tested in and the common test parameters for each transmission mode.

Table 10.1.2.4-1: Test Points Configuration

| Test Point | Propagation Conditions      | Correlation Matrices |
|------------|-----------------------------|----------------------|
| NR-1       | Fading Profile :<br>TDLA30  | Low                  |
| NR-2       | Fading Profile :<br>TDLB100 | Low                  |
| NR-3       | Fading Profile :<br>TDLC300 | Low                  |

Table 10.1.2.4-2: Test Parameters for Testing

| Parameter                 | Unit      | Value     |            |
|---------------------------|-----------|-----------|------------|
| Downlink power allocation | $\rho_A$  | dB        | -3         |
|                           | $\rho_B$  | dB        | -3(Note 1) |
| $N_{oc}$ at antenna port  | dBm/30kHz | -98       |            |
| Reporting mode            |           | PUCCH 1-0 |            |
| Note 1: $P_B = 1$         |           |           |            |

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

[Initial conditions]

SS

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2 of TS 38.521-1.

Test Environment: Normal, as defined in TS 38.508-1 clause 4.1.

Frequencies to be tested: Mid Range, as defined in TS 38.508-1.

1. Connect the SS, the faders and AWGN noise sources to the UE antenna connectors as shown in TS 38.508-1 Annex A, Figure A.3.2.1.5 for antenna configuration 4x4.
2. Connect an application server to the IP output of the SS configured with a FTP server.
3. Downlink signals are initially set up according to Annex C0, C.1 and Annex C.3.1 in TS 38.521-1 and uplink signals according to Annex G.1 and G.3.2 in TS 38.521-1.
4. Propagation conditions are set according to Annex B.0 in TS 38.521-1.

UE

UE is powered off

### 10.1.2.5 Test procedure

**PREAMBLE**

1. SS Activates NR-RAN Cell A configures the corresponding Master Information Block and System Information Block broadcast.
2. SS transmits PDSCH via PDCCH DCI format 1\_0 for C\_RNTI to transmit the DL data using the fixed transport format and transport block size.
3. Set the parameters of the propagation condition, the correlation matrix, antenna configuration according to Table 10.1.2.4-1 & Table 10.1.2.4-2.

**MAIN BODY**

4. The UE is powered ON.
5. UE performs a Registration procedure on NR-RAN Cell A to register for PS services. The UE establishes the default MCG bearer during the registration according to TS 38.508 subclause 4.5.2.
6. The SS transmits an RRC Connection Reconfiguration.
7. UE transmit an RRC Connection Reconfiguration Complete message to SS.
8. Using the FTP client, begin FTP download from the application server for [90] seconds and record Throughput result.
9. Repeat step 10 for one more iteration.
10. Calculate the average throughput at the application layer on the downlink channel during the file transfer over all iterations.
11. Switch Off UE, UE initiates a Detach procedure.

**POSTAMBLE**

12. Deactivate Cell.

Table 10.1.2.5-1: Message Sequence

| Step | Message Sequence |            |  | Verdict    |
|------|------------------|------------|--|------------|
|      | U-S              | Layer      | Message  |            |
| 1    | ←                | RRC        | The SS Activates NR-RAN Cell A configures the corresponding Master Information Block and System Information Block broadcast              |            |
| 2    | SS               |            | SS transmits PDSCH via PDCCH DCI format 1-0 for C_RNTI   |            |
| 3    | SS               |            | Set the parameters of the bandwidth, propagation condition, correlation matrix, Special subframe configuration and antenna configuration |            |
| 4    |                  |            | Cell Power is set  |            |
| 5    | UE               |            | Switch On UE   | AT Command |
| 6    | ↔                | NAS<br>RRC | UE performs Registration procedure on NR-RAN Cell A  | PASS       |
| 7    | ←                | RRC        | SS sends RRC Connection Reconfiguration message  |            |

|    |    |     |  |            |      |
|----|----|-----|--|------------|------|
| 8  | →  | RRC | The UE transmits RRC Connection Reconfiguration Complete message |            | PASS |
| 9  |    |     | Using FTP Client begin FTP Download                              |            | PASS |
| 10 |    |     | Repeat Step 10   |            |      |
| 11 | SS |     | Calculate Average Throughput                                     |            | PASS |
| 12 |    |     | Switch Off UE  | AT Command |      |
| 13 | SS |     | Deactivate Cell A  |            |      |

#### 10.1.2.6 Expected Result

1. Calculate and record the average throughput.
2. Count and record the overall number of ACK and NACK/DTX on the PUSCH/PUCCH during the test interval.
3. The average downlink throughput at the application layer shall meet or exceed the value in following table.

Table 10.1.2.6-1: Expected Result

| Test Point | Expected DL Result [Mbit/s] |
|------------|-----------------------------|
| NR-1       | FFS                         |
| NR-2       | FFS                         |
| NR-3       | FFS                         |

## 10.2 Uplink TCP Throughput

### 10.2.1 UL Throughput under static channel, UL 64QAM, UL2\*2 MIMO

#### 10.2.1.1 Test Purpose

To measure the UE application layer uplink performance while uploading TCP based data in Conducted, Static Channel, SA Option2, 100 MHz BW, Full Resource Allocation, UL 64QAM, UL 2\*2 MIMO

#### 10.2.1.2 Reference specification

3GPP TS 38.331, 3GPP TS 38.508, 3GPP TS 38.521-1

#### 10.2.1.3 Applicability

This test applies to Type 2 UEs.

#### 10.2.1.4 Test conditions

[SS configuration]  
 NR-RAN Cell A  
 Cell Id=01 TAC = 01  
 MCC = 460 MNC = 00  
 NR-ARFCN= f1

rootSequenceIndex = 0  
 UL Modulation / Coding = 27  
 RB Allocation UL= Full  
 RB Allocation DL = Full  
 simultaneousAckNackAndCQI = TRUE  
 enableUL2x2MIMO = TRUE  
 enableUL64QAM = TRUE  
 CPConfig = UL: Normal CP/DL: Normal CP  
 subcarrierSpacing=30kHz  
 DI/UL switch period=5ms

[Common conditions]

Common conditions include a set of test points the UE needs to be tested in and the common test parameters for each transmission mode.

Table 10.2.1.4-1: Test Points Configuration

| Test Point | Propagation Conditions | Correlation Matrices |
|------------|------------------------|----------------------|
| NR-1       | Static                 | N/A                  |

Table 10.2.1.4-2: Test Parameters for Testing

| Parameter                 | Unit      | Value     |
|---------------------------|-----------|-----------|
| Downlink power allocation | $\rho_A$  | dB        |
|                           | $\rho_B$  | dB        |
| $N_{oc}$ at antenna port  | dBm/30kHz | -98       |
| Reporting mode            |           | PUCCH 1-0 |
| Note 1: $P_B = 1$         |           |           |

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

[Initial conditions]

SS

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

Configurations of PUSCH and PUCCH before measurement are specified in Annex G.2 of TS 38.521-1.

Test Environment: Normal, as defined in TS 38.508-1 clause 4.1.

Frequencies to be tested: Mid Range, as defined in TS 38.508-1.

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 Annex A, Figure A.3.1.1.2 for NR UL MIMO.



2. Connect an application server to the IP output of the SS configured with a FTP server.
3. Downlink signals are initially set up according to Annex C0, C.1 and Annex C.3.1 in TS 38.521-1 and uplink signals according to Annex G.1 and G.3.2 in TS 38.521-1.
4. Propagation conditions are set according to Annex B.0 in TS 38.521-1.

UE

UE is powered off

**10.2.1.5 Test procedure**

**PREAMBLE**

1. SS Activates NR-RAN Cell A configures the corresponding Master Information Block and System Information Block broadcast.
2. SS transmits PUSCH via PUCCH DCI format 0\_0 for C\_RNTI to transmit the DL data using the fixed transport format and transport block size.
3. Set the parameters of the propagation condition, the correlation matrix, antenna configuration according to Table 10.2.1.4-1 & Table 10.2.1.4-2.

**MAIN BODY**

4. The UE is powered ON.
5. UE performs a Registration procedure on NR-RAN Cell A to register for PS services. The UE establishes the default MCG bearer during the registration according to TS 38.508 subclause 4.5.2.
6. The SS transmits an RRC Connection Reconfiguration.
7. UE transmit an RRC Connection Reconfiguration Complete message to SS.
8. Using the FTP client, begin FTP upload from the application server for [90] seconds and record Throughput result.
9. Repeat step 10 for one more iteration.
10. Calculate the average throughput at the application layer on the uplink channel during the file transfer over all iterations.
11. Switch Off UE, UE initiates a Detach procedure.

**POSTAMBLE**

12. Deactivate Cell.

**Table 10.2.1.5-1: Message Sequence**

| Step | Message Sequence |       |   | Verdict |
|------|------------------|-------|---|---------|
|      | U-S              | Layer | Message   |         |
| 1    | ←                | RRC   | The SS Activates NR-RAN Cell A configures the corresponding Master Information Block and System Information Block broadcast |         |
| 2    | SS               |       | SS transmits PUSCH via PUCCH DCI format 0-0 for C_RNTI  |         |

|    |    |            |  |            |      |
|----|----|------------|--|------------|------|
| 3  | SS |            | Set the parameters of the bandwidth, propagation condition, correlation matrix, Special subframe configuration and antenna configuration |            |      |
| 4  |    |            | Cell Power is set  |            |      |
| 5  | UE |            | Switch On UE   | AT Command |      |
| 6  | ↔  | NAS<br>RRC | UE performs Registration procedure on NR-RAN Cell A  |            | PASS |
| 7  | ←  | RRC        | SS sends RRC Connection Reconfiguration message  |            |      |
| 8  | →  | RRC        | The UE transmits RRC Connection Reconfiguration Complete message   |            | PASS |
| 9  |    |            | Using FTP Client begin FTP Upload  |            | PASS |
| 10 |    |            | Repeat Step 10   |            |      |
| 11 | SS |            | Calculate Average Throughput   |            | PASS |
| 12 |    |            | Switch Off UE  | AT Command |      |
| 13 | SS |            | Deactivate Cell A  |            |      |

**10.2.1.6 Expected Result**

1. Calculate and record the average TCP throughput.
2. Count and record the overall number of ACK and NACK/DTX on the PUSCH/PUCCH during the test interval.
3. The average uplink throughput at the application layer shall meet or exceed the value in following table.

Table 10.2.1.6-1: expected result

| Band | Expected UL TCP Throughput |
|------|----------------------------|
| n41  | [188 Mbps]                 |
| n79  | [571 Mbps]                 |

**10.2.2 UL Throughput under static channel, UL 256QAM, Single TX, HPUE**

**10.2.2.1 Test Purpose**

To measure the UE application layer uplink performance while uploading TCP based data in Conducted, Static Channel, SA Option2, 100 MHz BW, Full Resource Allocation, UL 256QAM, Single TX, HPUE

**10.2.2.2 Reference specification**

3GPP TS 38.331, 3GPP TS 38.508, 3GPP TS 38.521-1

**10.2.2.3 Applicability**

This test applies to Type 2 UEs.

10.2.2.4 Test conditions

[SS configuration]

NR-RAN Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

rootSequenceIndex = 0

UL Modulation / Coding = 27

RB Allocation UL= Full

RB Allocation DL = Full

simultaneousAckNackAndCQI = TRUE

enableUL256QAM = TRUE

CPCofig = UL: Normal CP/DL: Normal CP

subcarrierSpacing=30kHz

DI/UL switch period=5ms

[Common conditions]

Common conditions include a set of test points the UE needs to be tested in and the common test parameters for each transmission mode.

Table 10.2.2.4-1: Test Points Configuration

| Test Point | Propagation Conditions | Correlation Matrices |
|------------|------------------------|----------------------|
| NR-1       | Static                 | N/A                  |

Table 10.2.2.4-2: Test Parameters for Testing

| Parameter                 | Unit      | Value     |
|---------------------------|-----------|-----------|
| Downlink power allocation | $\rho_A$  | dB        |
|                           | $\rho_B$  | dB        |
| $N_{oc}$ at antenna port  | dBm/30kHz | -98       |
| Reporting mode            |           | PUCCH 1-0 |
| Note 1: $P_B = 1$         |           |           |

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

[Initial conditions]

SS

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

Configurations of PUSCH and PUCCH before measurement are specified in Annex G.2 of TS 38.521-1.

Test Environment: Normal, as defined in TS 38.508-1 clause 4.1.

Frequencies to be tested: Mid Range, as defined in TS 38.508-1.

1. Connect the SS to the UE antenna connectors as shown in TS 36.508-1 Annex A, Figure A.3.1.1.1 for basic single cell.
2. Connect an application server to the IP output of the SS configured with a FTP server.
3. Downlink signals are initially set up according to Annex C0, C.1 and Annex C.3.1 in TS 38.521-1 and uplink signals according to Annex G.1 and G.3.2 in TS 38.521-1.
4. Propagation conditions are set according to Annex B.0 in TS 38.521-1.

UE

UE is powered off

**10.2.2.5 Test procedure**

**PREAMBLE**

1. SS Activates NR-RAN Cell A configures the corresponding Master Information Block and System Information Block broadcast.
2. SS transmits PUSCH via PUCCH DCI format 0\_0 for C\_RNTI to transmit the UL data using the fixed transport format and transport block size.
3. Set the parameters of the propagation condition, the correlation matrix, antenna configuration according to Table 10.2.2.4-1 & Table 10.2.2.4-2.

**MAIN BODY**

4. The UE is powered ON.
5. UE performs a Registration procedure on NR-RAN Cell A to register for PS services. The UE establishes the default MCG bearer during the registration according to TS 38.508 subclause 4.5.2.
6. The SS transmits an RRC Connection Reconfiguration.
7. UE transmit an RRC Connection Reconfiguration Complete message to SS.
8. Using the FTP client, begin FTP upload from the application server for [90] seconds and record Throughput result.
9. Repeat step 10 for one more iteration.
10. Calculate the average throughput at the application layer on the uplink channel during the file transfer over all iterations.
11. Switch Off UE, UE initiates a Detach procedure.

**POSTAMBLE**

12. Deactivate Cell.

**Table 10.2.2.5-1: Message Sequence**

| Step | Message Sequence |       |         | Verdict |
|------|------------------|-------|---------|---------|
|      | U-S              | Layer | Message |         |
|      |                  |       |         |         |

|    |    |            |  |            |      |
|----|----|------------|--|------------|------|
| 1  | ←  | RRC        | The SS Activates NR-RAN Cell A configures the corresponding Master Information Block and System Information Block broadcast              |            |      |
| 2  | SS |            | SS transmits PUSCH via PUCCH DCI format 0-0 for C_RNTI   |            |      |
| 3  | SS |            | Set the parameters of the bandwidth, propagation condition, correlation matrix, Special subframe configuration and antenna configuration |            |      |
| 4  |    |            | Cell Power is set  |            |      |
| 5  | UE |            | Switch On UE   | AT Command |      |
| 6  | ↔  | NAS<br>RRC | UE performs Registration procedure on NR-RAN Cell A  |            | PASS |
| 7  | ←  | RRC        | SS sends RRC Connection Reconfiguration message  |            |      |
| 8  | →  | RRC        | The UE transmits RRC Connection Reconfiguration Complete message   |            | PASS |
| 9  |    |            | Using FTP Client begin FTP Upload  |            | PASS |
| 10 |    |            | Repeat Step 10   |            |      |
| 11 | SS |            | Calculate Average Throughput   |            | PASS |
| 12 |    |            | Switch Off UE  | AT Command |      |
| 13 | SS |            | Deactivate Cell A  |            |      |

### 10.2.2.6 Expected Result

1. Calculate and record the average TCP throughput.
2. Count and record the overall number of ACK and NACK/DTX on the PUSCH/PUCCH during the test interval.
3. The average uplink throughput at the application layer shall meet or exceed the value in following table.

Table 10.2.2.6-1: expected result

| Band | Expected UL TCP Throughput |
|------|----------------------------|
| n41  | [125 Mbps]                 |
| n79  | [380 Mbps]                 |

### 10.2.3 UL Throughput under static channel, UL 256QAM, UL2\*2 MIMO

#### 10.2.3.1 Test Purpose

To measure the UE application layer uplink performance while uploading TCP based data in Conducted, Static Channel, SA Option2, 100 MHz BW, Full Resource Allocation, UL 256QAM, UL2\*2 MIMO

#### 10.2.3.2 Reference specification

3GPP TS 38.331, 3GPP TS 38.508, 3GPP TS 38.521-1

**10.2.3.3 Applicability**

This test applies to Type 2 UEs.

**10.2.3.4 Test conditions**

[SS configuration]

NR-RAN Cell A

Cell Id=01 TAC = 01

MCC = FFS MNC = FFS

NR-ARFCN= f1

rootSequenceIndex = 0

UL Modulation / Coding = 27

RB Allocation UL= Full

RB Allocation DL = Full

simultaneousAckNackAndCQI = TRUE

enableUL256QAM = TRUE

CPCConfig = UL: Normal CP/DL: Normal CP

subcarrierSpacing=30kHz

DL/UL switch period=5ms

[Common conditions]

Common conditions include a set of test points the UE needs to be tested in and the common test parameters for each transmission mode.

Table 10.2.3.4-1: Test Points Configuration

| Test Point | Propagation Conditions | Correlation Matrices |
|------------|------------------------|----------------------|
| NR-1       | Static                 | N/A                  |

Table 10.2.3.4-2: Test Parameters for Testing

| Parameter                 | Unit      | Value     |
|---------------------------|-----------|-----------|
| Downlink power allocation | $\rho_A$  | dB        |
|                           | $\rho_B$  | dB        |
| $N_{oc}$ at antenna port  | dBm/30kHz | -98       |
| Reporting mode            |           | PUCCH 1-0 |
| Note 1: $P_B = 1$         |           |           |

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

[Initial conditions]

SS

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

Configurations of PUSCH and PUCCH before measurement are specified in Annex G.2 of TS 38.521-1.

Test Environment: Normal, as defined in TS 38.508-1 clause 4.1.

Frequencies to be tested: Mid Range, as defined in TS 38.508-1.

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 Annex A, Figure A.3.1.1.2 for NR UL MIMO.
2. Connect an application server to the IP output of the SS configured with a FTP server.
3. Downlink signals are initially set up according to Annex C0, C.1 and Annex C.3.1 in TS 38.521-1 and uplink signals according to Annex G.1 and G.3.2 in TS 38.521-1.
4. Propagation conditions are set according to Annex B.0 in TS 38.521-1.

UE

UE is powered off

### 10.2.3.5 Test procedure

#### PREAMBLE

1. SS Activates NR-RAN Cell A configures the corresponding Master Information Block and System Information Block broadcast.
2. SS transmits PUSCH via PUCCH DCI format 0\_0 for C\_RNTI to transmit the UL data using the fixed transport format and transport block size.
3. Set the parameters of the propagation condition, the correlation matrix, antenna configuration according to Table 10.2.3.4-1 & Table 10.2.3.4-2.

#### MAIN BODY

4. The UE is powered ON.
5. UE performs a Registration procedure on NR-RAN Cell A to register for PS services. The UE establishes the default MCG bearer during the registration according to TS 38.508 subclause 4.5.2.
6. The SS transmits an RRC Connection Reconfiguration.
7. UE transmit an RRC Connection Reconfiguration Complete message to SS.
8. Using the FTP client, begin FTP upload from the application server for [90] seconds and record Throughput result.
9. Repeat step 10 for one more iteration.
10. Calculate the average throughput at the application layer on the uplink channel during the file transfer over all iterations.
11. Switch Off UE, UE initiates a Detach procedure.

#### POSTAMBLE

12. Deactivate Cell.

**Table 10.2.3.5-1: Message Sequence**

| Step | Message Sequence |            |  | Verdict    |
|------|------------------|------------|--|------------|
|      | U-S              | Layer      | Message  |            |
| 1    | ←                | RRC        | The SS Activates NR-RAN Cell A configures the corresponding Master Information Block and System Information Block broadcast              |            |
| 2    | SS               |            | SS transmits PUSCH via PUCCH DCI format 0-0 for C_RNTI   |            |
| 3    | SS               |            | Set the parameters of the bandwidth, propagation condition, correlation matrix, Special subframe configuration and antenna configuration |            |
| 4    |                  |            | Cell Power is set  |            |
| 5    | UE               |            | Switch On UE   | AT Command |
| 6    | ↔                | NAS<br>RRC | UE performs Registration procedure on NR-RAN Cell A  | PASS       |
| 7    | ←                | RRC        | SS sends RRC Connection Reconfiguration message  |            |
| 8    | →                | RRC        | The UE transmits RRC Connection Reconfiguration Complete message   | PASS       |
| 9    |                  |            | Using FTP Client begin FTP Upload  | PASS       |
| 10   |                  |            | Repeat Step 10   |            |
| 11   | SS               |            | Calculate Average Throughput   | PASS       |
| 12   |                  |            | Switch Off UE  | AT Command |
| 13   | SS               |            | Deactivate Cell A  |            |

**10.2.3.6 Expected Result**

1. Calculate and record the average TCP throughput.
2. Count and record the overall number of ACK and NACK/DTX on the PUSCH/PUCCH during the test interval.
3. The average downlink throughput at the application layer shall meet or exceed the value in following table.

Table 10.2.3.6-1: expected result

| Test Point | Expected UL TCP Throughput |
|------------|----------------------------|
| n41        | [250 Mbps]                 |
| n79        | [760 Mbps]                 |

**10.3 Bidirectional UDP Throughput**



### 10.3.1 Bidirectional Throughput under static channel, 256QAM, DL 4\*4 and UL 2\*2 MIMO

#### 10.3.1.1 Test Purpose

To measure the UE application layer Bidirectional performance while downloading & uploading simultaneously UDP based data in Conducted, Static Channel, SA Option2, 100 MHz BW, Full Resource Allocation, 256QAM, DL 4\*4 and UL 2\*2 MIMO

#### 10.3.1.2 Reference specification

3GPP TS 38.331, 3GPP TS 38.508, 3GPP TS 38.521-1

#### 10.3.1.3 Applicability

This test applies to Type 2 UEs.

#### 10.3.1.4 Test conditions

[SS configuration]

NR-RAN Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

rootSequenceIndex = 0

DL Modulation / Coding = 27

UL Modulation / Coding = 27

RB Allocation UL= Full

RB Allocation DL = Full

simultaneousAckNackAndCQI = TRUE

enableDL4x4MIMO = TRUE

enableDL256QAM = TRUE

enableUL2x2MIMO = TRUE

enableUL64QAM = TRUE

CPCConfig = UL: Normal CP/DL: Normal CP

subcarrierSpacing=30kHz

DL/UL switch period=5ms

[Common conditions]

Common conditions include a set of test points the UE needs to be tested in and the common test parameters for each transmission mode.

Table 10.3.1.4-1: Test Points Configuration

| Test Point | Propagation Conditions | Correlation Matrices |
|------------|------------------------|----------------------|
| NR-1       | Static                 | N/A                  |

Table 10.3.1.4-2: Test Parameters for Testing

| Parameter                 |          | Unit      | Value      |
|---------------------------|----------|-----------|------------|
| Downlink power allocation | $\rho_A$ | dB        | -3         |
|                           | $\rho_B$ | dB        | -3(Note 1) |
| $N_{oc}$ at antenna port  |          | dBm/30kHz | -98        |
| Reporting mode            |          |           | PUCCH 1-0  |
| Note 1: $P_B = 1$         |          |           |            |

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

[Initial conditions]

SS

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the

SS to take with the UE to reach the correct measurement state.

Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2 of TS

Configurations of PUSCH and PUCCH before measurement are specified in Annex G.2 of TS 38.521-1.

38.521-1.

Test Environment: Normal, as defined in TS 38.508-1 clause 4.1.

Frequencies to be tested: Mid Range, as defined in TS 38.508-1.

1. Connect the SS to the UE antenna connectors as shown in TS 36.508-1 Annex A, Figure A.3.2.1.5 for antenna configuration 4x4.
2. Connect an application server to the IP output of the SS configured with a FTP server.
3. Downlink signals are initially set up according to Annex C0, C.1 and Annex C.3.1 in TS 38.521-1 and uplink signals according to Annex G.1 and G.3.2 in TS 38.521-1.
4. Propagation conditions are set according to Annex B.0 in TS 38.521-1.

UE

UE is powered off

### 10.3.1.5 Test procedure

#### PREAMBLE

1. SS Activates NR-RAN Cell A configures the corresponding Master Information Block and System Information Block broadcast.
2. SS transmits PDSCH via PDCCH DCI format 1\_0 for C\_RNTI to transmit the DL data using the fixed transport format and transport block size.

3. Set the parameters of the propagation condition, the correlation matrix, antenna configuration according to Table 10.3.1.4-1 & Table 10.3.1.4-2.

**MAIN BODY**

4. The UE is powered ON.
5. UE performs a Registration procedure on NR-RAN Cell A to register for PS services. The UE establishes the default MCG bearer during the registration according to TS 38.508 subclause 4.5.2.
6. The SS transmits an RRC Connection Reconfiguration.
7. UE transmit an RRC Connection Reconfiguration Complete message to SS.
8. Using the FTP client, begin FTP download from the application server for [90] seconds and record Throughput result.
9. Repeat step 10 for one more iteration.
10. Calculate the average throughput at the application layer on the downlink channel during the file transfer over all iterations.
11. Switch Off UE, UE initiates a Detach procedure.

**POSTAMBLE**

12. Deactivate Cell.

**Table 10.3.1.5-1: Message Sequence**

| Step | Message Sequence |            |  |                   | Verdict |
|------|------------------|------------|--|-------------------|---------|
|      | U-S              | Layer      | Message  | Specific Contents |         |
| 1    | ←                | RRC        | The SS Activates NR-RAN Cell A configures the corresponding Master Information Block and System Information Block broadcast              |                   |         |
| 2    | SS               |            | SS transmits PDSCH via PDCCH DCI format 1-0 for C_RNTI   |                   |         |
| 3    | SS               |            | Set the parameters of the bandwidth, propagation condition, correlation matrix, Special subframe configuration and antenna configuration |                   |         |
| 4    |                  |            | Cell Power is set  |                   |         |
| 5    | UE               |            | Switch On UE   | AT Command        |         |
| 6    | ←<br>→           | NAS<br>RRC | UE performs Registration procedure on NR-RAN Cell A  |                   | PASS    |
| 7    | ←                | RRC        | SS sends RRC Connection Reconfiguration message  |                   |         |
| 8    | →                | RRC        | The UE transmits RRC Connection Reconfiguration Complete message   |                   | PASS    |
| 9    |                  |            | Using FTP Client begin FTP Download  |                   | PASS    |
| 10   |                  |            | Repeat Step 10   |                   |         |
| 11   | SS               |            | Calculate Average Throughput   |                   | PASS    |
| 12   |                  |            | Switch Off UE  | AT Command        |         |

|    |    |  |                   |  |  |
|----|----|--|-------------------|--|--|
| 13 | SS |  | Deactivate Cell A |  |  |
|----|----|--|-------------------|--|--|

### 10.3.1.6 Expected Result

1. Calculate and record the average TCP throughput.
2. Count and record the overall number of ACK and NACK/DTX on the PUSCH/PUCCH during the test interval.
3. The average downlink throughput at the application layer shall meet or exceed the value in following table.

Table 10.3.1.6-1: expected result

| Band | Expected DL UDP Throughput | Expected UL UDP Throughput |
|------|----------------------------|----------------------------|
| n41  | [1.53 Gbps]                | [250 Mbps]                 |

## 10.3.2 Bidirectional Throughput under fading channel, 256QAM, DL 4\*4 and UL 2\*2 MIMO

### 10.3.2.1 Test Purpose

To measure the UE application layer Bidirectional performance while downloading & uploading simultaneously UDP based data in Conducted, Fading Channel, SA Option2, 100 MHz BW, Full Resource Allocation, 256QAM, DL 4\*4 and UL 2\*2 MIMO

### 10.3.2.2 Reference specification

3GPP TS 38.331, 3GPP TS 38.508, 3GPP TS 38.521-1

### 10.3.2.3 Applicability

This test applies to Type 2 UEs.

### 10.3.2.4 Test conditions

[SS configuration]  
 NR-RAN Cell A  
 Cell Id=01 TAC = 01  
 MCC = 460 MNC = 00  
 NR-ARFCN= f1  
 rootSequenceIndex = 0  
 DL Modulation / Coding = 27  
 UL Modulation / Coding = 27  
 RB Allocation UL= Full  
 RB Allocation DL = Full  
 simultaneousAckNackAndCQI = TRUE  
 enableDL4x4MIMO = TRUE  
 enableDL256QAM = TRUE  
 enableUL2x2MIMO = TRUE

enableUL64QAM = TRUE  
 CPConfig = UL: Normal CP/DL: Normal CP  
 subcarrierSpacing=30kHz  
 DI/UL switch period=5ms

[Common conditions]

Common conditions include a set of test points the UE needs to be tested in and the common test parameters for each transmission mode.

Table 10.3.2.4-1: Test Points Configuration

| Test Point | Propagation Conditions      | Correlation Matrices |
|------------|-----------------------------|----------------------|
| NR-1       | Fading Profile :<br>TDLA30  | Low                  |
| NR-2       | Fading Profile :<br>TDLB100 | Low                  |
| NR-3       | Fading Profile :<br>TDLC300 | Low                  |

Table 10.3.2.4-2: Test Parameters for Testing

| Parameter                 | Unit      | Value     |
|---------------------------|-----------|-----------|
| Downlink power allocation | $\rho_A$  | dB        |
|                           | $\rho_B$  | dB        |
| $N_{oc}$ at antenna port  | dBm/30kHz | -98       |
| Reporting mode            |           | PUCCH 1-0 |
| Note 1: $P_B = 1$         |           |           |

[UE configuration]

The test USIM shall be inserted.  
 The UE is in AUTOMATIC network selection mode.

[Initial conditions]

SS

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2 of TS 38.521-1.

Test Environment: Normal, as defined in TS 38.508-1 clause 4.1.

Frequencies to be tested: Mid Range, as defined in TS 38.508-1.

1. Connect the SS to the UE antenna connectors as shown in TS 36.508-1 Annex A, Figure A.3.2.1.5 for antenna configuration 4x4.

2. Connect an application server to the IP output of the SS configured with a FTP server.
3. Downlink signals are initially set up according to Annex C0, C.1 and Annex C.3.1 in TS 38.521-1 and uplink signals according to Annex G.1 and G.3.2 in TS 38.521-1.
4. Propagation conditions are set according to Annex B.0 in TS 38.521-1.

UE

UE is powered off

**10.3.2.5 Test procedure**

**PREAMBLE**

1. SS Activates NR-RAN Cell A configures the corresponding Master Information Block and System Information Block broadcast.
2. SS transmits PDSCH via PDCCH DCI format 1\_0 for C\_RNTI to transmit the DL data using the fixed transport format and transport block size.
3. Set the parameters of the propagation condition, the correlation matrix, antenna configuration according to Table 10.3.2.4-1 & Table 10.3.2.4-2.

**MAIN BODY**

4. The UE is powered ON.
5. UE performs a Registration procedure on NR-RAN Cell A to register for PS services. The UE establishes the default MCG bearer during the registration according to TS 38.508 subclause 4.5.2.
6. The SS transmits an RRC Connection Reconfiguration.
7. UE transmit an RRC Connection Reconfiguration Complete message to SS.
8. Using the FTP client, begin FTP download from the application server for [90] seconds and record Throughput result.
9. Repeat step 10 for one more iteration.
10. Calculate the average throughput at the application layer on the downlink channel during the file transfer over all iterations.
11. Switch Off UE, UE initiates a Detach procedure.

**POSTAMBLE**

12. Deactivate Cell.

**Table 10.3.2.5-1: Message Sequence**

| Step | Message Sequence |       |   | Verdict |
|------|------------------|-------|---|---------|
|      | U-S              | Layer | Message   |         |
| 1    | ←                | RRC   | The SS Activates NR-RAN Cell A configures the corresponding Master Information Block and System Information Block broadcast |         |
| 2    | SS               |       | SS transmits PDSCH via PDCCH DCI format 1-0 for C_RNTI  |         |

|    |    |            |  |            |      |
|----|----|------------|--|------------|------|
| 3  | SS |            | Set the parameters of the bandwidth, propagation condition, correlation matrix, Special subframe configuration and antenna configuration |            |      |
| 4  |    |            | Cell Power is set  |            |      |
| 5  | UE |            | Switch On UE   | AT Command |      |
| 6  | ↔  | NAS<br>RRC | UE performs Registration procedure on NR-RAN Cell A  |            | PASS |
| 7  | ←  | RRC        | SS sends RRC Connection Reconfiguration message  |            |      |
| 8  | →  | RRC        | The UE transmits RRC Connection Reconfiguration Complete message   |            | PASS |
| 9  |    |            | Using FTP Client begin FTP Download  |            | PASS |
| 10 |    |            | Repeat Step 10   |            |      |
| 11 | SS |            | Calculate Average Throughput   |            | PASS |
| 12 |    |            | Switch Off UE  | AT Command |      |
| 13 | SS |            | Deactivate Cell A  |            |      |

**10.3.2.6 Expected Result**

1. Calculate and record the average throughput.
2. Count and record the overall number of ACK and NACK/DTX on the PUSCH/PUCCH during the test interval.
3. The average downlink throughput at the application layer shall meet or exceed the value in following table.

Table 10.3.2.6-1: expected result

| Band | Test Point | Expected DL Throughput | Expected UL Throughput |
|------|------------|------------------------|------------------------|
| n41  | NR-1       | FFS                    | FFS                    |
| n41  | NR-2       | FFS                    | FFS                    |
| n41  | NR-3       | FFS                    | FFS                    |

**10.4 Downlink TCP Throughput, NSA**

**10.4.1 DL Throughput under static channel, NSA**

**10.4.1.1 Test Purpose**

To measure the UE application layer downlink performance while downloading TCP based data in Conducted, Static Channel, NSA Option3, 100 MHz BW, Full Resource Allocation, 256QAM, DL NR 4\*4 MIMO + LTE 2\*2 MIMO, data transmission on MCG and SCG simultaneously

**10.4.1.2 Reference specification**

3GPP TS 36.331, 3GPP TS 36.508, 3GPP TS 36.521-1, 3GPP TS 38.331, 3GPP TS 38.508, 3GPP TS

38.521-1

**10.4.1.3 Applicability**

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

**10.4.1.4 Test conditions**

[SS configuration]

E-UTRAN Cell A,  
NR-RAN Cell B

E-UTRAN Cell A,  
Cell Id=01 TAC = 01  
MCC = 460 MNC = 00  
EARFCN= f1  
rootSequenceIndex = 0  
DL Modulation / Coding = 27  
RB Allocation UL= Full  
RB Allocation DL = Full  
simultaneousAckNackAndCQI = TRUE  
enable256QAM = TRUE  
CPConfig = UL: Normal CP/DL: Normal CP

NR-RAN Cell B,  
Cell Id=02 TAC = 01  
NR-ARFCN= f1  
rootSequenceIndex = 0  
DL Modulation / Coding = 27  
RB Allocation UL= Full  
RB Allocation DL = Full  
simultaneousAckNackAndCQI = TRUE  
enable4x4MIMO = TRUE  
enable256QAM = TRUE  
CPConfig = UL: Normal CP/DL: Normal CP

[Common conditions]

Common conditions include a set of test points the UE needs to be tested in and the common test parameters for each transmission mode.

Table 10.4.1.4-1: Test Points Configuration

| Test Point | Propagation Conditions | Correlation Matrices |
|------------|------------------------|----------------------|
| NSA-1      | Static                 | N/A                  |

Table 10.4.1.4-2: Test Parameters for Testing



| Parameter                    |          | Unit      | Value      |
|------------------------------|----------|-----------|------------|
| Downlink power allocation    | $\rho_A$ | dB        | -3         |
|                              | $\rho_B$ | dB        | -3(Note 1) |
| LTE $N_{oc}$ at antenna port |          | dBm/15kHz | -98        |
| NR $N_{oc}$ at antenna port  |          | dBm/30kHz | -98        |
| Reporting mode               |          |           | PUCCH 1-0  |
| Note 1: $P_B = 1$            |          |           |            |

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

[Initial conditions]

SS

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

Configurations of LTE PDSCH and PDCCH before measurement are specified in Annex C.2 of TS 36.521-1.

Configurations of NR PDSCH and PDCCH before measurement are specified in Annex C.2 of TS 38.521-1.

Test Environment: Normal, as defined in TS 36.508 & TS 38.508-1 clause 4.1 for LTE & NR respectively.

1. Connect the LTE SS, the faders and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 Annex A, Figure A.10 for antenna configuration 2x2.
2. Connect the NR SS, the faders and AWGN noise sources to the UE antenna connectors as shown in TS 36.508-1 Annex A, Figure A.3.2.1.5 for antenna configuration 4x4.
3. Connect an application server to the IP output of the SS configured with a FTP server.
4. LTE Downlink signals are initially set up according to Annex C.0, C.1 and Annex C.3.2 in TS 36.521-1 and uplink signals according to Annex H.1 and H.3.2 in TS 36.521-1.
5. NR Downlink signals are initially set up according to Annex C0, C.1 and Annex C.3.1 in TS 38.521-1 and uplink signals according to Annex G.1 and G.3.2 in TS 38.521-1.
6. LTE Propagation conditions are set according to Annex B.0 in TS 36.521-1.
7. NR Propagation conditions are set according to Annex B.0 in TS 38.521-1.

UE

UE is powered off

#### 10.4.1.5 Test procedure

**PREAMBLE**

1. SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast.
2. SS transmits LTE PDSCH via PDCCH DCI format 2A for C\_RNTI to transmit the DL data using the transport format and transport block size.
3. Set the parameters of the bandwidth, the propagation condition, the correlation matrix, EN-DC Configuration, Special subframe configuration, antenna configuration according to Table 10.4.1.4-1, Table 10.4.1.4-2, as appropriate. Transmission mode is set to TM3.
4. SNR is set according to Table 5.1.1.4-1. Here  $SNR = Cell\_power / Noc$ , in which Cell\_power(EPRE) is a constant of -68dBm/15kHz, following the definition of TS 36.508 & 38.508.

**MAIN BODY**

5. The UE is powered ON.
6. UE performs a Registration procedure on E-UTRAN Cell A to register for PS services. The UE establishes the default EPS bearer during the registration according to TS 36.508 subclause 4.5.2(steps 1-16).
7. SS Activates NR Cell B.
8. The SS transmits an RRC Connection Reconfiguration message containing information regarding NR Cell B and to establish MCG & SCG.
9. UE transmit an RRC Connection Reconfiguration Complete message to SS.
10. Using the FTP client, begin FTP download from the application server for [90] seconds and record Throughput result.
11. Repeat step 10 for one more iteration.
12. Calculate the average throughput at the application layer on the downlink channel during the file transfer over all iterations.
13. Switch Off UE, UE initiates a Detach procedure.

**POSTAMBLE**

14. Deactivate Cell A and Cell B.

Table 10.4.1.5-1: Message Sequence

| Step | Message Sequence |       |   | Verdict |
|------|------------------|-------|---|---------|
|      | U-S              | Layer | Message   |         |
| 1    | ←                | RRC   | The SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast                                  |         |
| 2    | SS               |       | SS transmits PDSCH via PDCCH DCI format 2A for C_RNTI   |         |
| 3    | SS               |       | Set the parameters of the bandwidth, propagation condition, correlation matrix, EN-DC Configuration, Special subframe configuration and antenna configuration |         |
| 4    |                  |       | Cell Power is set   |         |

|    |    |            |  |            |      |
|----|----|------------|--|------------|------|
| 5  | UE |            | Switch On UE   | AT Command |      |
| 6  | ↔  | NAS<br>RRC | UE performs Registration procedure on E-UTRAN Cell A             |            | PASS |
| 7  |    |            | The SS activates NR Cell B                                       |            |      |
| 8  | ←  | RRC        | SS sends RRC Connection Reconfiguration message                  |            |      |
| 9  | →  | RRC        | The UE transmits RRC Connection Reconfiguration Complete message |            | PASS |
| 10 |    |            | Using FTP Client begin FTP Download                              |            | PASS |
| 11 |    |            | Repeat Step 10   |            |      |
| 12 | SS |            | Calculate Average Throughput                                     |            | PASS |
| 13 |    |            | Switch Off UE  | AT Command |      |
| 14 | SS |            | Deactivate Cell A & Cell B                                       |            |      |

**10.4.1.6 Expected Result**

1. Calculate and record the average downlink TCP throughput
2. Calculate and record the average downlink throughput in MAC layer in SCG cell and MCG cell respectively
3. Count and record the overall number of ACK and NACK/DTX on the PUSCH/PUCCH during the test interval.
4. The average downlink throughput at the application layer shall meet or exceed the value in following table.

Table 10.4.1.6-1: Expected Result for EN-DC FDD LTE Band + NR Band

| Band Combination | Expected DL TCP Throughput | Expected DL MAC Throughput in MCG | Expected DL MAC Throughput in SCG |
|------------------|----------------------------|-----------------------------------|-----------------------------------|
| B3+n41           | [1.8 Gbps]                 | [100 Mbps]                        | [1.7 Gbps]                        |

**10.4.2 DL Throughput under fading channel, NSA**

**10.4.2.1 Test Purpose**

To measure the UE application layer downlink performance while downloading TCP based data in Conducted, fading Channel, NSA Option3, 100 MHz BW, Full Resource Allocation, dynamic scheduling based on channel condition, data transmission on MCG and SCG simultaneously

**10.4.2.2 Reference specification**

3GPP TS 36.331, 3GPP TS 36.508, 3GPP TS 36.521-1, 3GPP TS 38.331, 3GPP TS 38.508, 3GPP TS 38.521-1

**10.4.2.3 Applicability**

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

**10.4.2.4 Test conditions**

[SS configuration]

E-UTRAN Cell A,  
NR-RAN Cell B

E-UTRAN Cell A,  
Cell Id=01 TAC = 01  
MCC = 460 MNC = 00  
EARFCN= f1  
rootSequenceIndex = 0  
RB Allocation UL= Full  
RB Allocation DL = Full  
simultaneousAckNackAndCQI = TRUE

CPCofig = UL: Normal CP/DL: Normal CP

NR-RAN Cell B,  
Cell Id=02 TAC = 01  
NR-ARFCN= f1  
rootSequenceIndex = 0  
RB Allocation UL= Full  
RB Allocation DL = Full  
simultaneousAckNackAndCQI = TRUE  
CPCofig = UL: Normal CP/DL: Normal CP

[Common conditions]

Common conditions include a set of test points the UE needs to be tested in and the common test parameters for each transmission mode.

Table 10.4.2.4-1: Test Points Configuration

| Test Point | Propagation Conditions                                     | Correlation Matrices |
|------------|--|----------------------|
| NSA-1      | LTE Fading Profile :<br>EPA5 , NR Fading Profile : TDLA30  | Low                  |
| NSA-2      | LTE Fading Profile :<br>EPA5 , NR Fading Profile : TDLB100 | Low                  |
| NSA-3      | LTE Fading Profile :<br>EVA5 , NR Fading Profile : TDLC300 | Low                  |

Table 10.4.2.4-2: Test Parameters for Testing

| Parameter                    |          | Unit      | Value      |
|------------------------------|----------|-----------|------------|
| Downlink power allocation    | $\rho_A$ | dB        | -3         |
|                              | $\rho_B$ | dB        | -3(Note 1) |
| LTE $N_{oc}$ at antenna port |          | dBm/15kHz | -98        |
| NR $N_{oc}$ at antenna port  |          | dBm/30kHz | -98        |
| Reporting mode               |          |           | PUCCH 1-0  |
| Note 1: $P_B = 1$            |          |           |            |

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

[Initial conditions]

SS

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

Configurations of LTE PDSCH and PDCCH before measurement are specified in Annex C.2 of TS 36.521-1.

Configurations of NR PDSCH and PDCCH before measurement are specified in Annex C.2 of TS 38.521-1.

Test Environment: Normal, as defined in TS 36.508 & TS 38.508-1 clause 4.1 for LTE & NR respectively.

Frequencies to be tested: Mid Range, as defined in TS 36.508 & TS 38.508-1 for LTE & NR respectively.

1. Connect the LTE SS, the faders and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 Annex A, Figure A.10 for antenna configuration 2x2.
2. Connect the NR SS, the faders and AWGN noise sources to the UE antenna connectors as shown in TS 38.508-1 Annex A, Figure A.3.2.1.5 for antenna configuration 4x4.
3. Connect an application server to the IP output of the SS configured with a FTP server.
4. LTE Downlink signals are initially set up according to Annex C.0, C.1 and Annex C.3.2 in TS 36.521-1 and uplink signals according to Annex H.1 and H.3.2 in TS 36.521-1.
5. NR Downlink signals are initially set up according to Annex C0, C.1 and Annex C.3.1 in TS 38.521-1 and uplink signals according to Annex G.1 and G.3.2 in TS 38.521-1.
6. LTE Propagation conditions are set according to Annex B.0 in TS 36.521-1.
7. NR Propagation conditions are set according to Annex B.0 in TS 38.521-1.

UE

UE is powered off

### 10.4.2.5 Test procedure

#### PREAMBLE

1. SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast.
2. SS transmits LTE PDSCH via PDCCH DCI format 2A for C\_RNTI to transmit the DL data using the transport format and transport block size.
3. Set the parameters of the bandwidth, the propagation condition, the correlation matrix, EN-DC Configuration, Special subframe configuration, antenna configuration according to Table 10.4.2.4-1, Table 10.4.2.4-2, as appropriate. Transmission mode is set to TM3.
4. SNR is set according to Table 10.4.1.4-1. Here  $SNR = Cell\_power / Noc$ , in which  $Cell\_power(EPRE)$  is a constant of  $-68dBm/15kHz$ , following the definition of TS 36.508 & 38.508.

#### MAIN BODY

5. The UE is powered ON.
6. UE performs a Registration procedure on E-UTRAN Cell A to register for PS services. The UE establishes the default EPS bearer during the registration according to TS 36.508 subclause 4.5.2(steps 1-16).
7. SS Activates NR Cell B.
8. The SS transmits an RRC Connection Reconfiguration message containing information regarding NR Cell B and to establish MCG & SCG.
9. UE transmit an RRC Connection Reconfiguration Complete message to SS.
10. Using the FTP client, begin FTP download from the application server for [90] seconds and record Throughput result.
11. Repeat step 10 for one more iteration.
12. Calculate the average throughput at the application layer on the downlink channel during the file transfer over all iterations.
13. Switch Off UE, UE initiates a Detach procedure.

#### POSTAMBLE

14. Deactivate Cell A and Cell B.

Table 10.4.2.5-1: Message Sequence

| Step | Message Sequence |       |  | Verdict |
|------|------------------|-------|--|---------|
|      | U-S              | Layer | Message  |         |
| 1    | ←                | RRC   | The SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast |         |
| 2    | SS               |       | SS transmits PDSCH via PDCCH DCI format 2A for C_RNTI  |         |

|    |    |            |   |            |      |
|----|----|------------|---|------------|------|
| 3  | SS |            | Set the parameters of the bandwidth, propagation condition, correlation matrix, EN-DC Configuration, Special subframe configuration and antenna configuration |            |      |
| 4  |    |            | Cell Power is set   |            |      |
| 5  | UE |            | Switch On UE  | AT Command |      |
| 6  | ↔  | NAS<br>RRC | UE performs Registration procedure on E-UTRAN Cell A  |            | PASS |
| 7  |    |            | The SS activates NR Cell B  |            |      |
| 8  | ←  | RRC        | SS sends RRC Connection Reconfiguration message   |            |      |
| 9  | →  | RRC        | The UE transmits RRC Connection Reconfiguration Complete message  |            | PASS |
| 10 |    |            | Using FTP Client begin FTP Download   |            | PASS |
| 11 |    |            | Repeat Step 10  |            |      |
| 12 | SS |            | Calculate Average Throughput  |            | PASS |
| 13 |    |            | Switch Off UE   | AT Command |      |
| 14 | SS |            | Deactivate Cell A & Cell B  |            |      |

**10.4.2.6 Expected Result**

1. Calculate and record the average downlink TCP throughput.
2. Calculate and record the average downlink throughput in MAC layer in SCG cell and MCG cell respectively
3. Count and record the overall number of ACK and NACK/DTX on the PUSCH/PUCCH during the test interval.
4. The average downlink throughput at the application layer shall meet or exceed the value in following table.

Table 10.4.2.6-1: Expected Result for EN-DC FDD LTE Band + NR Band

| Band Combination | Test Point | Expected DL TCP Throughput | Expected DL MAC Throughput in MCG | Expected DL MAC Throughput in SCG |
|------------------|------------|----------------------------|-----------------------------------|-----------------------------------|
| B3+n41           | NSA-1      | FFS                        | FFS                               | FFS                               |
| B3+n41           | NSA-2      | FFS                        | FFS                               | FFS                               |
| B3+n41           | NSA-3      | FFS                        | FFS                               | FFS                               |

**10.4.3 DL Throughput under static channel on SCG, DL 256QAM, NSA**

**10.4.3.1 Test Purpose**

To measure the UE application layer downlink performance while downloading TCP based data in Conducted, Static Channel, NSA Option3x, 100 MHz BW, Full Resource Allocation, 256QAM, DL NR 4\*4 MIMO, data transmission on SCG

**10.4.3.2 Reference specification**

3GPP TS 36.331, 3GPP TS 36.508, 3GPP TS 36.521-1, 3GPP TS 38.331, 3GPP TS 38.508, 3GPP TS 38.521-1

**10.4.3.3 Applicability**

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

**10.4.3.4 Test conditions**

[SS configuration]

E-UTRAN Cell A,  
NR-RAN Cell B

E-UTRAN Cell A,  
Cell Id=01 TAC = 01  
MCC = 460 MNC = 00  
EARFCN= f1  
rootSequenceIndex = 0  
DL Modulation / Coding = 27  
RB Allocation UL= Full  
RB Allocation DL = Full  
simultaneousAckNackAndCQI = TRUE  
enable256QAM = TRUE  
CPConfig = UL: Normal CP/DL: Normal CP

NR-RAN Cell B,  
Cell Id=02 TAC = 01  
NR-ARFCN= f1  
rootSequenceIndex = 0  
DL Modulation / Coding = 27  
RB Allocation UL= Full  
RB Allocation DL = Full  
simultaneousAckNackAndCQI = TRUE  
enable4x4MIMO = TRUE  
enable256QAM = TRUE  
CPConfig = UL: Normal CP/DL: Normal CP

[Common conditions]

Common conditions include a set of test points the UE needs to be tested in and the common test parameters for each transmission mode.

Table 10.4.3.4-1: Test Points Configuration

| Test Point | Propagation Conditions | Correlation Matrices |
|------------|------------------------|----------------------|
|------------|------------------------|----------------------|



|       |        |     |
|-------|--------|-----|
| NSA-1 | Static | N/A |
|-------|--------|-----|

Table 10.4.3.4-2: Test Parameters for Testing

| Parameter                    | Unit      | Value     |
|------------------------------|-----------|-----------|
| Downlink power allocation    | $\rho_A$  | dB        |
|                              | $\rho_B$  | dB        |
| LTE $N_{oc}$ at antenna port | dBm/15kHz | -98       |
| NR $N_{oc}$ at antenna port  | dBm/30kHz | -98       |
| Reporting mode               |           | PUCCH 1-0 |
| Note 1: $P_B = 1$            |           |           |

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

[Initial conditions]

SS

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

Configurations of LTE PDSCH and PDCCH before measurement are specified in Annex C.2 of TS 36.521-1.

Configurations of NR PDSCH and PDCCH before measurement are specified in Annex C.2 of TS 38.521-1.

Test Environment: Normal, as defined in TS 36.508 & TS 38.508-1 clause 4.1 for LTE & NR respectively.

1. Connect the LTE SS, the faders and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 Annex A, Figure A.10 for antenna configuration 2x2.
2. Connect the NR SS, the faders and AWGN noise sources to the UE antenna connectors as shown in TS 36.508-1 Annex A, Figure A.3.2.1.5 for antenna configuration 4x4.
3. Connect an application server to the IP output of the SS configured with a FTP server.
4. LTE Downlink signals are initially set up according to Annex C.0, C.1 and Annex C.3.2 in TS 36.521-1 and uplink signals according to Annex H.1 and H.3.2 in TS 36.521-1.
5. NR Downlink signals are initially set up according to Annex C0, C.1 and Annex C.3.1 in TS 38.521-1 and uplink signals according to Annex G.1 and G.3.2 in TS 38.521-1.
6. LTE Propagation conditions are set according to Annex B.0 in TS 36.521-1.
7. NR Propagation conditions are set according to Annex B.0 in TS 38.521-1.

UE

UE is powered off

### 10.4.3.5 Test procedure

#### PREAMBLE

1. SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast.
2. SS transmits LTE PDSCH via PDCCH DCI format 2A for C\_RNTI to transmit the DL data using the transport format and transport block size.
3. Set the parameters of the bandwidth, the propagation condition, the correlation matrix, EN-DC Configuration, Special subframe configuration, antenna configuration according to Table 10.4.1.4-1, Table 10.4.1.4-2, as appropriate. Transmission mode is set to TM3.
4. SNR is set according to Table 5.1.1.4-1. Here  $SNR = Cell\_power / Noc$ , in which Cell\_power(EPRE) is a constant of -68dBm/15kHz, following the definition of TS 36.508 & 38.508.

#### MAIN BODY

5. The UE is powered ON.
6. UE performs a Registration procedure on E-UTRAN Cell A to register for PS services. The UE establishes the default EPS bearer during the registration according to TS 36.508 subclause 4.5.2(steps 1-16).
7. SS Activates NR Cell B.
8. The SS transmits an RRC Connection Reconfiguration message containing information regarding NR Cell B and to establish SCG.
9. UE transmit an RRC Connection Reconfiguration Complete message to SS.
10. Using the FTP client, begin FTP download from the application server for [90] seconds and record Throughput result.
11. Repeat step 10 for one more iteration.
12. Calculate the average throughput at the application layer on the downlink channel during the file transfer over all iterations.
13. Switch Off UE, UE initiates a Detach procedure.

#### POSTAMBLE

14. Deactivate Cell A and Cell B.

Table 10.4.3.5-1: Message Sequence

| Step | Message Sequence |       |  | Verdict |
|------|------------------|-------|--|---------|
|      | U-S              | Layer | Message  |         |
| 1    | ←                | RRC   | The SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast |         |
| 2    | SS               |       | SS transmits PDSCH via PDCCH DCI format 2A for C_RNTI  |         |

|    |    |            |   |            |      |
|----|----|------------|---|------------|------|
| 3  | SS |            | Set the parameters of the bandwidth, propagation condition, correlation matrix, EN-DC Configuration, Special subframe configuration and antenna configuration |            |      |
| 4  |    |            | Cell Power is set   |            |      |
| 5  | UE |            | Switch On UE  | AT Command |      |
| 6  | ↔  | NAS<br>RRC | UE performs Registration procedure on E-UTRAN Cell A  |            | PASS |
| 7  |    |            | The SS activates NR Cell B  |            |      |
| 8  | ←  | RRC        | SS sends RRC Connection Reconfiguration message   |            |      |
| 9  | →  | RRC        | The UE transmits RRC Connection Reconfiguration Complete message  |            | PASS |
| 10 |    |            | Using FTP Client begin FTP Download   |            | PASS |
| 11 |    |            | Repeat Step 10  |            |      |
| 12 | SS |            | Calculate Average Throughput  |            | PASS |
| 13 |    |            | Switch Off UE   | AT Command |      |
| 14 | SS |            | Deactivate Cell A & Cell B  |            |      |

#### 10.4.3.6 Expected Result

1. Calculate and record the average downlink TCP throughput
2. Count and record the overall number of ACK and NACK/DTX on the PUSCH/PUCCH during the test interval.
3. The average downlink throughput at the application layer shall meet or exceed the value in following table.

Table 10.4.3.6-1: Expected Result for EN-DC FDD LTE Band + NR Band

| Band Combination | Expected DL TCP Throughput |
|------------------|----------------------------|
| B3+n41           | [1.7Gbps]                  |
| B3+n79           | [0.78 Gbps]                |

#### 10.4.4 DL Throughput under fading channel on SCG, NSA

##### 10.4.4.1 Test Purpose

To measure the UE application layer downlink performance while downloading TCP based data in Conducted, fading Channel, NSA Option3x, 100 MHz BW, Full Resource Allocation, dynamic scheduling based on channel condition, data transmission on SCG

##### 10.4.4.2 Reference specification

3GPP TS 36.331, 3GPP TS 36.508, 3GPP TS 36.521-1, 3GPP TS 38.331, 3GPP TS 38.508, 3GPP TS 38.521-1

##### 10.4.4.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

**10.4.4.4 Test conditions**

[SS configuration]

E-UTRAN Cell A,

NR-RAN Cell B

E-UTRAN Cell A,

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

EARFCN= f1

rootSequenceIndex = 0

RB Allocation UL= Full

RB Allocation DL = Full

simultaneousAckNackAndCQI = TRUE

CPConfig = UL: Normal CP/DL: Normal CP

NR-RAN Cell B,

Cell Id=02 TAC = 01

NR-ARFCN= f1

rootSequenceIndex = 0

RB Allocation UL= Full

RB Allocation DL = Full

simultaneousAckNackAndCQI = TRUE

CPConfig = UL: Normal CP/DL: Normal CP

[Common conditions]

Common conditions include a set of test points the UE needs to be tested in and the common test parameters for each transmission mode.

Table 10.4.4.4-1: Test Points Configuration

| Test Point | Propagation Conditions                                  | Correlation Matrices |
|------------|---|----------------------|
| NSA-1      | LTE Fading Profile : EPA5 , NR Fading Profile : TDLA30  | Low                  |
| NSA-2      | LTE Fading Profile : EPA5 , NR Fading Profile : TDLB100 | Low                  |
| NSA-3      | LTE Fading Profile : EVA5 , NR Fading Profile : TDLC300 | Low                  |

Table 10.4.4.4-2: Test Parameters for Testing

| Parameter                    |          | Unit      | Value      |
|------------------------------|----------|-----------|------------|
| Downlink power allocation    | $\rho_A$ | dB        | -3         |
|                              | $\rho_B$ | dB        | -3(Note 1) |
| LTE $N_{oc}$ at antenna port |          | dBm/15kHz | -98        |
| NR $N_{oc}$ at antenna port  |          | dBm/30kHz | -98        |
| Reporting mode               |          |           | PUCCH 1-0  |
| Note 1: $P_B = 1$            |          |           |            |

## [UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

## [Initial conditions]

## SS

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

Configurations of LTE PDSCH and PDCCH before measurement are specified in Annex C.2 of TS 36.521-1.

Configurations of NR PDSCH and PDCCH before measurement are specified in Annex C.2 of TS 38.521-1.

Test Environment: Normal, as defined in TS 36.508 & TS 38.508-1 clause 4.1 for LTE & NR respectively.

1. Connect the LTE SS, the faders and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 Annex A, Figure A.10 for antenna configuration 2x2.
2. Connect the NR SS, the faders and AWGN noise sources to the UE antenna connectors as shown in TS 38.508-1 Annex A, Figure A.3.2.1.5 for antenna configuration 4x4.
3. Connect an application server to the IP output of the SS configured with a FTP server.
4. LTE Downlink signals are initially set up according to Annex C.0, C.1 and Annex C.3.2 in TS 36.521-1 and uplink signals according to Annex H.1 and H.3.2 in TS 36.521-1.
5. NR Downlink signals are initially set up according to Annex C.0, C.1 and Annex C.3.1 in TS 38.521-1 and uplink signals according to Annex G.1 and G.3.2 in TS 38.521-1.
6. LTE Propagation conditions are set according to Annex B.0 in TS 36.521-1.
7. NR Propagation conditions are set according to Annex B.0 in TS 38.521-1.

## UE

UE is powered off

**10.4.4.5 Test procedure**

PREAMBLE

1. SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast.
2. SS transmits LTE PDSCH via PDCCH DCI format 2A for C\_RNTI to transmit the DL data using the transport format and transport block size.
3. Set the parameters of the bandwidth, the propagation condition, the correlation matrix, EN-DC Configuration, Special subframe configuration, antenna configuration according to Table 10.4.2.4-1, Table 10.4.2.4-2, as appropriate. Transmission mode is set to TM3.
4. SNR is set according to Table 10.4.1.4-1. Here  $SNR = Cell\_power / Noc$ , in which Cell\_power(EPRE) is a constant of -68dBm/15kHz, following the definition of TS 36.508 & 38.508.

MAIN BODY

5. The UE is powered ON.
6. UE performs a Registration procedure on E-UTRAN Cell A to register for PS services. The UE establishes the default EPS bearer during the registration according to TS 36.508 sub clause 4.5.2(steps 1-16).
7. SS Activates NR Cell B.
8. The SS transmits an RRC Connection Reconfiguration message containing information regarding NR Cell B and to establish SCG.
9. UE transmit an RRC Connection Reconfiguration Complete message to SS.
10. Using the FTP client, begin FTP download from the application server for [90] seconds and record Throughput result.
11. Repeat step 10 for one more iteration.
12. Calculate the average throughput at the application layer on the downlink channel during the file transfer over all iterations.
13. Switch Off UE, UE initiates a Detach procedure.

POSTAMBLE

14. Deactivate Cell A and Cell B.

Table 10.4.4.5-1: Message Sequence

| Step | Message Sequence |       |  | Verdict |
|------|------------------|-------|--|---------|
|      | U-S              | Layer | Message  |         |
| 1    | ←                | RRC   | The SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast |         |
| 2    | SS               |       | SS transmits PDSCH via PDCCH DCI format 2A for C_RNTI  |         |

|    |    |            |   |            |      |
|----|----|------------|---|------------|------|
| 3  | SS |            | Set the parameters of the bandwidth, propagation condition, correlation matrix, EN-DC Configuration, Special subframe configuration and antenna configuration |            |      |
| 4  |    |            | Cell Power is set   |            |      |
| 5  | UE |            | Switch On UE  | AT Command |      |
| 6  | ↔  | NAS<br>RRC | UE performs Registration procedure on E-UTRAN Cell A  |            | PASS |
| 7  |    |            | The SS activates NR Cell B  |            |      |
| 8  | ←  | RRC        | SS sends RRC Connection Reconfiguration message   |            |      |
| 9  | →  | RRC        | The UE transmits RRC Connection Reconfiguration Complete message  |            | PASS |
| 10 |    |            | Using FTP Client begin FTP Download   |            | PASS |
| 11 |    |            | Repeat Step 10  |            |      |
| 12 | SS |            | Calculate Average Throughput  |            | PASS |
| 13 |    |            | Switch Off UE   | AT Command |      |
| 14 | SS |            | Deactivate Cell A & Cell B  |            |      |

**10.4.4.6 Expected Result**

1. Calculate and record the average downlink TCP throughput.
2. Calculate and record the average downlink throughput in MAC layer in SCG cell
3. Count and record the overall number of ACK and NACK/DTX on the PUSCH/PUCCH during the test interval.
4. The average downlink throughput at the application layer shall meet or exceed the value in following table.

Table 10.4.4.6-1: Expected Result for EN-DC FDD LTE Band + NR Band

| Band Combination | Test Point | Expected DL TCP Throughput | Expect DL MAC Throughput in SCG |
|------------------|------------|----------------------------|---------------------------------|
| B3+n41           | NSA-1      | FFS                        | FFS                             |
| B3+n41           | NSA-2      | FFS                        | FFS                             |
| B3+n41           | NSA-3      | FFS                        | FFS                             |

**10.5 Uplink TCP Throughput, NSA**

**10.5.1 UL Throughput under static channel, UL 64QAM, NSA**

**10.5.1.1 Test Purpose**

To measure the UE application layer uplink performance while uploading TCP based data in Conducted, Static Channel, NSA Option3, 100 MHz BW, Full Resource Allocation, UL NR 64QAM+LTE 64QAM,data transmission on MCG and SCG simultaneously

**10.5.1.2 Reference specification**

3GPP TS 36.331, 3GPP TS 36.508, 3GPP TS 36.521-1, 3GPP TS 38.331, 3GPP TS 38.508, 3GPP TS 38.521-1

**10.5.1.3 Applicability**

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

**10.5.1.4 Test conditions**

[SS configuration]

E-UTRAN Cell A,  
NR-RAN Cell B

E-UTRAN Cell A,  
Cell Id=01 TAC = 01  
MCC = 460 MNC = 00  
EARFCN= f1  
rootSequenceIndex = 0  
UL Modulation / Coding = 28  
RB Allocation UL= Full  
RB Allocation DL = Full  
simultaneousAckNackAndCQI = TRUE  
enableUI64QAM = TRUE  
CPConfig = UL: Normal CP/DL: Normal CP

NR-RAN Cell B,  
Cell Id=02 TAC = 01  
NR-ARFCN= f1  
rootSequenceIndex = 0  
UL Modulation / Coding = 28  
RB Allocation UL= Full  
RB Allocation DL = Full  
simultaneousAckNackAndCQI = TRUE  
enableUI64QAM = TRUE  
CPConfig = UL: Normal CP/DL: Normal CP

[Common conditions]

Common conditions include a set of test points the UE needs to be tested in and the common test parameters for each transmission mode.

Table 10.5.1.4-1: Test Points Configuration

| Test Point | Propagation Conditions | Correlation Matrices |
|------------|------------------------|----------------------|
|------------|------------------------|----------------------|



|      |        |     |
|------|--------|-----|
| NR-1 | Static | N/A |
|------|--------|-----|

Table 10.5.1.4-2: Test Parameters for Testing

| Parameter                    | Unit      | Value     |
|------------------------------|-----------|-----------|
| Downlink power allocation    | $\rho_A$  | dB        |
|                              | $\rho_B$  | dB        |
| LTE $N_{oc}$ at antenna port | dBm/15kHz | -98       |
| NR $N_{oc}$ at antenna port  | dBm/30kHz | -98       |
| Reporting mode               |           | PUCCH 1-0 |
| Note 1: $P_B = 1$            |           |           |

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

[Initial conditions]

SS

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

Configurations of LTE PUSCH and PUCCH before measurement are specified in Annex H.2 of TS 36.521-1.

Configurations of NR PUSCH and PUCCH before measurement are specified in Annex G.2 of TS 38.521-1.

Test Environment: Normal, as defined in TS 36.508 & TS 38.508-1 clause 4.1 for LTE & NR respectively.

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 Annex A, Figure A.3.1.1.1.
2. Connect an application server to the IP output of the SS configured with a FTP server.
3. LTE Downlink signals are initially set up according to Annex C.0, C.1 and Annex C.3.2 in TS 36.521-1 and uplink signals according to Annex H.1 and H.3.2 in TS 36.521-1.
4. NR Downlink signals are initially set up according to Annex C0, C.1 and Annex C.3.1 in TS 38.521-1 and uplink signals according to Annex G.1 and G.3.2 in TS 38.521-1.
5. LTE Propagation conditions are set according to Annex B.0 in TS 36.521-1.
6. NR Propagation conditions are set according to Annex B.0 in TS 38.521-1.

UE

UE is powered off

### 10.5.1.5 Test procedure

**PREAMBLE**

1. SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast.
2. SS transmits LTE PUSCH via PUCCH DCI format 0 for C\_RNTI to transmit the UL data using the transport format and transport block size. SS transmits PUSCH via PUCCH DCI format 0\_0 for C\_RNTI to transmit the UL data using the fixed transport format and transport block size.
3. Set the parameters of the bandwidth, the propagation condition, the correlation matrix, EN-DC Configuration, Special subframe configuration, antenna configuration according to Table 10.5.1.4-1, Table 10.5.1.4-2, as appropriate. Transmission mode is set to TM3.
4. SNR is set according to Table 10.5.1.4-1. Here  $SNR = Cell\_power / Noc$ , in which  $Cell\_power(EPRE)$  is a constant of -68dBm/15kHz, following the definition of TS 36.508 & 38.508.

**MAIN BODY**

5. The UE is powered ON.
6. UE performs a Registration procedure on E-UTRAN Cell A to register for PS services. The UE establishes the default EPS bearer during the registration according to TS 36.508 subclause 4.5.2(steps 1-16).
7. SS Activates NR Cell B.
8. The SS transmits an RRC Connection Reconfiguration message containing information regarding NR Cell B and to establish MCG & SCG.
9. UE transmit an RRC Connection Reconfiguration Complete message to SS.
10. Using the FTP client, begin FTP upload from the application server for [90] seconds and record Throughput result.
11. Repeat step 10 for one more iteration.
12. Calculate the average throughput at the application layer on the uplink channel during the file transfer over all iterations.
13. Switch Off UE, UE initiates a Detach procedure.

**POSTAMBLE**

14. Deactivate Cell A and Cell B.

Table 10.5.1.5-1: Message Sequence

| Step | Message Sequence |       |  | Verdict |
|------|------------------|-------|--|---------|
|      | U-S              | Layer | Message  |         |
| 1    | ←                | RRC   | The SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast |         |

|    |    |         |   |            |      |
|----|----|---------|---|------------|------|
| 2  | SS |         | SS transmits LTE PUSCH via PUCCH DCI format 0 for C_RNTI to transmit the UL data using the transport format and transport block size. SS transmits PUSCH via PUCCH DCI format 0_0_for C_RNTI to transmit the DL data using the fixed transport format and transport block size. |            |      |
| 3  | SS |         | Set the parameters of the bandwidth, propagation condition, correlation matrix, EN-DC Configuration, Special subframe configuration and antenna configuration   |            |      |
| 4  |    |         | Cell Power is set   |            |      |
| 5  | UE |         | Switch On UE  | AT Command |      |
| 6  | ↔  | NAS RRC | UE performs Registration procedure on E-UTRAN Cell A  |            | PASS |
| 7  |    |         | The SS activates Cell B   |            |      |
| 8  | ←  | RRC     | SS sends RRC Connection Reconfiguration message   |            |      |
| 9  | →  | RRC     | The UE transmits RRC Connection Reconfiguration Complete message  |            | PASS |
| 10 |    |         | Using FTP Client begin FTP Upload   |            | PASS |
| 11 |    |         | Repeat Step 10  |            |      |
| 12 | SS |         | Calculate Average Throughput  |            | PASS |
| 13 |    |         | Switch Off UE   | AT Command |      |
| 14 | SS |         | Deactivate Cell A   |            |      |

**10.5.1.6 Expected Result**

1. Calculate and record the average uplink TCP throughput.
2. Calculate and record the average uplink throughput in MAC layer in SCG cell and MCG cell respectively
3. Count and record the overall number of ACK and NACK/DTX on the PUSCH/PUCCH during the test interval.
4. The average uplink throughput at the application layer shall meet or exceed the value in following table.

Table 10.5.1.6-1: Expected Result for EN-DC FDD LTE Band + NR Band

| Band Combination | Expected UL TCP Throughput | Expected UL MAC Throughput in MCG | Expected UL MAC Throughput in SCG |
|------------------|----------------------------|-----------------------------------|-----------------------------------|
| B3+n41           | [130 Mbps]                 | [37 Mbps]                         | [ 95 Mbps]                        |

**10.5.2 UL Throughput under static channel, UL 256QAM, NSA**

**10.5.2.1 Test Purpose**

To measure the UE application layer uplink performance while uploading TCP based data in

Conducted, Static Channel, NSA Option3, 100 MHz BW, Full Resource Allocation, UL NR 256QAM+LTE 64QAM,data transmission on MCG and SCG simultaneously

### 10.5.2.2 Reference specification

3GPP TS 36.331, 3GPP TS 36.508, 3GPP TS 36.521-1, 3GPP TS 38.331, 3GPP TS 38.508, 3GPP TS 38.521-1

### 10.5.2.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

### 10.5.2.4 Test conditions

[SS configuration]

E-UTRAN Cell A,

NR-RAN Cell B

E-UTRAN Cell A,

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

EARFCN= f1

rootSequenceIndex = 0

UL Modulation / Coding = 28

RB Allocation UL= Full

RB Allocation DL = Full

simultaneousAckNackAndCQI = TRUE

enableUI64QAM = TRUE

CPConfig = UL: Normal CP/DL: Normal CP

NR-RAN Cell B,

Cell Id=02 TAC = 01

NR-ARFCN= f1

rootSequenceIndex = 0

UL Modulation / Coding = 27

RB Allocation UL= Full

RB Allocation DL = Full

simultaneousAckNackAndCQI = TRUE

enableUI256QAM = TRUE

CPConfig = UL: Normal CP/DL: Normal CP

[Common conditions]

Common conditions include a set of test points the UE needs to be tested in and the common test parameters for each transmission mode.

Table 10.5.2.4-1: Test Points Configuration

| Test Point | Propagation Conditions | Correlation Matrices |
|------------|------------------------|----------------------|
| NR-1       | Static                 | N/A                  |

Table 10.5.2.4-2: Test Parameters for Testing

| Parameter                    |          | Unit      | Value      |
|------------------------------|----------|-----------|------------|
| Downlink power allocation    | $\rho_A$ | dB        | -3         |
|                              | $\rho_B$ | dB        | -3(Note 1) |
| LTE $N_{oc}$ at antenna port |          | dBm/15kHz | -98        |
| LTE $N_{oc}$ at antenna port |          | dBm/30kHz | -98        |
| Reporting mode               |          |           | PUCCH 1-0  |
| Note 1: $P_B = 1$            |          |           |            |

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

[Initial conditions]

SS

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

Configurations of LTE PUSCH and PUCCH before measurement are specified in Annex H.2 of TS 36.521-1.

Configurations of NR PUSCH and PUCCH before measurement are specified in Annex G.2 of TS 38.521-1.

Test Environment: Normal, as defined in TS 36.508 & TS 38.508-1 clause 4.1 for LTE & NR respectively.

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 Annex A, Figure A.3.1.1.1.
2. Connect an application server to the IP output of the SS configured with a FTP server.
3. LTE Downlink signals are initially set up according to Annex C.0, C.1 and Annex C.3.2 in TS 36.521-1 and uplink signals according to Annex H.1 and H.3.2 in TS 36.521-1.
4. NR Downlink signals are initially set up according to Annex C0, C.1 and Annex C.3.1 in TS 38.521-1 and uplink signals according to Annex G.1 and G.3.2 in TS 38.521-1.
5. LTE Propagation conditions are set according to Annex B.0 in TS 36.521-1.
6. NR Propagation conditions are set according to Annex B.0 in TS 38.521-1.

UE

UE is powered off

**10.5.2.5 Test procedure**

PREAMBLE

1. SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast.
2. SS transmits LTE PUSCH via PUCCH DCI format 0 for C\_RNTI to transmit the DL data using the transport format and transport block size. SS transmits PUSCH via PUCCH DCI format 0\_0 for C\_RNTI to transmit the UL data using the fixed transport format and transport block size.
3. Set the parameters of the bandwidth, the propagation condition, the correlation matrix, EN-DC Configuration, Special subframe configuration, antenna configuration according to Table 10.5.2.4-1, Table 10.5.2.4-2, as appropriate. Transmission mode is set to TM3.
4. SNR is set according to Table 10.5.2.4-1. Here  $SNR = Cell\_power / Noc$ , in which  $Cell\_power(EPRE)$  is a constant of -68dBm/15kHz, following the definition of TS 36.508 & 38.508.

MAIN BODY

5. The UE is powered ON.
6. UE performs a Registration procedure on E-UTRAN Cell A to register for PS services. The UE establishes the default EPS bearer during the registration according to TS 36.508 subclause 4.5.2(steps 1-16).
7. SS Activates NR Cell B.
8. The SS transmits an RRC Connection Reconfiguration message containing information regarding NR Cell B and to establish MCG & SCG.
9. UE transmit an RRC Connection Reconfiguration Complete message to SS.
10. Using the FTP client, begin FTP upload from the application server for [90] seconds and record Throughput result.
11. Repeat step 10 for one more iteration.
12. Calculate the average throughput at the application layer on the downlink channel during the file transfer over all iterations.
13. Switch Off UE, UE initiates a Detach procedure.

POSTAMBLE

14. Deactivate Cell A and Cell B.

Table 10.5.2.5-1: Message Sequence

| Step | Message Sequence |       |         |                   | Verdict |
|------|------------------|-------|---------|-------------------|---------|
|      | U-S              | Layer | Message | Specific Contents |         |
|      |                  |       |         |                   |         |

|    |    |            |   |            |      |
|----|----|------------|---|------------|------|
| 1  | ←  | RRC        | The SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast  |            |      |
| 2  | SS |            | SS transmits LTE PUSCH via PUCCH DCI format 0 for C_RNTI to transmit the UL data using the transport format and transport block size. SS transmits PUSCH via PUCCH DCI format 0_0 for C_RNTI to transmit the DL data using the fixed transport format and transport block size. |            |      |
| 3  | SS |            | Set the parameters of the bandwidth, propagation condition, correlation matrix, EN-DC Configuration, Special subframe configuration and antenna configuration   |            |      |
| 4  |    |            | Cell Power is set   |            |      |
| 5  | UE |            | Switch On UE  | AT Command |      |
| 6  | ↔  | NAS<br>RRC | UE performs Registration procedure on E-UTRAN Cell A  |            | PASS |
| 7  |    |            | The SS activates Cell B   |            |      |
| 8  | ←  | RRC        | SS sends RRC Connection Reconfiguration message   |            |      |
| 9  | →  | RRC        | The UE transmits RRC Connection Reconfiguration Complete message  |            | PASS |
| 10 |    |            | Using FTP Client begin FTP Upload   |            | PASS |
| 11 |    |            | Repeat Step 10  |            |      |
| 12 | SS |            | Calculate Average Throughput  |            | PASS |
| 13 |    |            | Switch Off UE   | AT Command |      |
| 14 | SS |            | Deactivate Cell A   |            |      |

**10.5.2.6 Expected Result**

1. Calculate and record the average UL TCP throughput.
2. Calculate and record the average uplink throughput in MAC layer in SCG cell and MCG cell respectively
3. Count and record the overall number of ACK and NACK/DTX on the PUSCH/PUCCH during the test interval.
4. The average uplink throughput at the application layer shall meet or exceed the value in following table.

Table 10.5.2.6-1: Expected Result for EN-DC FDD LTE Band + NR Band

| Band Combination | Expected UL TCP Throughput | Expected UL MAC Throughput in MCG | Expected UL MAC Throughput in SCG |
|------------------|----------------------------|-----------------------------------|-----------------------------------|
| B3+n41           | [162 Mbps]                 | [37 Mbps]                         | [ 125 Mbps]                       |

**10.5.3 UL Throughput under static channel on SCG, UL 64QAM, NSA**

### 10.5.3.1 Test Purpose

To measure the UE application layer uplink performance while uploading TCP based data in Conducted, Static Channel, NSA Option3, 100 MHz BW, Full Resource Allocation, UL NR 64QAM , data transmission on SCG

### 10.5.3.2 Reference specification

3GPP TS 36.331, 3GPP TS 36.508, 3GPP TS 36.521-1, 3GPP TS 38.331, 3GPP TS 38.508, 3GPP TS 38.521-1

### 10.5.3.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

### 10.5.3.4 Test conditions

[SS configuration]

E-UTRAN Cell A,  
NR-RAN Cell B

E-UTRAN Cell A,  
Cell Id=01 TAC = 01  
MCC = 460 MNC = 00  
EARFCN= f1  
rootSequenceIndex = 0  
UL Modulation / Coding = 10  
RB Allocation UL= Full  
RB Allocation DL = Full  
simultaneousAckNackAndCQI = TRUE  
CPConfig = UL: Normal CP/DL: Normal CP

NR-RAN Cell B,  
Cell Id=02 TAC = 01  
NR-ARFCN= f1  
rootSequenceIndex = 0  
UL Modulation / Coding = 28  
RB Allocation UL= Full  
RB Allocation DL = Full  
simultaneousAckNackAndCQI = TRUE  
enableUl64QAM = TRUE  
CPConfig = UL: Normal CP/DL: Normal CP

[Common conditions]



Common conditions include a set of test points the UE needs to be tested in and the common test parameters for each transmission mode.

Table 10.5.3.4-1: Test Points Configuration

| Test Point | Propagation Conditions | Correlation Matrices |
|------------|------------------------|----------------------|
| NR-1       | Static                 | N/A                  |

Table 10.5.3.4-2: Test Parameters for Testing

| Parameter                    | Unit      | Value     |
|------------------------------|-----------|-----------|
| Downlink power allocation    | $\rho_A$  | dB        |
|                              | $\rho_B$  | dB        |
| LTE $N_{oc}$ at antenna port | dBm/15kHz | -98       |
| NR $N_{oc}$ at antenna port  | dBm/30kHz | -98       |
| Reporting mode               |           | PUCCH 1-0 |
| Note 1: $P_B = 1$            |           |           |

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

[Initial conditions]

SS

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

Configurations of LTE PUSCH and PUCCH before measurement are specified in Annex H.2 of TS 36.521-1.

Configurations of NR PUSCH and PUCCH before measurement are specified in Annex G.2 of TS 38.521-1.

Test Environment: Normal, as defined in TS 36.508 & TS 38.508-1 clause 4.1 for LTE & NR respectively.

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 Annex A, Figure A.3.1.1.1.
2. Connect an application server to the IP output of the SS configured with a FTP server.
3. LTE Downlink signals are initially set up according to Annex C.0, C.1 and Annex C.3.2 in TS 36.521-1 and uplink signals according to Annex H.1 and H.3.2 in TS 36.521-1.
4. NR Downlink signals are initially set up according to Annex C0, C.1 and Annex C.3.1 in TS 38.521-1 and uplink signals according to Annex G.1 and G.3.2 in TS 38.521-1.
5. LTE Propagation conditions are set according to Annex B.0 in TS 36.521-1.

6. NR Propagation conditions are set according to Annex B.0 in TS 38.521-1.

UE

UE is powered off

**10.5.3.5 Test procedure**

PREAMBLE

1. SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast.
2. SS transmits LTE PUSCH via PUCCH DCI format 0 for C\_RNTI to transmit the UL data using the transport format and transport block size. SS transmits PUSCH via PUCCH DCI format 0\_0 for C\_RNTI to transmit the UL data using the fixed transport format and transport block size.
3. Set the parameters of the bandwidth, the propagation condition, the correlation matrix, EN-DC Configuration, Special subframe configuration, antenna configuration according to Table 10.5.1.4-1, Table 10.5.1.4-2, as appropriate. Transmission mode is set to TM3.
4. SNR is set according to Table 10.5.1.4-1. Here  $SNR = Cell\_power / Noc$ , in which  $Cell\_power(EPRE)$  is a constant of -68dBm/15kHz, following the definition of TS 36.508 & 38.508.

MAIN BODY

5. The UE is powered ON.
6. UE performs a Registration procedure on E-UTRAN Cell A to register for PS services. The UE establishes the default EPS bearer during the registration according to TS 36.508 subclause 4.5.2(steps 1-16).
7. SS Activates NR Cell B.
8. The SS transmits an RRC Connection Reconfiguration message containing information regarding NR Cell B and to establish SCG.
9. UE transmit an RRC Connection Reconfiguration Complete message to SS.
10. Using the FTP client, begin FTP upload from the application server for [90] seconds and record Throughput result.
11. Repeat step 10 for one more iteration.
12. Calculate the average throughput at the application layer on the downlink channel during the file transfer over all iterations.
13. Switch Off UE, UE initiates a Detach procedure.

POSTAMBLE

14. Deactivate Cell A and Cell B.

Table 10.5.3.5-1: Message Sequence

| Step | Message Sequence |       |         | Verdict |
|------|------------------|-------|---------|---------|
|      | U-S              | Layer | Message |         |
|      |                  |       |         |         |

|    |    |            |   |            |      |
|----|----|------------|---|------------|------|
| 1  | ←  | RRC        | The SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast  |            |      |
| 2  | SS |            | SS transmits LTE PUSCH via PUCCH DCI format 0 for C_RNTI to transmit the UL data using the transport format and transport block size. SS transmits PUSCH via PUCCH DCI format 0_0 for C_RNTI to transmit the DL data using the fixed transport format and transport block size. |            |      |
| 3  | SS |            | Set the parameters of the bandwidth, propagation condition, correlation matrix, EN-DC Configuration, Special subframe configuration and antenna configuration   |            |      |
| 4  |    |            | Cell Power is set   |            |      |
| 5  | UE |            | Switch On UE  | AT Command |      |
| 6  | ↔  | NAS<br>RRC | UE performs Registration procedure on E-UTRAN Cell A  |            | PASS |
| 7  |    |            | The SS activates Cell B   |            |      |
| 8  | ←  | RRC        | SS sends RRC Connection Reconfiguration message   |            |      |
| 9  | →  | RRC        | The UE transmits RRC Connection Reconfiguration Complete message  |            | PASS |
| 10 |    |            | Using FTP Client begin FTP Upload   |            | PASS |
| 11 |    |            | Repeat Step 10  |            |      |
| 12 | SS |            | Calculate Average Throughput  |            | PASS |
| 13 |    |            | Switch Off UE   | AT Command |      |
| 14 | SS |            | Deactivate Cell A   |            |      |

**10.5.3.6 Expected Result**

1. Calculate and record the average uplink TCP throughput.
2. Count and record the overall number of ACK and NACK/DTX on the PUSCH/PUCCH during the test interval.
3. The average uplink throughput at the application layer shall meet or exceed the value in following table.

Table 10.5.3.6-1: Expected Result for EN-DC FDD LTE Band + NR Band

| Band Combination | Expected UL TCP Throughput |
|------------------|----------------------------|
| B3+n41           | [95Mbps]                   |
| B3+n79           | [285 Mbps]                 |

**10.5.4 UL Throughput under static channel on SCG, UL 256QAM, NSA**

**10.5.4.1 Test Purpose**

To measure the UE application layer uplink performance while uploading TCP based data in Conducted, Static Channel, NSA Option3, 100 MHz BW, Full Resource Allocation, UL NR 256QAM data transmission on SCG

#### 10.5.4.2 Reference specification

3GPP TS 36.331, 3GPP TS 36.508, 3GPP TS 36.521-1, 3GPP TS 38.331, 3GPP TS 38.508, 3GPP TS 38.521-1

#### 10.5.4.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

#### 10.5.4.4 Test conditions

[SS configuration]

E-UTRAN Cell A,  
NR-RAN Cell B

E-UTRAN Cell A,  
Cell Id=01 TAC = 01  
MCC = 460 MNC = 00  
EARFCN= f1  
rootSequenceIndex = 0  
UL Modulation / Coding = 10  
RB Allocation UL= Full  
RB Allocation DL = Full  
simultaneousAckNackAndCQI = TRUE  
CPConfig = UL: Normal CP/DL: Normal CP

NR-RAN Cell B,  
Cell Id=02 TAC = 01  
NR-ARFCN= f1  
rootSequenceIndex = 0  
UL Modulation / Coding = 27  
RB Allocation UL= Full  
RB Allocation DL = Full  
simultaneousAckNackAndCQI = TRUE  
enableUI256QAM = TRUE  
CPConfig = UL: Normal CP/DL: Normal CP

[Common conditions]

Common conditions include a set of test points the UE needs to be tested in and the common test parameters for each transmission mode.

Table 10.5.4.4-1: Test Points Configuration

| Test Point | Propagation Conditions | Correlation Matrices |
|------------|------------------------|----------------------|
| NR-1       | Static                 | N/A                  |

Table 10.5.4.4-2: Test Parameters for Testing

| Parameter                    |          | Unit      | Value      |
|------------------------------|----------|-----------|------------|
| Downlink power allocation    | $\rho_A$ | dB        | -3         |
|                              | $\rho_B$ | dB        | -3(Note 1) |
| LTE $N_{oc}$ at antenna port |          | dBm/15kHz | -98        |
| NR $N_{oc}$ at antenna port  |          | dBm/30kHz | -98        |
| Reporting mode               |          |           | PUCCH 1-0  |
| Note 1: $P_B = 1$            |          |           |            |

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

[Initial conditions]

SS

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

Configurations of LTE PUSCH and PUCCH before measurement are specified in Annex H.2 of TS 36.521-1.

Configurations of NR PUSCH and PUCCH before measurement are specified in Annex G.2 of TS 38.521-1.

Test Environment: Normal, as defined in TS 36.508 & TS 38.508-1 clause 4.1 for LTE & NR respectively.

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 Annex A, Figure A.3.1.1.1.
2. Connect an application server to the IP output of the SS configured with a FTP server.
3. LTE Downlink signals are initially set up according to Annex C.0, C.1 and Annex C.3.2 in TS 36.521-1 and uplink signals according to Annex H.1 and H.3.2 in TS 36.521-1.
4. NR Downlink signals are initially set up according to Annex C0, C.1 and Annex C.3.1 in TS 38.521-1 and uplink signals according to Annex G.1 and G.3.2 in TS 38.521-1.
5. LTE Propagation conditions are set according to Annex B.0 in TS 36.521-1.
6. NR Propagation conditions are set according to Annex B.0 in TS 38.521-1.

UE

UE is powered off

**10.5.4.5 Test procedure**

PREAMBLE

1. SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast.
2. SS transmits LTE PUSCH via PUCCH DCI format 0 for C\_RNTI to transmit the UL data using the transport format and transport block size. SS transmits PUSCH via PUCCH DCI format 0\_0 for C\_RNTI to transmit the UL data using the fixed transport format and transport block size.
3. Set the parameters of the bandwidth, the propagation condition, the correlation matrix, EN-DC Configuration, Special subframe configuration, antenna configuration according to Table 10.5.2.4-1, Table 10.5.2.4-2, as appropriate. Transmission mode is set to TM3.
4. SNR is set according to Table 10.5.2.4-1. Here  $SNR = Cell\_power / Noc$ , in which  $Cell\_power(EPRE)$  is a constant of -68dBm/15kHz, following the definition of TS 36.508 & 38.508.

MAIN BODY

5. The UE is powered ON.
6. UE performs a Registration procedure on E-UTRAN Cell A to register for PS services. The UE establishes the default EPS bearer during the registration according to TS 36.508 subclause 4.5.2(steps 1-16).
7. SS Activates NR Cell B.
8. The SS transmits an RRC Connection Reconfiguration message containing information regarding NR Cell B and to establish SCG.
9. UE transmit an RRC Connection Reconfiguration Complete message to SS.
10. Using the FTP client, begin FTP upload from the application server for [90] seconds and record Throughput result.
11. Repeat step 10 for one more iteration.
12. Calculate the average throughput at the application layer on the downlink channel during the file transfer over all iterations.
13. Switch Off UE, UE initiates a Detach procedure.

POSTAMBLE

14. Deactivate Cell A and Cell B.

Table 10.5.4.5-1: Message Sequence

| Step | Message Sequence |       |         | Verdict |
|------|------------------|-------|---------|---------|
|      | U-S              | Layer | Message |         |
|      |                  |       |         |         |

|    |    |            |   |            |      |
|----|----|------------|---|------------|------|
| 1  | ←  | RRC        | The SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast  |            |      |
| 2  | SS |            | SS transmits LTE PUSCH via PUCCH DCI format 0 for C_RNTI to transmit the UL data using the transport format and transport block size. SS transmits PUSCH via PUCCH DCI format 0_0 for C_RNTI to transmit the DL data using the fixed transport format and transport block size. |            |      |
| 3  | SS |            | Set the parameters of the bandwidth, propagation condition, correlation matrix, EN-DC Configuration, Special subframe configuration and antenna configuration   |            |      |
| 4  |    |            | Cell Power is set   |            |      |
| 5  | UE |            | Switch On UE  | AT Command |      |
| 6  | ↔  | NAS<br>RRC | UE performs Registration procedure on E-UTRAN Cell A  |            | PASS |
| 7  |    |            | The SS activates Cell B   |            |      |
| 8  | ←  | RRC        | SS sends RRC Connection Reconfiguration message   |            |      |
| 9  | →  | RRC        | The UE transmits RRC Connection Reconfiguration Complete message  |            | PASS |
| 10 |    |            | Using FTP Client begin FTP Upload   |            | PASS |
| 11 |    |            | Repeat Step 10  |            |      |
| 12 | SS |            | Calculate Average Throughput  |            | PASS |
| 13 |    |            | Switch Off UE   | AT Command |      |
| 14 | SS |            | Deactivate Cell A   |            |      |

#### 10.5.4.6 Expected Result

1. Calculate and record the average UL TCP throughput
2. Count and record the overall number of ACK and NACK/DTX on the PUSCH/PUCCH during the test interval.
3. The average uplink throughput at the application layer shall meet or exceed the value in following table.

Table 10.5.4.6-1: Expected Result for EN-DC FDD LTE Band + NR Band

| Band Combination | Expected UL TCP Throughput |
|------------------|----------------------------|
| B3+n41           | [125Mbps]                  |
| B3+n79           | [380 Mbps]                 |

## 10.6 Bidirectional UDP Throughput, NSA

### 10.6.1 Bidirectional Throughput under static channel, 256QAM, NSA

### 10.6.1.1 Test Purpose

To measure the UE application layer Bidirectional performance while downloading & uploading simultaneously UDP based data in Conducted, Static Channel, NSA Option3, 100 MHz BW, Full Resource Allocation, DL NR 4\*4 MIMO+ LTE2\*2 MIMO, DL NR 256QAM+ LTE 256QAM, UL NR 256QAM+LTE 64QAM, data transmission on MCG and SCG simultaneously

### 10.6.1.2 Reference specification

3GPP TS 36.331, 3GPP TS 36.508, 3GPP TS 36.521-1, 3GPP TS 38.331, 3GPP TS 38.508, 3GPP TS 38.521-1

### 10.6.1.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

### 10.6.1.4 Test conditions

[SS configuration]

E-UTRAN Cell A,  
NR-RAN Cell B

E-UTRAN Cell A,  
Cell Id=01 TAC = 01  
MCC = 460 MNC = 00  
EARFCN= f1  
rootSequenceIndex = 0  
DL Modulation / Coding = 27  
UL Modulation / Coding = 28RB Allocation UL= Full  
RB Allocation DL = Full  
simultaneousAckNackAndCQI = TRUE  
enableDL256QAM= TRUE  
enableUL64QAM = TRUE  
CPConfig = UL: Normal CP/DL: Normal CP

NR-RAN Cell B,  
Cell Id=02 TAC = 01  
NR-ARFCN= f1  
rootSequenceIndex = 0  
DL Modulation / Coding = 27  
UL Modulation / Coding = 27  
RB Allocation UL= Full  
RB Allocation DL = Full  
simultaneousAckNackAndCQI = TRUE  
enable4x4MIMO = TRUE  
enableDL256QAM = TRUE



enableUL256QAM = TRUE  
 CPConfig = UL: Normal CP/DL: Normal CP

[Common conditions]

Common conditions include a set of test points the UE needs to be tested in and the common test parameters for each transmission mode.

Table 10.6.1.4-1: Test Points Configuration

| Test Point | Propagation Conditions | Correlation Matrices |
|------------|------------------------|----------------------|
| NSA-1      | Static                 | N/A                  |

Table 10.6.1.4-2: Test Parameters for Testing

| Parameter                    | Unit      | Value     |
|------------------------------|-----------|-----------|
| Downlink power allocation    | $\rho_A$  | dB        |
|                              | $\rho_B$  | dB        |
| LTE $N_{oc}$ at antenna port | dBm/15kHz | -98       |
| NR $N_{oc}$ at antenna port  | dBm/30kHz | -98       |
| Reporting mode               |           | PUCCH 1-0 |
| Note 1: $P_B = 1$            |           |           |

[UE configuration]

The test USIM shall be inserted.  
 The UE is in AUTOMATIC network selection mode.

[Initial conditions]

SS

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

Configurations of LTE PDSCH and PDCCH before measurement are specified in Annex C.2 of TS 36.521-1.

Configurations of NR PDSCH and PDCCH before measurement are specified in Annex C.2 of TS 38.521-1.

Test Environment: Normal, as defined in TS 36.508 & TS 38.508-1 clause 4.1 for LTE & NR respectively.

Frequencies to be tested: Mid Range, as defined in TS 36.508 & TS 38.508-1 for LTE & NR respectively.

1. Connect the LTE SS, the faders and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 Annex A, Figure A.10 for antenna configuration 2x2.
2. Connect the NR SS, the faders and AWGN noise sources to the UE antenna connectors as shown in TS 36.508-1 Annex A, Figure A.3.2.1.5 for antenna configuration 4x4.

3. Connect an application server to the IP output of the SS configured with a UDP server.
4. LTE Downlink signals are initially set up according to Annex C.0, C.1 and Annex C.3.2 in TS 36.521-1 and uplink signals according to Annex H.1 and H.3.2 in TS 36.521-1.
5. NR Downlink signals are initially set up according to Annex C0, C.1 and Annex C.3.1 in TS 38.521-1 and uplink signals according to Annex G.1 and G.3.2 in TS 38.521-1.
6. LTE Propagation conditions are set according to Annex B.0 in TS 36.521-1.
7. NR Propagation conditions are set according to Annex B.0 in TS 38.521-1.

UE

UE is powered off

### 10.6.1.5 Test procedure

PREAMBLE

1. SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast.
2. SS transmits LTE PDSCH via PDCCH DCI format 2A for C\_RNTI to transmit the DL data using the transport format and transport block size.
3. Set the parameters of the bandwidth, the propagation condition, the correlation matrix, EN-DC Configuration, Special subframe configuration, antenna configuration according to Table 10.6.1.4-1, Table 10.6.1.4-2, as appropriate. Transmission mode is set to TM3.
4. SNR is set according to Table 10.6.1.4-1. Here  $SNR = Cell\_power / Noc$ , in which  $Cell\_power(EPRE)$  is a constant of  $-68dBm/15kHz$ , following the definition of TS 36.508 & 38.508.

MAIN BODY

5. The UE is powered ON.
6. UE performs a Registration procedure on E-UTRAN Cell A to register for PS services. The UE establishes the default EPS bearer during the registration according to TS 36.508 subclause 4.5.2(steps 1-16).
7. SS Activates NR Cell B.
8. The SS transmits an RRC Connection Reconfiguration message containing information regarding NR Cell B and to establish MCG & SCG.
9. UE transmit an RRC Connection Reconfiguration Complete message to SS.
10. Using the UDP client, begin UDP download from the application server for [90] seconds and record Throughput result.
11. Repeat step 10 for one more iteration.
12. Calculate the average throughput at the application layer on the downlink and uplink channel during the file transfer over all iterations.
13. Switch Off UE, UE initiates a Detach procedure.

POSTAMBLE

14. Deactivate Cell A and Cell B.

Table 10.6.1.5-1: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
| 1    | ←                | RRC        | The SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast                                  |                   |         |
| 2    | SS               |            | SS transmits PDSCH via PDCCH DCI format 2A for C_RNTI   |                   |         |
| 3    | SS               |            | Set the parameters of the bandwidth, propagation condition, correlation matrix, EN-DC Configuration, Special subframe configuration and antenna configuration |                   |         |
| 4    |                  |            | Cell Power is set   |                   |         |
| 5    | UE               |            | Switch On UE  | AT Command        |         |
| 6    | ↔                | NAS<br>RRC | UE performs Registration procedure on E-UTRAN Cell A  |                   | PASS    |
| 7    |                  |            | The SS activates NR Cell B  |                   |         |
| 8    | ←                | RRC        | SS sends RRC Connection Reconfiguration message   |                   |         |
| 9    | →                | RRC        | The UE transmits RRC Connection Reconfiguration Complete message  |                   | PASS    |
| 10   |                  |            | Using UDP Client begin UDP Download   |                   | PASS    |
| 11   |                  |            | Repeat Step 10  |                   |         |
| 12   | SS               |            | Calculate Average Throughput  |                   | PASS    |
| 13   |                  |            | Switch Off UE   | AT Command        |         |
| 14   | SS               |            | Deactivate Cell A & Cell B  |                   |         |

**10.6.1.6 Expected Result**

1. Calculate and record the average uplink UDP throughput and downlink UDP throughput.
2. Calculate and record the average downlink throughput in MAC layer in SCG cell and MCG cell respectively
3. Calculate and record the average uplink throughput in MAC layer in SCG cell and MCG cell respectively
4. Count and record the overall number of ACK and NACK/DTX on the PUSCH/PUCCH during the test interval.
5. The average downlink and uplink throughput at the application layer shall meet or exceed the value in following table.

Table 10.6.1.6-1: DL Expected Result for EN-DC FDD LTE Band + NR Band

| Band Combination | Expected DL UDP Throughput | Expected DL MAC Throughput in MCG | Expected DL MAC Throughput in SCG |
|------------------|----------------------------|-----------------------------------|-----------------------------------|
| B3+n41           | FFS                        | FFS                               | FFS                               |

Table 10.6.1.6-2: UL Expected Result for EN-DC FDD LTE Band + NR Band

| Band Combination | Expected UL UDP Throughput | Expected UL MAC Throughput in MCG | Expected UL MAC Throughput in SCG |
|------------------|----------------------------|-----------------------------------|-----------------------------------|
| B3+n41           | FFS                        | FFS                               | FFS                               |

## 10.6.2 Bidirectional Throughput under fading channel, NSA

### 10.6.2.1 Test Purpose

To measure the UE application layer Bidirectional performance while downloading & uploading simultaneously UDP based data in Conducted, fading Channel, NSA Option3, 100 MHz BW, Full Resource Allocation, dynamic scheduling based on channel condition, data transmission on MCG and SCG simultaneously

### 10.6.2.2 Reference specification

3GPP TS 36.331, 3GPP TS 36.508, 3GPP TS 36.521-1, 3GPP TS 38.331, 3GPP TS 38.508, 3GPP TS 38.521-1

### 10.6.2.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2..

### 10.6.2.4 Test conditions

[SS configuration]

E-UTRAN Cell A,

NR-RAN Cell B

E-UTRAN Cell A,

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

EARFCN= f1

rootSequenceIndex = 0

RB Allocation UL= Full

RB Allocation DL = Full

simultaneousAckNackAndCQI = TRUE

CPConfig = UL: Normal CP/DL: Normal CP

NR-RAN Cell B,

Cell Id=02 TAC = 01

NR-ARFCN= f1

rootSequenceIndex = 0

RB Allocation UL= Full

RB Allocation DL = Full

simultaneousAckNackAndCQI = TRUE

CPCOnfig = UL: Normal CP/DL: Normal CP

[Common conditions]

Common conditions include a set of test points the UE needs to be tested in and the common test parameters for each transmission mode.

Table 10.6.2.4-1: Test Points Configuration

| Test Point | Propagation Conditions                                     | Correlation Matrices |
|------------|--|----------------------|
| NSA-1      | LTE Fading Profile :<br>EPA5 , NR Fading Profile : TDLA30  | Low                  |
| NSA-2      | LTE Fading Profile :<br>EPA5 , NR Fading Profile : TDLB100 | Low                  |
| NSA-3      | LTE Fading Profile :<br>EVA5 , NR Fading Profile : TDLC300 | Low                  |

Table 10.6.2.4-2: Test Parameters for Testing

| Parameter                    | Unit      | Value     |
|------------------------------|-----------|-----------|
| Downlink power allocation    | $\rho_A$  | dB        |
|                              | $\rho_B$  | dB        |
| LTE $N_{oc}$ at antenna port | dBm/15kHz | -98       |
| NR $N_{oc}$ at antenna port  | dBm/30kHz | -98       |
| Reporting mode               |           | PUCCH 1-0 |
| Note 1: $P_B = 1$            |           |           |

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

[Initial conditions]

SS

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

Configurations of LTE PDSCH and PDCCH before measurement are specified in Annex C.2 of TS 36.521-1.

Configurations of NR PDSCH and PDCCH before measurement are specified in Annex C.2 of TS 38.521-1.

Test Environment: Normal, as defined in TS 36.508 & TS 38.508-1 clause 4.1 for LTE & NR respectively.

Frequencies to be tested: Mid Range, as defined in TS 36.508 & TS 38.508-1 for LTE & NR respectively.

1. Connect the LTE SS, the faders and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 Annex A, Figure A.10 for antenna configuration 2x2.
2. Connect the NR SS, the faders and AWGN noise sources to the UE antenna connectors as shown in TS 38.508-1 Annex A, Figure A.3.2.1.5 for antenna configuration 4x4.
3. Connect an application server to the IP output of the SS configured with a UDP server.
4. LTE Downlink signals are initially set up according to Annex C.0, C.1 and Annex C.3.2 in TS 36.521-1 and uplink signals according to Annex H.1 and H.3.2 in TS 36.521-1.
5. NR Downlink signals are initially set up according to Annex C0, C.1 and Annex C.3.1 in TS 38.521-1 and uplink signals according to Annex G.1 and G.3.2 in TS 38.521-1.
6. LTE Propagation conditions are set according to Annex B.0 in TS 36.521-1.
7. NR Propagation conditions are set according to Annex B.0 in TS 38.521-1.

UE

UE is powered off

### 10.6.2.5 Test procedure

PREAMBLE

1. SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast.
2. SS transmits LTE PDSCH via PDCCH DCI format 2A for C\_RNTI to transmit the DL data using the transport format and transport block size.
3. Set the parameters of the bandwidth, the propagation condition, the correlation matrix, EN-DC Configuration, Special subframe configuration, antenna configuration according to Table 10.6.2.4-1, Table 10.6.2.4-2, as appropriate. Transmission mode is set to TM3.
4. SNR is set according to Table 10.6.2.4-1. Here  $SNR = \text{Cell\_power} / \text{Noc}$ , in which  $\text{Cell\_power(EPRE)}$  is a constant of -68dBm/15kHz, following the definition of TS 36.508 & 38.508.

MAIN BODY

5. The UE is powered ON.
6. UE performs a Registration procedure on E-UTRAN Cell A to register for PS services. The UE establishes the default EPS bearer during the registration according to TS 36.508 subclause 4.5.2(steps 1-16).
7. SS Activates NR Cell B.
8. The SS transmits an RRC Connection Reconfiguration message containing information regarding NR Cell B and to establish MCG & SCG.
9. UE transmit an RRC Connection Reconfiguration Complete message to SS.

10. Using the UDP client, begin UDP download and upload with the application server for [90] seconds and record Throughput result.
11. Repeat step 10 for one more iteration.
12. Calculate the average throughput at the application layer on the downlink and uplink channel during the file transfer over all iterations.
13. Switch Off UE, UE initiates a Detach procedure.

#### POSTAMBLE

14. Deactivate Cell A and Cell B.

Table 10.6.2.5-1: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
| 1    | ←                | RRC        | The SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast                                  |                   |         |
| 2    | SS               |            | SS transmits PDSCH via PDCCH DCI format 2A for C_RNTI   |                   |         |
| 3    | SS               |            | Set the parameters of the bandwidth, propagation condition, correlation matrix, EN-DC Configuration, Special subframe configuration and antenna configuration |                   |         |
| 4    |                  |            | Cell Power is set   |                   |         |
| 5    | UE               |            | Switch On UE  | AT Command        |         |
| 6    | ↔                | NAS<br>RRC | UE performs Registration procedure on E-UTRAN Cell A  |                   | PASS    |
| 7    |                  |            | The SS activates NR Cell B  |                   |         |
| 8    | ←                | RRC        | SS sends RRC Connection Reconfiguration message   |                   |         |
| 9    | →                | RRC        | The UE transmits RRC Connection Reconfiguration Complete message  |                   | PASS    |
| 10   |                  |            | Using UDP Client begin UDP Download   |                   | PASS    |
| 11   |                  |            | Repeat Step 10  |                   |         |
| 12   | SS               |            | Calculate Average Throughput  |                   | PASS    |
| 13   |                  |            | Switch Off UE   | AT Command        |         |
| 14   | SS               |            | Deactivate Cell A & Cell B  |                   |         |

#### 10.6.2.6 Expected Result

1. Calculate and record the average uplink UDP throughput and downlink UDP throughput.
2. Calculate and record the average downlink throughput in MAC layer in SCG cell and MCG cell respectively
3. Calculate and record the average uplink throughput in MAC layer in SCG cell and MCG cell respectively

4. Count and record the overall number of ACK and NACK/DTX on the PUSCH/PUCCH during the test interval.
5. The average downlink and uplink throughput at the application layer shall meet or exceed the value in following table.

Table 10.6.2.6-1: DL Expected Result for EN-DC FDD LTE Band + NR Band

| Band Combination | Test Point | Expected DL UDP Throughput | Expected DL MAC Throughput in MCG | Expected DL MAC Throughput in SCG |
|------------------|------------|----------------------------|-----------------------------------|-----------------------------------|
| B3+n41           | NSA-1      | FFS                        | FFS                               | FFS                               |
| B3+n41           | NSA-2      | FFS                        | FFS                               | FFS                               |
| B3+n41           | NSA-3      | FFS                        | FFS                               | FFS                               |

Table 10.6.2.6-2: UL Expected Result for EN-DC FDD LTE Band + NR Band

| Band Combination | Test Point | Expected UL UDP Throughput | Expected UL MAC Throughput in MCG | Expected UL MAC Throughput in SCG |
|------------------|------------|----------------------------|-----------------------------------|-----------------------------------|
| B3+n41           | NSA-1      | FFS                        | FFS                               | FFS                               |
| B3+n41           | NSA-2      | FFS                        | FFS                               | FFS                               |
| B3+n41           | NSA-3      | FFS                        | FFS                               | FFS                               |

### 10.6.3 Bidirectional Throughput under static channel on SCG, UL 64QAM, NSA

#### 10.6.3.1 Test Purpose

To measure the UE application layer Bidirectional performance while downloading & uploading simultaneously UDP based data in Conducted, Static Channel, NSA Option3, 100 MHz BW, Full Resource Allocation, DL NR 256QAM+4\*4 MIMO, UL NR 64QAM,data transmission on SCG

#### 10.6.3.2 Reference specification

3GPP TS 36.331, 3GPP TS 36.508, 3GPP TS 36.521-1, 3GPP TS 38.331, 3GPP TS 38.508, 3GPP TS 38.521-1

#### 10.6.3.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

#### 10.6.3.4 Test conditions

[SS configuration]

E-UTRAN Cell A,  
NR-RAN Cell B

E-UTRAN Cell A,  
Cell Id=01 TAC = 01  
MCC = 460 MNC = 00



EARFCN= f1  
 rootSequenceIndex = 0  
 DL Modulation / Coding = 10  
 RB Allocation UL= Full  
 RB Allocation DL = Full  
 simultaneousAckNackAndCQI = TRUE  
 CPConfig = UL: Normal CP/DL: Normal CP

NR-RAN Cell B,  
 Cell Id=02 TAC = 01  
 NR-ARFCN= f1  
 rootSequenceIndex = 0  
 DL Modulation / Coding = 27  
 UL Modulation / Coding = 28  
 RB Allocation UL= Full  
 RB Allocation DL = Full  
 simultaneousAckNackAndCQI = TRUE  
 enable4x4MIMO = TRUE  
 enableDL256QAM = TRUE  
 enableUL64QAM = TRUE  
 CPConfig = UL: Normal CP/DL: Normal CP

[Common conditions]

Common conditions include a set of test points the UE needs to be tested in and the common test parameters for each transmission mode.

Table 10.6.3.4-1: Test Points Configuration

| Test Point | Propagation Conditions | Correlation Matrices |
|------------|------------------------|----------------------|
| NSA-1      | Static                 | N/A                  |

Table 10.6.3.4-2: Test Parameters for Testing

| Parameter                    | Unit      | Value      |
|------------------------------|-----------|------------|
| Downlink power allocation    | $\rho_A$  | -3         |
|                              | $\rho_B$  | -3(Note 1) |
| LTE $N_{oc}$ at antenna port | dBm/15kHz | -98        |
| NR $N_{oc}$ at antenna port  | dBm/30kHz | -98        |
| Reporting mode               |           | PUCCH 1-0  |
| Note 1: $P_B = 1$            |           |            |

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

[Initial conditions]

SS

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

Configurations of LTE PDSCH and PDCCH before measurement are specified in Annex C.2 of TS 36.521-1.

Configurations of NR PDSCH and PDCCH before measurement are specified in Annex C.2 of TS 38.521-1.

Test Environment: Normal, as defined in TS 36.508 & TS 38.508-1 clause 4.1 for LTE & NR respectively.

8. Connect the LTE SS, the faders and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 Annex A, Figure A.10 for antenna configuration 2x2.
9. Connect the NR SS, the faders and AWGN noise sources to the UE antenna connectors as shown in TS 36.508-1 Annex A, Figure A.3.2.1.5 for antenna configuration 4x4.
10. Connect an application server to the IP output of the SS configured with a UDP server.
11. LTE Downlink signals are initially set up according to Annex C.0, C.1 and Annex C.3.2 in TS 36.521-1 and uplink signals according to Annex H.1 and H.3.2 in TS 36.521-1.
12. NR Downlink signals are initially set up according to Annex C0, C.1 and Annex C.3.1 in TS 38.521-1 and uplink signals according to Annex G.1 and G.3.2 in TS 38.521-1.
13. LTE Propagation conditions are set according to Annex B.0 in TS 36.521-1.
14. NR Propagation conditions are set according to Annex B.0 in TS 38.521-1.

UE

UE is powered off

### 10.6.3.5 Test procedure

PREAMBLE

1. SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast.
2. SS transmits LTE PDSCH via PDCCH DCI format 2A for C\_RNTI to transmit the DL data using the transport format and transport block size.
3. Set the parameters of the bandwidth, the propagation condition, the correlation matrix, EN-DC Configuration, Special subframe configuration, antenna configuration according to Table 10.6.1.4-1, Table 10.6.1.4-2, as appropriate. Transmission mode is set to TM3.
4. SNR is set according to Table 10.6.1.4-1. Here  $SNR = \text{Cell\_power} / \text{Noc}$ , in which  $\text{Cell\_power(EPRE)}$  is a constant of -68dBm/15kHz, following the definition of TS 36.508 & 38.508.

MAIN BODY

5. The UE is powered ON.

6. UE performs a Registration procedure on E-UTRAN Cell A to register for PS services. The UE establishes the default EPS bearer during the registration according to TS 36.508 subclause 4.5.2(steps 1-16).
7. SS Activates NR Cell B.
8. The SS transmits an RRC Connection Reconfiguration message containing information regarding NR Cell B and to establish SCG.
9. UE transmit an RRC Connection Reconfiguration Complete message to SS.
10. Using the UDP client, begin UDP download from the application server for [90] seconds and record Throughput result.
11. Repeat step 10 for one more iteration.
12. Calculate the average throughput at the application layer on the downlink and uplink channel during the file transfer over all iterations.
13. Switch Off UE, UE initiates a Detach procedure.

POSTAMBLE

14. Deactivate Cell A and Cell B.

Table 10.6.3.5-1: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
| 1    | ←                | RRC        | The SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast                                  |                   |         |
| 2    | SS               |            | SS transmits PDSCH via PDCCH DCI format 2A for C_RNTI   |                   |         |
| 3    | SS               |            | Set the parameters of the bandwidth, propagation condition, correlation matrix, EN-DC Configuration, Special subframe configuration and antenna configuration |                   |         |
| 4    |                  |            | Cell Power is set   |                   |         |
| 5    | UE               |            | Switch On UE  | AT Command        |         |
| 6    | ↔                | NAS<br>RRC | UE performs Registration procedure on E-UTRAN Cell A  |                   | PASS    |
| 7    |                  |            | The SS activates NR Cell B  |                   |         |
| 8    | ←                | RRC        | SS sends RRC Connection Reconfiguration message   |                   |         |
| 9    | →                | RRC        | The UE transmits RRC Connection Reconfiguration Complete message  |                   | PASS    |
| 10   |                  |            | Using UDP Client begin UDP Download   |                   | PASS    |
| 11   |                  |            | Repeat Step 10  |                   |         |
| 12   | SS               |            | Calculate Average Throughput  |                   | PASS    |
| 13   |                  |            | Switch Off UE   | AT Command        |         |
| 14   | SS               |            | Deactivate Cell A & Cell B  |                   |         |

### 10.6.3.6 Expected Result

1. Calculate and record the average uplink UDP throughput and downlink UDP throughput.
2. Calculate and record the average downlink throughput in MAC layer in SCG cell
3. Calculate and record the average uplink throughput in MAC layer in SCG cell
4. Count and record the overall number of ACK and NACK/DTX on the PUSCH/PUCCH during the test interval.
5. The average downlink and uplink throughput at the application layer shall meet or exceed the value in following table.

Table 10.6.3.6-1: DL Expected Result for EN-DC FDD LTE Band + NR Band

| Band Combination | Expected DL UDP Throughput | Expected DL MAC Throughput in SCG |
|------------------|----------------------------|-----------------------------------|
| B3+n41           | [1.7Gbps]                  | [1.7Gbps]                         |

Table 10.6.3.6-2: UL Expected Result for EN-DC FDD LTE Band + NR Band

| Band Combination | Expected UL UDP Throughput | Expected UL MAC Throughput in SCG |
|------------------|----------------------------|-----------------------------------|
| B3+n41           | [95Mbps]                   | [95Mbps]                          |

## 10.6.4 Bidirectional Throughput under static channel on SCG, UL 256QAM, NSA

### 10.6.4.1 Test Purpose

To measure the UE application layer Bidirectional performance while downloading & uploading simultaneously UDP based data in Conducted, Static Channel, NSA Option3, 100 MHz BW, Full Resource Allocation, DL NR 256QAM+4\*4 MIMO, UL NR 256QAM,data transmission on SCG

### 10.6.4.2 Reference specification

3GPP TS 36.331, 3GPP TS 36.508, 3GPP TS 36.521-1, 3GPP TS 38.331, 3GPP TS 38.508, 3GPP TS 38.521-1

### 10.6.4.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2.

### 10.6.4.4 Test conditions

[SS configuration]

E-UTRAN Cell A,

NR-RAN Cell B

E-UTRAN Cell A,

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

EARFCN= f1

rootSequenceIndex = 0

DL Modulation / Coding = 10  
 RB Allocation UL= Full  
 RB Allocation DL = Full  
 simultaneousAckNackAndCQI = TRUE  
 CPConfig = UL: Normal CP/DL: Normal CP

NR-RAN Cell B,  
 Cell Id=02 TAC = 01  
 NR-ARFCN= f1  
 rootSequenceIndex = 0  
 DL Modulation / Coding = 27  
 UL Modulation / Coding = 27  
 RB Allocation UL= Full  
 RB Allocation DL = Full  
 simultaneousAckNackAndCQI = TRUE  
 enable4x4MIMO = TRUE  
 enableDL256QAM = TRUE  
 enableUL256QAM = TRUE  
 CPConfig = UL: Normal CP/DL: Normal CP

[Common conditions]

Common conditions include a set of test points the UE needs to be tested in and the common test parameters for each transmission mode.

Table 10.6.4.4-1: Test Points Configuration

| Test Point | Propagation Conditions | Correlation Matrices |
|------------|------------------------|----------------------|
| NSA-1      | Static                 | N/A                  |

Table 10.6.4.4-2: Test Parameters for Testing

| Parameter                    | Unit      | Value     |
|------------------------------|-----------|-----------|
| Downlink power allocation    | $\rho_A$  | dB        |
|                              | $\rho_B$  | dB        |
| LTE $N_{oc}$ at antenna port | dBm/15kHz | -98       |
| NR $N_{oc}$ at antenna port  | dBm/30kHz | -98       |
| Reporting mode               |           | PUCCH 1-0 |
| Note 1: $P_B = 1$            |           |           |

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

[Initial conditions]

## SS

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

Configurations of LTE PDSCH and PDCCH before measurement are specified in Annex C.2 of TS 36.521-1.

Configurations of NR PDSCH and PDCCH before measurement are specified in Annex C.2 of TS 38.521-1.

Test Environment: Normal, as defined in TS 36.508 & TS 38.508-1 clause 4.1 for LTE & NR respectively.

15. Connect the LTE SS, the faders and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 Annex A, Figure A.10 for antenna configuration 2x2.
16. Connect the NR SS, the faders and AWGN noise sources to the UE antenna connectors as shown in TS 36.508-1 Annex A, Figure A.3.2.1.5 for antenna configuration 4x4.
17. Connect an application server to the IP output of the SS configured with a UDP server.
18. LTE Downlink signals are initially set up according to Annex C.0, C.1 and Annex C.3.2 in TS 36.521-1 and uplink signals according to Annex H.1 and H.3.2 in TS 36.521-1.
19. NR Downlink signals are initially set up according to Annex C0, C.1 and Annex C.3.1 in TS 38.521-1 and uplink signals according to Annex G.1 and G.3.2 in TS 38.521-1.
20. LTE Propagation conditions are set according to Annex B.0 in TS 36.521-1.
21. NR Propagation conditions are set according to Annex B.0 in TS 38.521-1.

## UE

UE is powered off

**10.6.4.5 Test procedure**

## PREAMBLE

15. SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast.
16. SS transmits LTE PDSCH via PDCCH DCI format 2A for C\_RNTI to transmit the DL data using the transport format and transport block size.
17. Set the parameters of the bandwidth, the propagation condition, the correlation matrix, EN-DC Configuration, Special subframe configuration, antenna configuration according to Table 10.6.1.4-1, Table 10.6.1.4-2, as appropriate. Transmission mode is set to TM3.
18. SNR is set according to Table 10.6.1.4-1. Here  $SNR = \text{Cell\_power} / \text{Noc}$ , in which Cell\_power(EPRE) is a constant of -68dBm/15kHz, following the definition of TS 36.508 & 38.508.

## MAIN BODY

19. The UE is powered ON.

20. UE performs a Registration procedure on E-UTRAN Cell A to register for PS services. The UE establishes the default EPS bearer during the registration according to TS 36.508 subclause 4.5.2(steps 1-16).
21. SS Activates NR Cell B.
22. The SS transmits an RRC Connection Reconfiguration message containing information regarding NR Cell B and to establish SCG.
23. UE transmit an RRC Connection Reconfiguration Complete message to SS.
24. Using the UDP client, begin UDP download from the application server for [90] seconds and record Throughput result.
25. Repeat step 10 for one more iteration.
26. Calculate the average throughput at the application layer on the downlink and uplink channel during the file transfer over all iterations.
27. Switch Off UE, UE initiates a Detach procedure.

## POSTAMBLE

28. Deactivate Cell A and Cell B.

Table 10.6.4.5-1: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
| 1    | ←                | RRC        | The SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast                                  |                   |         |
| 2    | SS               |            | SS transmits PDSCH via PDCCH DCI format 2A for C_RNTI   |                   |         |
| 3    | SS               |            | Set the parameters of the bandwidth, propagation condition, correlation matrix, EN-DC Configuration, Special subframe configuration and antenna configuration |                   |         |
| 4    |                  |            | Cell Power is set   |                   |         |
| 5    | UE               |            | Switch On UE  | AT Command        |         |
| 6    | ↔                | NAS<br>RRC | UE performs Registration procedure on E-UTRAN Cell A  |                   | PASS    |
| 7    |                  |            | The SS activates NR Cell B  |                   |         |
| 8    | ←                | RRC        | SS sends RRC Connection Reconfiguration message   |                   |         |
| 9    | →                | RRC        | The UE transmits RRC Connection Reconfiguration Complete message  |                   | PASS    |
| 10   |                  |            | Using UDP Client begin UDP Download   |                   | PASS    |
| 11   |                  |            | Repeat Step 10  |                   |         |
| 12   | SS               |            | Calculate Average Throughput  |                   | PASS    |
| 13   |                  |            | Switch Off UE   | AT Command        |         |
| 14   | SS               |            | Deactivate Cell A & Cell B  |                   |         |

### 10.6.4.6 Expected Result

1. Calculate and record the average uplink UDP throughput and downlink UDP throughput.
2. Calculate and record the average downlink throughput in MAC layer in SCG cell
3. Calculate and record the average uplink throughput in MAC layer in SCG cell
4. Count and record the overall number of ACK and NACK/DTX on the PUSCH/PUCCH during the test interval.
5. The average downlink and uplink throughput at the application layer shall meet or exceed the value in following table.

Table 10.6.4.6-1: DL Expected Result for EN-DC FDD LTE Band + NR Band

| Band Combination | Expected DL UDP Throughput | Expected DL MAC Throughput in SCG |
|------------------|----------------------------|-----------------------------------|
| B3_n41           | [1.7Gbps]                  | [1.7Gbps]                         |

Table 10.6.4.6-2: UL Expected Result for EN-DC FDD LTE Band + NR Band

| Band Combination | Expected UL UDP Throughput | Expected UL MAC Throughput in SCG |
|------------------|----------------------------|-----------------------------------|
| B3_n41           | [125Mbps]                  | [125Mbps]                         |

## 10.6.5 Bidirectional Throughput under fading channel on SCG, NSA

### 10.6.5.1 Test Purpose

To measure the UE application layer Bidirectional performance while downloading & uploading simultaneously UDP based data in Conducted, Static Channel, NSA Option3, 100 MHz BW, Full Resource Allocation, dynamic scheduling based on channel condition, data transmission on SCG

### 10.6.5.2 Reference specification

3GPP TS 36.331, 3GPP TS 36.508, 3GPP TS 36.521-1, 3GPP TS 38.331, 3GPP TS 38.508, 3GPP TS 38.521-1

### 10.6.5.3 Applicability

This test applies to Type 1 and Type 3 devices as described in clause 4.2..

### 10.6.5.4 Test conditions

[SS configuration]

E-UTRAN Cell A,

NR-RAN Cell B

E-UTRAN Cell A,

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

EARFCN= f1

rootSequenceIndex = 0



RB Allocation UL= Full  
 RB Allocation DL = Full  
 simultaneousAckNackAndCQI = TRUE  
 CPConfig = UL: Normal CP/DL: Normal CP

NR-RAN Cell B,  
 Cell Id=02 TAC = 01  
 NR-ARFCN= f1  
 rootSequenceIndex = 0  
 RB Allocation UL= Full  
 RB Allocation DL = Full  
 simultaneousAckNackAndCQI = TRUE  
 CPConfig = UL: Normal CP/DL: Normal CP

[Common conditions]

Common conditions include a set of test points the UE needs to be tested in and the common test parameters for each transmission mode.

Table 10.6.5.4-1: Test Points Configuration

| Test Point | Propagation Conditions                                  | Correlation Matrices |
|------------|---|----------------------|
| NSA-1      | LTE Fading Profile : EPA5 , NR Fading Profile : TDLA30  | Low                  |
| NSA-2      | LTE Fading Profile : EPA5 , NR Fading Profile : TDLB100 | Low                  |
| NSA-3      | LTE Fading Profile : EVA5 , NR Fading Profile : TDLC300 | Low                  |

Table 10.6.5.4-2: Test Parameters for Testing

| Parameter                    | Unit      | Value     |
|------------------------------|-----------|-----------|
| Downlink power allocation    | $\rho_A$  | dB        |
|                              | $\rho_B$  | dB        |
| LTE $N_{oc}$ at antenna port | dBm/15kHz | -98       |
| NR $N_{oc}$ at antenna port  | dBm/30kHz | -98       |
| Reporting mode               |           | PUCCH 1-0 |
| Note 1: $P_B = 1$            |           |           |

[UE configuration]

The test USIM shall be inserted.

The UE is in AUTOMATIC network selection mode.

[Initial conditions]

SS

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

Configurations of LTE PDSCH and PDCCH before measurement are specified in Annex C.2 of TS 36.521-1.

Configurations of NR PDSCH and PDCCH before measurement are specified in Annex C.2 of TS 38.521-1.

Test Environment: Normal, as defined in TS 36.508 & TS 38.508-1 clause 4.1 for LTE & NR respectively.

Frequencies to be tested: Mid Range, as defined in TS 36.508 & TS 38.508-1 for LTE & NR respectively.

8. Connect the LTE SS, the faders and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 Annex A, Figure A.10 for antenna configuration 2x2.
9. Connect the NR SS, the faders and AWGN noise sources to the UE antenna connectors as shown in TS 38.508-1 Annex A, Figure A.3.2.1.5 for antenna configuration 4x4.
10. Connect an application server to the IP output of the SS configured with a UDP server.
11. LTE Downlink signals are initially set up according to Annex C.0, C.1 and Annex C.3.2 in TS 36.521-1 and uplink signals according to Annex H.1 and H.3.2 in TS 36.521-1.
12. NR Downlink signals are initially set up according to Annex C0, C.1 and Annex C.3.1 in TS 38.521-1 and uplink signals according to Annex G.1 and G.3.2 in TS 38.521-1.
13. LTE Propagation conditions are set according to Annex B.0 in TS 36.521-1.
14. NR Propagation conditions are set according to Annex B.0 in TS 38.521-1.

UE

UE is powered off

#### 10.6.5.5 Test procedure

PREAMBLE

15. SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast.
16. SS transmits LTE PDSCH via PDCCH DCI format 2A for C\_RNTI to transmit the DL data using the transport format and transport block size.
17. Set the parameters of the bandwidth, the propagation condition, the correlation matrix, EN-DC Configuration, Special subframe configuration, antenna configuration according to Table 10.6.2.4-1, Table 10.6.2.4-2, as appropriate. Transmission mode is set to TM3.

18. SNR is set according to Table 10.6.2.4-1. Here  $SNR = Cell\_power / Noc$ , in which  $Cell\_power(EPRE)$  is a constant of  $-68dBm/15kHz$ , following the definition of TS 36.508 & 38.508.

#### MAIN BODY

19. The UE is powered ON.
20. UE performs a Registration procedure on E-UTRAN Cell A to register for PS services. The UE establishes the default EPS bearer during the registration according to TS 36.508 subclause 4.5.2(steps 1-16).
21. SS Activates NR Cell B.
22. The SS transmits an RRC Connection Reconfiguration message containing information regarding NR Cell B and to establish SCG.
23. UE transmit an RRC Connection Reconfiguration Complete message to SS.
24. Using the UDP client, begin UDP download and upload with the application server for [90] seconds and record Throughput result.
25. Repeat step 10 for one more iteration.
26. Calculate the average throughput at the application layer on the downlink and uplink channel during the file transfer over all iterations.
27. Switch Off UE, UE initiates a Detach procedure.

#### POSTAMBLE

28. Deactivate Cell A and Cell B.

Table 10.6.5.5-1: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
| 1    | ←                | RRC        | The SS Activates E-UTRAN Cell A configures the corresponding Master Information Block and System Information Block broadcast                                  |                   |         |
| 2    | SS               |            | SS transmits PDSCH via PDCCH DCI format 2A for C_RNTI   |                   |         |
| 3    | SS               |            | Set the parameters of the bandwidth, propagation condition, correlation matrix, EN-DC Configuration, Special subframe configuration and antenna configuration |                   |         |
| 4    |                  |            | Cell Power is set   |                   |         |
| 5    | UE               |            | Switch On UE  | AT Command        |         |
| 6    | ↔                | NAS<br>RRC | UE performs Registration procedure on E-UTRAN Cell A  |                   | PASS    |
| 7    |                  |            | The SS activates NR Cell B  |                   |         |
| 8    | ←                | RRC        | SS sends RRC Connection Reconfiguration message   |                   |         |
| 9    | →                | RRC        | The UE transmits RRC Connection Reconfiguration Complete message  |                   | PASS    |

|    |    |  |                                     |            |      |
|----|----|--|-------------------------------------|------------|------|
| 10 |    |  | Using UDP Client begin UDP Download |            | PASS |
| 11 |    |  | Repeat Step 10                      |            |      |
| 12 | SS |  | Calculate Average Throughput        |            | PASS |
| 13 |    |  | Switch Off UE                       | AT Command |      |
| 14 | SS |  | Deactivate Cell A & Cell B          |            |      |

### 10.6.5.6 Expected Result

6. Calculate and record the average uplink UDP throughput and downlink UDP throughput.
7. Calculate and record the average downlink throughput in MAC layer in SCG cell
8. Calculate and record the average uplink throughput in MAC layer in SCG cell
9. Count and record the overall number of ACK and NACK/DTX on the PUSCH/PUCCH during the test interval.
10. The average downlink and uplink throughput at the application layer shall meet or exceed the value in following table.

Table 10.6.5.6-1: DL Expected Result for EN-DC FDD LTE Band + NR Band

| Band Combination | Test Point | Expected DL UDP Throughput | Expected DL MAC Throughput in SCG |
|------------------|------------|----------------------------|-----------------------------------|
| B3+n41           | NSA-1      | FFS                        | FFS                               |
| B3+n41           | NSA-2      | FFS                        | FFS                               |
| B3+n41           | NSA-3      | FFS                        | FFS                               |

Table 10.6.5.6-2: UL Expected Result for EN-DC FDD LTE Band + NR Band

| Band Combination | Test Point | Expected UL UDP Throughput | Expected UL MAC Throughput in SCG |
|------------------|------------|----------------------------|-----------------------------------|
| B3+n41           | NSA-1      | FFS                        | FFS                               |
| B3+n41           | NSA-2      | FFS                        | FFS                               |
| B3+n41           | NSA-3      | FFS                        | FFS                               |

## 11 Latency

### 11.1 Latency Basic Tests

#### 11.1.1 Control Plane Latency – Basic Test

##### 11.1.1.1 Test Purpose

Calculate the latency for different signaling messages exchange between SS and UE

##### 11.1.1.2 Reference specification

TS 38.304, TS 38.331, TS 38.300

##### 11.1.1.3 Applicability

This test applies to the device that supports SA or SA+NSA.

**11.1.1.4 Test conditions**

[SS configuration]

Cell A is a NR Cell.

Cell A supports only SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR Band = n41

NR-ARFCN= f1

Bandwidth = 100 MHz

SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**11.1.1.5 Test procedure**

Table 5.1.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A | Remark |
|------|-----------|--------|
| T0   | -75       |        |

PREAMBLE

1. Activate NR Cell A.The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 11.1.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2-2 till Step 20a1 ).

MAIN BODY

5. SS initiates paging message to UE
6. UE initiates the Rach Procedure to start the service request procedure
7. Calculate the time taken between the Rach Request to Msg4
8. SS transmits RRC Setup message to UE
9. UE sends RRC Setup Complete with Service Request NAS message
10. SS sends the Access stratum Security Mode Command to the UE
11. UE responds with AS Security Mode Complete to the SS
12. Calculate the time taken between Security Mode Command and Security Mode Complete
13. SS Transmits RRC Reconfiguration to establish SRB2 and DRB with a Service accept NAS message
14. UE sends RRC Reconfiguration Complete to the SS
15. The RRC connection is released by the SS. The UE enters Registered, Idle Mode
16. Repeat Steps 5 to 15 for 4 times(on 4<sup>th</sup> iteration skip 15<sup>th</sup> step for initiating Deregistration – POSTAMBLE step)
17. Calculate the average time taken at step 7 and step 12

POSTAMBLE

18. The SS initiates a Deregitation procedure.
19. The SS initiates a RRC release procedure.
20. Deactivate NR Cell A.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |             |   |                   | Verdict |
|------|------------------|-------------|---|-------------------|---------|
|      | U-S              | Layer       | Message   | Specific Contents |         |
|      |                  |             | Connect the power consumption tester to the UE  |                   |         |
| 1    | ←                | RRC         | Activate NR Cell A.   |                   |         |
| 2    |                  |             | SS configures the initial power according to T0 in Table 11.1.5-1.                    |                   |         |
| 3    |                  | UE          | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC  | UE performs the registration procedure (see 38.508, Table 4.5.2.2-2 till Step 20a1 ). |                   | PASS    |
| 5    | ←                | RRC         | Paging  |                   |         |
| 6    | →<br>←           | RRC/<br>MAC | Rach Procedure to start the Service Request Procedure till msg4                       |                   | PASS    |
| 7    |                  |             | Calculate the time taken between Rach Request to Msg4                                 |                   |         |
| 8    | ←                | RRC         | RRCSetup  |                   |         |
| 9    | →                | NAS<br>RRC  | RRCSetupComplete with Service Request NAS message                                     |                   |         |

|    |        |            |  |  |      |
|----|--------|------------|--|--|------|
| 10 | ←      | RRC        | AS Security Mode Command   |  |      |
| 11 | →      | RRC        | AS Security Mode Complete  |  |      |
| 12 |        |            | Calculate the time taken between Step 10 and Step 11                             |  |      |
| 13 | ←      | NAS<br>RRC | RRCReconfiguration with Service Accept NAS message                               |  |      |
| 14 | →      | RRC        | RRCReconfigurationComplete   |  | PASS |
| 15 | ←      | RRC        | RRCRelease   |  |      |
| 16 |        |            | Repeat Steps 5 to Step 15 for 4 times(on 4 <sup>th</sup> iteration skip Step 15) |  |      |
| 17 |        |            | Calculate the average time taken at Step 7 and Step 12                           |  |      |
| 18 | →<br>← | NAS        | SS initiates a Deregistration procedure.   |  | PASS |
| 19 | ←      | RRC        | SS initiates a RRC release procedure.  |  |      |
| 20 |        |            | Deactivate NR Cell A.  |  |      |

#### 11.1.1.6 Expected Result

The Average time taken for RACH procedure should be less than [TBD]ms.

The Average time taken for RRC Signaling message pair should be less than [TBD]ms.

### 11.1.2 User Plane Latency – Basic Test

#### 11.1.2.1 Test Purpose

Calculate the latency of User plane data exchange between SS and UE

#### 11.1.2.2 Reference specification

TS 38.304, TS 38.331, TS 38.300

#### 11.1.2.3 Applicability

This test applies to the device that supports SA or SA+NSA.

#### 11.1.2.4 Test conditions

[SS configuration]

Cell A is a NR Cell.

Cell A supports only SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR Band = n41  
 NR-ARFCN= f1  
 Bandwidth = 100 MHz  
 SS/PBCH SSS EPRE = -125 dBm/30kHz

[UE configuration]  
 The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in SA mode.

[Initial conditions]  
 SS  
 NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE  
 UE is powered off

**11.1.2.5 Test procedure**

Table 5.1.1.55-1: Time of cell power level and parameter changes

| Time | NR Cell A | Remark |
|------|-----------|--------|
| T0   | -75       |        |

**PREAMBLE**

1. Activate NR Cell A.The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 11.2.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2-2 till Step 18 ).

**MAIN BODY**

5. SS Transmits an ICMP Echo Request packet(PING) to the UE
6. UE replies with an ICMP Echo Reply packet to SS
7. SS calculates the RTT time it takes for ICMP Echo Request sending and Response reception
8. Repeat steps 5 to 7 for 10 times and calculate the average time taken for ICMP Echo Reques transmission and Response reception



9. Set the length of PING package to 32 bytes, repeat steps 5 to 8
10. Set the length of PING package to 1000 bytes, repeat steps 5 to 8
11. Set the length of PING package to 1500 bytes, repeat steps 5 to 8

POSTAMBLE

12. The SS initiates a Deregistration procedure.
13. The SS initiates a RRC release procedure.
14. Deactivate NR Cell A.

Table 5.1.1.5-2: Message Sequence

| Step | Message Sequence |            |   |                   | Verdict |
|------|------------------|------------|---|-------------------|---------|
|      | U-S              | Layer      | Message   | Specific Contents |         |
|      |                  |            | Connect the power consumption tester to the UE                                      |                   |         |
| 1    | ←                | RRC        | Activate NR Cell A.   |                   |         |
| 2    |                  |            | SS configures the initial power according to T0 in Table 11.2.5-1.                  |                   |         |
| 3    |                  | UE         | Switch On UE  | AT Command        |         |
| 4    | →<br>←           | NAS<br>RRC | UE performs the registration procedure (see 38.508, Table 4.5.2.2-2 till Step 18 ). |                   | PASS    |
| 5    | ←                |            | ICMP ECHO Request   |                   |         |
| 6    | →                |            | ICMP ECHO Response  |                   | PASS    |
| 7    |                  |            | SS calculates the RTT of the PING   |                   |         |
| 8    |                  |            | Repeat steps 5 to 7 for 10 times and calculates the average time taken              |                   |         |
| 9    |                  |            | Set the length of PING packets to 32 bytes, repeat step 5 to 8                      |                   |         |
| 10   |                  |            | Set the length of PING packets to 1000 bytes, repeat step 5 to 8                    |                   |         |
| 11   |                  |            | Set the length of PING packets to 1500 bytes, repeat step 5 to 8                    |                   |         |
| 12   | →<br>←           | NAS        | SS initiates a Deregistration procedure.  |                   | PASS    |
| 13   | ←                | RRC        | SS initiates a RRC release procedure.   |                   |         |
| 14   |                  |            | Deactivate NR Cell A.   |                   |         |

### 11.1.2.6 Expected Result

The RTT for the ICMP Echo Request and Response should be less than [TBD]ms

## 12 High Speed Train

## 13 Beam Management

### 13.1 Beam Determination – Beam change based on power level

#### 13.1.1 Test Purpose

Verify that UE selects better power beam when serving beam power is reduced

#### 13.1.2 Reference specification

TS 38.300, 38.331, 38.508

#### 13.1.3 Applicability

This test applies to Type 2 devices as described in clause 4.2

#### 13.1.4 Test conditions

[SS configuration]

Cell A is a NR Cell.

Cell A supports only SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

Bandwidth = 100 MHz

SS/PBCH SSS EPRE for Beam 1 = -125 dBm/30kHz

SS/PBCH SSS EPRE for Beam 2 = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

#### 13.1.5 Test procedure

Table 13.1.5-1: Time of cell power level and parameter changes

| Time | NR Cell A<br>Beam 1 | NR Cell A<br>Beam 2 | Remark |
|------|---------------------|---------------------|--------|
| T0   | -75                 | -98                 |        |
| T1   | -106                | -78                 |        |

**PREAMBLE**

1. Activate NR Cell A. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 13.1.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A (see 38.508, Table 4.5.2.2-2 till Step 15 ).

**MAIN BODY**

5. Setup downlink TCP session and verify that UE downloads data correctly on beam ID 1.
6. The SS Configures the cell power level according to T1 in table 13.1.5-1
7. Setup downlink TCP session and SS configures the DL DCIs in beam ID 2
8. Verify that UE downloads data correctly on beam ID 2.

**POSTAMBLE**

9. The SS initiates a Deregistration procedure.
10. The SS initiates a RRC release procedure.
11. Deactivate NR Cell A.

**13.1.6 Expected Result**

UE should able to decode the DCI after beam change is initiated by the network

**13.2 Beam Sweeping – Initial Access****13.2.1 Test Purpose**

When multiple beam is configured and beam sweeping is happening, verify that UE ia able to select any one beam and attach to network.

**13.2.2 Reference specification**

TS 38.331, 38.300, 38.508

**13.2.3 Applicability**

This test applies to Type 2 devices as described in clause 4.2

**13.2.4 Test conditions**

[SS configuration]

Cell A is a NR Cell.

Cell A supports only SA

NR Cell A

Cell Id=01 TAC = 01

MCC = 460 MNC = 00

NR-ARFCN= f1

SS/PBCH SSS EPRE for Beam 1 = -125 dBm/30kHz

SS/PBCH SSS EPRE for Beam 2 = -125 dBm/30kHz

SS/PBCH SSS EPRE for Beam 3 = -125 dBm/30kHz

SS/PBCH SSS EPRE for Beam 4 = -125 dBm/30kHz

[UE configuration]

The test UICC with USIM should be inserted

The UE is in AUTOMATIC network selection mode.

UE works in SA mode.

[Initial conditions]

SS

NR Cell A is not active

The test shall be performed under ideal radio conditions.

UE

UE is powered off

**13.2.5 Test procedure**

Table 13.2.5-1: Time of cell power level and parameter changes

| Time | NR Cell A<br>Beam 1 | NR Cell A<br>Beam 2 | NR Cell A<br>Beam 3 | NR Cell A<br>Beam 4 | Remark |
|------|---------------------|---------------------|---------------------|---------------------|--------|
| T0   | -98                 | -75                 | -98                 | -98                 |        |
| T1   | -75                 | -98                 | -98                 | -98                 |        |
| T2   | -98                 | -98                 | -98                 | -75                 |        |

PREAMBLE

1. Activate NR Cell A. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to test conditions T0 in table 13.2.5-1 and Beam sweeping is on.
3. The UE is powered ON.

MAIN BODY

4. The UE performs the registration procedure on Cell A on Beam 2(see 38.508, Table 4.5.2.2-2

- till Step 15 ).
5. Initiate ICMP PING request(4 requests) from SS and verify that PING is successful.
  6. The SS initiates a Deregistration procedure.
  7. The SS initiates a RRC release procedure.
  8. The SS Configures the cell power level according to T1 in table 13.2.5-1
  9. The UE is powered ON.
  10. The UE performs the registration procedure on Cell A on Beam 1(see 38.508, Table 4.5.2.2-2 till Step 15 ).
  11. Initiate ICMP PING request(4 requests) from SS and verify that PING is successful.
  12. The SS initiates a Deregistration procedure.
  13. The SS initiates a RRC release procedure.
  14. The SS Configures the cell power level according to T2 in table 13.2.5-1
  15. The UE is powered ON.
  16. The UE performs the registration procedure on Cell A on Beam 4(see 38.508, Table 4.5.2.2-2 till Step 15 ).
  17. Initiate ICMP PING request(4 requests) from SS and verify that PING is successful.

#### POSTAMBLE

18. The SS initiates a Deregistration procedure.
19. The SS initiates a RRC release procedure.
20. Deactivate NR Cell A.

### 13.2.6 Expected Result

When multiple beams present with different power level during initial attach, verify that UE performs registration at better beam during initial sweep.

## 13.3 Beam Failure and Recovery

### 13.3.1 Test Purpose

Verify that UE is able to detect the beam failure and recover in same beam as instructed in BFR(Beam failure recovery) parameters

### 13.3.2 Reference specification

TS 38.300, 38.331, 38.508, 38.321

### 13.3.3 Applicability

This test applies to Type 2 devices as described in clause 4.2

### 13.3.4 Test conditions

[SS configuration]  
Cell A is a NR Cell.  
Cell A supports only SA

NR Cell A  
 Cell Id=01 TAC = 01  
 MCC = 460 MNC = 00  
 NR-ARFCN= f1  
 Bandwidth = 100 MHz  
 SS/PBCH SSS EPRE for Beam 1 = -125 dBm/30kHz  
 beamFailureInstanceMaxCount for Beam1 = n10  
 beamFailureDetectionTimer for Beam1 = pbfd10

[UE configuration]  
 The test UICC with USIM should be inserted  
 The UE is in AUTOMATIC network selection mode.  
 UE works in SA mode.

[Initial conditions]  
 SS  
     NR Cell A is not active  
     The test shall be performed under ideal radio conditions.  
 UE  
     UE is powered off

**13.3.5 Test procedure**

Table 13.3.5-1: Time of cell power level and parameter changes

| Time | NR Cell A<br>Beam 1 | Remark |
|------|---------------------|--------|
| T0   | -75                 |        |
| T1   | OFF                 |        |

**PREAMBLE**

1. Activate NR Cell A. The SS configures the corresponding Master Information Block and System Information Block broadcast.
2. The SS configures the initial power according to T0 in Table 13.3.5-1.
3. The UE is powered ON.
4. The UE performs the registration procedure on Cell A Beam1(see 38.508, Table 4.5.2.2-2 till Step 15 ) with RadioLinkMonitoringConfig included in RRC Reconfiguration to check the beam failure.

**MAIN BODY**

5. Setup downlink TCP session and verify that UE downloads data correctly on beam ID 1.
6. The SS Configures the cell power level according to T1 in table 13.3.5-1

7. Verify that UE doesn't initiate RACH Procedure for Beam Failure
8. The SS Configures the cell power level according to T0 in table 13.3.5-1 before 10 ms(before beamFailureDetectionTimer expiry)
9. Setup downlink TCP session and verify that UE downloads data correctly on beam ID 1
10. Verify that UE downloads data correctly on beam ID 1.

POSTAMBLE

11. The SS initiates a Deregistration procedure.
12. The SS initiates a RRC release procedure.
13. Deactivate NR Cell A.

### **13.3.6 Expected Result**

When Beam failure is not exceeding beamFailureDetectionTimer, verify that UE continue to do data transfer in same beam and doesn't initiate the RACH procedure for Beam failure and recovery.

## **Appendix A Test Channel Parameters**

## **Appendix B Document Change Record**

| Date        | Meeting # | Version | Revision Contents  |
|-------------|-----------|---------|--|
| 27-Nov-2018 | NA        | 0.1     | First draft release to task members to be used as starting template.   |
| 04-Jan-2019 | NA        | 0.2     | Incorporated the following CRs:-<br>Anritsu-20181221-01<br>Anritsu-20181221-02<br>CMCC-20181217-01<br>DTG-20190101-01<br>Keysight-20181223-01-NSA<br>Keysight-20181223-02-SA<br>RNS-20181217-01<br>RNS-20181217-02<br>RNS-20181217-03<br>RNS-20181224-01<br>RNS-20181224-02<br>RNS-20181224-03 |
| 23-Jan-2019 | NA        | 0.3     | CMCC-20190120-01   |
| 23-Jan-2019 | NA        | 0.4     | Anritsu-20190115-01<br>Anritsu-20190115-02<br>Anritsu-20190115-03<br>Anritsu-20190115-04<br>DTG-20190115-01<br>Keysight-20190116-01  |
| 31-Jan-2019 | NA        | 0.5     | Anritsu-20190130-01<br>Anritsu-20190131-01<br>CMCC-20190130-02<br>DTG-20190129-01<br>Keysight-20190129-01  |
| 12-Feb-2019 | NA        | 1.0     | Anritsu-20190201-01<br>Anritsu-20190208-01<br>RNS-20190212-01  |
| 08-Mar-2019 | NA        | 1.0.1   | CMCC-20190304-01<br>DTG-20190304-01<br>DTG-20190306-01   |
| 22-Mar-2019 | NA        | 1.0.2   | CMCC-20190321-01<br>R&S-20190311-01<br>DTG-20190308-01<br>DTG-20190320-01  |
| 08-Apr-2019 | NA        | 1.5.0   | DTG-20190329-01<br>Keysight-20190402-01<br>StarPoint-20190401-01   |
| 6-May-2019  | NA        | 1.5.1   | CMCC-20190422-01<br>RS-20190410-01<br>RS-20190410-02r1   |



|                  |    |       |  |
|------------------|----|-------|--|
|                  |    |       | RS-20190412-03   |
| 30-May-2019      | NA | 1.5.2 | CMCC-20190426-01<br>CMCC-20190510-01r2<br>CMCC-20190513-01<br>CMCC-20190522-01   |
| 16-Jun-2019      | NA | 2.0   | Post GTI members review.<br>Updated document version number only.  |
| 23-Aug-2019      | NA | 2.0.1 | CMCC-20190731-01<br>CMCC-20190731-02<br>CMCC-20190731-03<br>CMCC-20190802-01<br>CMCC-20190805-01<br>CMCC-20190805-02<br>RS-20190621-02<br>Keysight-20190820-01 |
| 30-October-2019  | NA | 2.5   | Updated document version number only<br>For GTI members review   |
| 11-November-2109 | NA | 3.0   | CMCC-20190830-01   |